

NLU & IR: NEURAL IR (II)

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Neural Ranking: Functional View

- All we need is a score for every query-document pair
 - We'll sort the results by decreasing score



Query–Document Interaction Models

- 1. Tokenize the query and the document
- 2. Embed all the tokens of each
- 3. Build a query–document interaction matrix
 - Most commonly: store the cos similarity of each pair of words
- 4. Reduce this dense matrix to a score
 - Learn neural layers (e.g., convolution, linear layers)

Models in this category include KNRM, Conv-KNRM, and Duet.



Query–Document Interaction Models: MS MARCO Results

• Considerable gains in **quality**—at a reasonable increase in computational cost!



Bhaskar Mitra and Nick Craswell. An Updated Duet Model for Passage Re-ranking. arXiv:1903.07666 (2019) Sebastian Hofstätter, et al. On the effect of low-frequency terms on neural-IR models. SIGIR'19

All-to-all Interaction with BERT

- 1. Feed BERT "[CLS] Query [SEP] Document [SEP]"
- 2. Run this through all the BERT layers
- 3. Extract the final [CLS] output embedding
 - Reduce to a single score through a linear layer

This is essentially a standard BERT classifier, used for ranking passages.

Of course, we must fine-tune BERT for this task with positives and negatives to be effective.



Rodrigo Nogueira and Kyunghyun Cho. 2019. Passage Re-ranking with BERT. arXiv:1901.04085 (2019) Zhuyun Dai and Jamie Callan. 2019. Deeper Text Understanding for IR with Contextual Neural Language Modeling. SIGIR'19

BERT Rankers: SOTA 2019 (in quality)

| Rar | nk | Model | Submission Date | MRR@10 On Eval |
|-----|----|--|-------------------|-------------------|
| 1 | | BERT + Small Training Rodrigo Nogueira and Kyunghyun Cho - New York University | January 7th, 2019 | 35.87 |
| 2 | | IRNet (Deep CNN/IR Hybrid Network) Dave DeBarr, Navendu Jain, Robert Sim, Justin Wang, Nirupama Chandrasekaran – Microsoft | January 2nd, 2019 | 28.061 |



MS MARCO Ranking screenshot as of Jan 2019. From Rodrigo Nogueira's Brief History of DL applied to IR (UoG talk). https://blog.google/products/search/search-language-understanding-bert/ https://azure.microsoft.com/en-us/blog/bing-delivers-its-largest-improvementin-search-experience-using-azure-gpus/

BERT Rankers: Efficiency–Effectiveness Tradeoff

Dramatic gains in quality—but also a dramatic increase in computational cost!



Toward Faster Ranking: Pre-computation

- BERT rankers are slow because their computations be redundant:
 - **Represent the query** (1000 times for 1000 documents)
 - Represent the document (once for every query!)
 - Conduct matching between the query and the document

We have the documents in advance.

Is there a unique value in **jointly** representing queries and documents?

- Can we **pre-compute** the document representations?
- And "cache" these representations for use across queries

Neural IR Paradigms: Learning term weights

- BM25 decomposed a document's score into a summation over term-document weights. Can we learn term weights with BERT?
- Tokenize the query/document
- Use BERT to produce a score for each token in the document
- Add the scores of the tokens that also appear in the query



Dai, Zhuyun, and Jamie Callan. "Context-aware term weighting for first stage passage retrieval." SIGIR'20 Nogueira, Rodrigo and Jimmy Lin. "From doc2query to docTTTTTquery." Online preprint (2019). Mallia, Antonio, et al. "Learning Passage Impacts for Inverted Indexes." SIGIR'21.

Learning term weights

- We get to learn the term weights with BERT and to **re-use** them!
- But our query is back to being a "bag of words".



Next: Can we achieve high MRR and low latency?

- Yes! We'll discuss two rich neural IR paradigms:
 - Representation Similarity
 - Late Interaction

References

Omar Khattab and Matei Zaharia. "ColBERT: Efficient and effective passage search via contextualized late interaction over BERT." SIGIR'20 Chenyan Xiong, et al. End-to-end neural ad-hoc ranking with kernel pooling. SIGIR'17 Zhuyun Dai, et al. Convolutional neural networks for soft-matching n-grams in ad-hoc search. WSDM'18 Bhaskar Mitra, et al. Learning to match using local and distributed representations of text for web search. WWW'17 Bhaskar Mitra and Nick Craswell. An Updated Duet Model for Passage Re-ranking. arXiv:1903.07666 (2019) Sebastian Hofstätter, et al. On the effect of low-frequency terms on neural-IR models. SIGIR'19 Zhuyun Dai and Jamie Callan. 2019. Deeper Text Understanding for IR with Contextual Neural Language Modeling. SIGIR'19 Rodrigo Nogueira. "A Brief History of Deep Learning applied to Information Retrieval" (UoG talk). Retrieved from https://docs.google.com/presentation/d/1_mlvmyev0pjdG0OcfbEWManRREC0jCdjD3b1tPPvcbk Zhuyun Dai, and Jamie Callan. "Context-aware term weighting for first stage passage retrieval." SIGIR'20 Rodrigo Nogueira and Jimmy Lin. "From doc2query to docTTTTTquery." Online preprint (2019). Antonio Mallia, et al. "Learning Passage Impacts for Inverted Indexes." SIGIR'21.