| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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# Dialogue

#### Bill MacCartney and Christopher Potts

#### CS 244U: Natural language understanding Mar 6



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## Overview

- As we discussed during our first class meeting, the Turing Test gives dialogue a special place in AI/NLU.
- Dialogue requires rich knowledge bases.
- Dialogue is always situated many aspects of it are grounded in the immediate discourse situation.
- A realistic dialogue system must also master a wide range of challenging linguistic tasks, including:
  - acknowledging others' contributions;
  - managing the flow of information based on others' cues;
  - structuring utterances so as to engage properly with the preceding discourse;
  - managing the extra pragmatic inferences that others are likely to draw from its contributions.

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| Eliza            |                                   |                      |                  |                         |                     |

- 1 User: You are like my father in some ways.
- 2 ELIZA: What resemblance do you see
- Ouser: You are not very aggressive but I think you don't want me to notice that.
- 4 ELIZA: What makes you think I am not aggressive?
- **5** User: You don't argue with me.
- 6 ELIZA: Why do you think I don't argue with you?
- Ouser: You are afraid of me.
- 8 ELIZA: Does it please you to believe I am afraid of you?

Rewrite user's reply by (i) swapping 1st and 3rd person, (ii) interpolating stock phrases, and (iii) using scores to rank possible transformations.

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# HAL

- In the 1967 Stanley Kubrick movie 2001: A Space Oddyssey, the spaceship's computer HAL can
  - display graphics;
  - play chess; and
  - conduct natural, open-domain conversations with humans.
- How well did the filmmakers do at predicting what computers would be captable in 2001?

## (Slide idea from Andrew McCallum)

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| HAL                |                                   |                      |                  |                         |            |

#### Graphics

#### Jurassic Park (1993)



(Slide idea from Andrew McCallum)

HAL





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| HAL   |                                   |                      |                  |                         |            |

#### Chess

HAL



Deep Blue (1997)



(Slide idea from Andrew McCallum)

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# HAL

#### Dialogue

HAL

David Bowman: Open the pod bay doors, HAL.

HAL: I'm sorry, Dave, I'm afraid I can't do that.

David: What are you talking about, HAL?

HAL: I know that you and Frank were planning to disconnect me, and I'm afraid that's something I cannot allow to happen. 2012

. . . .

#### (Slide idea from Andrew McCallum)

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# Siri



You: Any good burger joints around here?

Siri: I found a number of burger restaurants near you.

You: Hmm. How about tacos?

Apple: [Siri remembers that you asked about restaurants, so it will look for Mexican restaurants in the neighborhood. And Siri is proactive, so it will question you until it finds what you're looking for.]

(Slide from Marie de Marneffe)

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Collaborative referen

Conclusion

# Siri

- Colbert: For the love of God, the cameras are on, give me something?
  - Siri: What kind of place are you looking for? Camera stores or churches?

[...]

- Colbert: I don't want to search for anything! I want to write the show!
  - Siri: Searching the Web for "search for anything. I want to write the shuffle."



(Slide from Marie de Marneffe)

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# Plan and goals

#### Plan

- 1 The Switchboard Dialog Act Corpus
- Phe importance of context; practical computational approximations of context.
- 3 The Cards Corpus: a new task-oriented dialogue corpus with rich context.
- Collaborative reference in dialogue.

## Goals

- There is no way to cover dialogue in single day/year.
- The closer we got to this class meeting, the more overwhelmed I felt!
- In the end, I decided to focus on a few representative areas where I think it's realistic to expect major scientific gains in the near term.
- For a more comprehensive review, see Jurafsky and Martin 2009:§24 and the references therein.
- My goal is not to show you how to develop full dialogue systems, but rather to highlight some important scientific ideas and to make progress in important sub-parts of that task.

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# The Switchboard Dialog Act Corpus (SwDA)

- The SwDA extends the Switchboard-1 Telephone Speech Corpus, Release 2, with turn/utterance-level dialog-act tags.
- The tags summarize syntactic, semantic, and pragmatic information about the associated turn.
- It is freely available:

```
http://www.stanford.edu/~jurafsky/ws97/
```

- The SwDA is not inherently linked to the Penn Treebank 3 parses of Switchboard, and it is far from straightforward to align the two resources (Calhoun et al. 2010).
- In addition, the SwDA is not distributed with the Switchboard's tables of metadata about the conversations and their participants.
- This summer, I created a CSV version of the corpus that pools all of this information to the best of my ability, thereby allowing study of the correlations among dialog tags, conversational metadata, and full syntactic structures:

http://compprag.christopherpotts.net/swda.html

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# DAMSL tags for the Switchboard Dialog Act Corpus

There are over 200 tags in the SwDA, most used only a few times. It is more common to work with a collapsed version involving just 44 tags.

|    |                              |              |   | train | full  |
|----|------------------------------|--------------|---|-------|-------|
|    | name                         | act tag      | example   | count | count |
| 1  | Statement-non-opinion        | sd           | Me, I'm in the legal department.                | 72824 | 75145 |
| 2  | Acknowledge (Backchannel)    | b            | Uh-huh.   | 37096 | 38298 |
| 3  | Statement-opinion            | SV           | I think it's great                              | 25197 | 26428 |
| 4  | Agree/Accept                 | aa           | That's exactly it.                              | 10820 | 11133 |
| 5  | Abandoned or Turn-Exit       | %            | So, -   | 10569 | 15550 |
| 6  | Appreciation                 | ba           | I can imagine.                                  | 4633  | 4765  |
| 7  | Yes-No-Question              | qy           | Do you have to have any special training?       | 4624  | 4727  |
| 8  | Non-verbal                   | х            | [Laughter], [Throat_clearing]                   | 3548  | 3630  |
| 9  | Yes answers                  | ny           | Yes.  | 2934  | 3034  |
| 10 | Conventional-closing         | fc           | Well, it's been nice talking to you.            | 2486  | 2582  |
| 11 | Uninterpretable              | %            | But, uh, yeah                                   | 2158  | 15550 |
| 12 | Wh-Question                  | qw           | Well, how old are you?                          | 1911  | 1979  |
| 13 | No answers                   | nn           | No.   | 1340  | 1377  |
| 14 | Response Acknowledgement     | bk           | Oh, okay.                                       | 1277  | 1306  |
| 15 | Hedge                        | h            | I don't know if I'm making any sense or not.    | 1182  | 1226  |
| 16 | Declarative Yes-No-Question  | qy^d         | So you can afford to get a house?               | 1174  | 1219  |
| 17 | Other f                      | o_o_fw_by_bc | Well give me a break, you know.                 | 1074  | 883   |
| 18 | Backchannel in question form | bh           | Is that right?                                  | 1019  | 1053  |
| 19 | Quotation                    | ^q           | You can't be pregnant and have cats             | 934   | 983   |
| 20 | Summarize/reformulate        | bf           | Oh, you mean you switched schools for the kids. | 919   | 952   |
| 21 | Affirmative non-yes answers  | na           | It is.  | 836   | 847   |
| 22 | Action-directive             | ad           | Why don't you go first                          | 719   | 746   |

|  | Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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## DAMSL tags for the Switchboard Dialog Act Corpus

There are over 200 tags in the SwDA, most used only a few times. It is more common to work with a collapsed version involving just 44 tags.

|    |                              |          |  | train | full  |
|----|------------------------------|----------|--|-------|-------|
|    | name                         | act tag  | example                                  | count | count |
| 23 | Collaborative Completion     | ^2       | Who aren't contributing.                 | 699   | 723   |
| 24 | Repeat-phrase                | b^m      | Oh, fajitas                              | 660   | 688   |
| 25 | Open-Question                | qo       | How about you?                           | 632   | 656   |
| 26 | Rhetorical-Questions         | qh       | Who would steal a newspaper?             | 557   | 575   |
| 27 | Hold before answer/agreement | ^h       | I'm drawing a blank.                     | 540   | 556   |
| 28 | Reject                       | ar       | Well, no                                 | 338   | 346   |
| 29 | Negative non-no answers      | ng       | Uh, not a whole lot.                     | 292   | 302   |
| 30 | Signal-non-understanding     | br       | Excuse me?                               | 288   | 298   |
| 31 | Other answers                | no       | I don't know                             | 279   | 286   |
| 32 | Conventional-opening         | fp       | How are you?                             | 220   | 225   |
| 33 | Or-Clause                    | qrr      | or is it more of a company?              | 207   | 209   |
| 34 | Dispreferred answers         | arp_nd   | Well, not so much that.                  | 205   | 207   |
| 35 | 3rd-party-talk               | t3       | My goodness, Diane, get down from there. | 115   | 117   |
| 36 | Offers, Options, Commits     | 00_00_00 | I'll have to check that out              | 109   | 110   |
| 37 | Self-talk                    | t1       | What's the word I'm looking for          | 102   | 103   |
| 38 | Downplayer                   | bd       | That's all right.                        | 100   | 103   |
| 39 | Maybe/Accept-part            | aap₋am   | Something like that                      | 98    | 105   |
| 40 | Tag-Question                 | ^g       | Right?                                   | 93    | 92    |
| 41 | Declarative Wh-Question      | qw^d     | You are what kind of buff?               | 80    | 80    |
| 42 | Apology                      | fa       | I'm sorry.                               | 76    | 79    |
| 43 | Thanking                     | ft       | Hey thanks a lot                         | 67    | 78    |

# Switchboard Dialog Act Corpus with parsetrees

- My release of the SwDA includes the Treebank3 POS tags.
- It also includes the Treebank3 trees, but these are somewhat more challenging to work with:
  - Only 118,218 (53%) of utterances have trees.
  - The Treebank3 team merged together some utterances into single trees.
  - Other utterances were split across trees.
  - The turn numbering was altered, often dramatically.
- On the bright side:
  - · 82% of the utterances with trees correspond exactly to a single tree.
  - With the exception of non-verbal (x) and tag-questions (^g), the distribution of tags in this subset is basically the same as the distribution for the whole corpus:



## Act-tag and syntactic category alignment

A quick experiment: to what extent are dialog act tags and clause-types aligned?

- Request act
  - a. Take these pills twice a day.
  - b. You should take these twice a day.
  - c. Could you please take these twice a day?
- Question act
  - a. Is today Tuesday?
  - b. It's Tuesday, right?
  - c. I need to confirm that it's Tuesday.

- Imperative form
  - a. Take these pills twice a day.
  - b. Have a seat.
  - c. Get well soon.
- Interrogative
  - a. Is today Tuesday?
  - b. Is he ever tall!
  - c. Can you pass the salt?

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## Act-tag and syntactic category alignment

A quick experiment: to what extent are dialog act tags and clause-types aligned?

The hearer's perspective: given that I heard a syntactic structure with root label *L*, what are the speaker's possible intended dialog acts?



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## Act-tag and syntactic category alignment

A quick experiment: to what extent are dialog act tags and clause-types aligned?

The speaker's perspective: given that I want to convey dialog act *D*, what is the best structure for me to choose?



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## Modeling act sequences

- Modeling act sequences could be an important step towards realistic interpretation and production.
- Shriberg et al. (1998); Stolcke et al. (2000) uses acoustic features to predict general dialog act labels, using the SwDA. Their model is a decision-tree classifier.
- Other classifiers might also be appropriate; the natural assumption here is that the classifications decisions are made on a by-utterance basis, with no inspection of neighboring utterances (Bangalore et al. 2006; Kumar Rangarajan Sridhar et al. 2009).
- Dialog act prediction can also be viewed as a sequence modeling problem akin to POS tagging, and thus Hidden Markov Models and Conditional Random Fields models are often used. Such models incorporate earlier and/or later tags to make classification decisions.

# On the SwDA for dialogue research

#### Advantages

- Richly annotated.
- Includes speech data.
- Includes sociolinguistic metadata.
- Long conversations, and lots of them.
- Participants did not typically know each other before the conversation, so most of their common ground is general knowledge.

# On the SwDA for dialogue research

## Advantages

- Richly annotated.
- Includes speech data.
- Includes sociolinguistic metadata.
- Long conversations, and lots of them.
- Participants did not typically know each other before the conversation, so most of their common ground is general knowledge.

## Disadvantages

- Open-domain, unfocussed (participants do not stick closely to their topics).
- Virtually no hope of modeling the context or grounding the language in the world or in action.

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# Context

- Dialogue understanding requires deep contextual understanding.
- Neither you nor your computer can understand a conversation unless you have a lot of background information about who is talking to whom, where the conversation is taking place, why the conversation is taking place, ...
- There is always uncertainty about the context, so there is no hope of modeling it fully, but we can aim to model aspects of it that are helpful for the tasks at hand.

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## Indexicality

Natural language is full of expressions that get their meanings directly from the context:

- 1 I'll be there in an hour.
- 2 Did you turn off the stove?
- O Where are we?
- Where can we find good Chinese food?
- **5** I want to go here (speaker points at a spot on a map).
- 6 a local bar
- 7 a foreign language

I teased Siri above for its template matching, but I bet it has robust and sophisticated methods for interpreting indexicals in terms of information provided by its sensors and measuring devices.

(Partee 1973)

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#### Context: Homer calls a hotel.



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#### Context: Homer calls a hotel.



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#### Where are you from?

| Connecticut.                      | (Issue: birthplaces)            |
|-----------------------------------|---------------------------------|
| • The U.S.                        | (Issue: nationalities)          |
| • Stanford.                       | (Issue: affiliations)           |
| <ul> <li>Planet earth.</li> </ul> | (Issue: intergalactic meetings) |

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Where can we buy supplies?

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Where can we buy supplies?

#### Mention-all

- Context: We're writing a comprehensive guide to the area.
- **Resolvedness condition**: An exhaustive listing of the (reasonable) shopping places.

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Where can we buy supplies?

#### Mention-all

- **Context**: We're writing a comprehensive guide to the area.
- **Resolvedness condition**: An exhaustive listing of the (reasonable) shopping places.

#### Mention-some

- Context: We're low on food and water.
- Resolvedness condition: Mentioning the best (closest, safest, etc.) place, or a few good options.

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Homer: Did Maggie shoot Burns?

#### Wiggum: She did.



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- Homer: Did Maggie shoot Burns?
- Wiggum: She did. Maggie shot Burns



| Overview | The Switchboard Dialog Act Corpus | Context  | The Cards Corpus | Collaborative reference | Conclusion |
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- Homer: Did Maggie shoot Burns?
- Wiggum: She did. Maggie shot Homer



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- Homer: Did Maggie shoot Burns?
- Wiggum: She did. Lisa shot Burns



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## The Edinburgh Map Corpus (Thompson et al. 1993)

One participant tells the other how to reproduce a path through a map; the context and discourse goals are fully specified.

g

g



- g right it starts directly above the crest falls if you go to the left of your page just to the edge of the crest falls
- f mmhmm
- g come south due south to the bottom of the page f mmhmm
- go to the left of the page to about an inch from the end over the banana tree
- g i suppose so yeah eh
  - mmhmm
  - go north to the level of the footbridge
  - mmhmm
- g go up and go across the footbridge and stop exactl- right at the end edge of the footbridge
- f above the footbridge
- g o- over the footbridge
- f mm
- g and stop right at the end of it
- g there is a poisoned stream on mine but which you don't have
  - right
- g okay

g

and so what you do is you come south immediately south at the edge of the footbridge oh for about for about an inch so that you're about an inch above the starting cross not actually above it you know but eh along and above it

For transcripts, audio, maps, etc.: http://groups.inf.ed.ac.uk/maptask/

# The TRIPS and TRAINS corpora

http://www.cs.rochester.edu/research/cisd/projects/trips/

- TRIPS and TRAINS offer text and audio of task-oriented dialogues in rich contexts.
- TRAINS is similar to the Map Task, except that the task is to define efficient routes for trains to travel between cities.
- TRIPS also involves path-planning, except with additional challenges different kinds of cargo and vehicles, more real-world constraints.
- These corpora are predecessors for PLOW (Allen et al. 2007), which uses TRIPS technology and which also seeks to create agents that collaboratively assist with planning and scheduling.



Example TRAINS domain



Example TRIPS-911 domain

## PLOW: webpage structure as context

For the PLOW system, the context is the webpage:



Figure 4: Learning to find and fill a text field

• Project homepage:

http://www.cs.rochester.edu/research/cisd/projects/plow/

• Language processing with the TRIPS parser:

http://www.cs.rochester.edu/research/cisd/projects/trips/
parser/cgi/web-parser-xml.cgi

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#### Learning new rules and generalizations

- Learning rules of the form 'If A, then B, else C' is a challenge because the latent variable A is generally not observed. Rather, one sees only B or C.
- In an interactive, instructional setting, one needn't rely entirely on abduction or probabilistic inference: users generally state the needed rules during their interactions.
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# Language-based principles

• The user's actions ground the parsed language.



Phe DOM structure grounds the user's indexicals and other referential devices.

- Put the name here.
   (user clicks the mouse on the DOM element)
- This is the ISBN number.
- Find another tab.

(user highlights some text) (user has selected a tab)

- 3 Indefinites mark new information; definites refer to established information:
  - A man walked in. He/The man looked tired.
  - an address ⇒ new input parameter
  - the address ⇒ existing input parameter

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## Interaction and error correction

- PLOW is tested with human users in real scenarios. (It has been used by the US Military Health System to set up doctor's appointments.)
- Thus, PLOW tries to immediately apply the rules it infers, so that the user will correct it. This helps with:
  - finding the right level of generalization; and
  - overcoming noise in the context (from poor HTML mark-up)

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# Evaluation

16 independent evaluators trained to work with PLOW and three other systems.

#### Phase 1

- The evaluators used the systems to teach some of the predefined tasks.
- 2 The system then performed those tasks with different input parameters.

#### Phase 2

- The evaluators used the systems to teach some of the tasks at right.
- PLOW received the highest average score of all systems.
- Sevaluators had free choice of which system to use. 13 chose PLOW for at least one task, and PLOW was used for 30 of the 55 tasks constructed during evaluation.

- . What <businesses> are within <distance> of <address>?
- Get directions for <integer> number of restaurants within <distance> of <address>.
- 3. Find articles related to <topic> written for <project>.
- Which <project> had the greatest travel expenses between <start date> and <end date>?
- 5. What is the most expensive purchase approved between <start date> and <end date>?
- 6. For what reason did <person> travel for <project> between <start date> and <end date>?
- Find <ground-transport, parking> information for <airport>.
- 8. Who should have been notified that <person> was out of the office between <start date> and <end date>?
- 9. Summarize all travel and purchase costs for <project> between <date> and <date> by expense category
- 10. Which projects exceeded the current government maximum allowable expense for travel costs?

Figure 1: Previously unseen tasks used in the evaluation

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# The Cards Corpus

http://CardsCorpus.christopherpotts.net/

Included:

- The transcripts in CSV format
- · Python classes for working with the transcripts
- Examples of the Python classes in action
- R code for reading in the corpus as a data frame

Also, a search function with HTML/Javascript game visualizations:

http://CardsCorpus.christopherpotts.net/search/

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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# Amazon Mechanical Turk HIT (Human Intelligence Task)

- Title: Collaborative Search Game with Chat
- Description: Two-player collaborative video game involving dialogue/chat with other Turkers.
- Payment: \$1.00, and up to \$0.50 cents for rich, collaborative problem-solving using meaningful dialogue.
- Restrictions: US IP addresses; at least 95%. approval rating

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## HIT set-up

#### Instructions

- You'll first be presented with an experimental consent form; the transcripts from these games will be used to study collaborative problem solving.
- Once you click through, you'll go into "Wait for Participant" mode until another player shows up. It might take a while for another player to show up! We suggest opening a new window and doing other things until someone arrives
- When play begins, you'll be presented with a task description: you and your partner are tasked with finding six consecutive cards of the same suit.
- 4. When you've solved the game, click the "Task Complete" button to register that the task is complete. You can continue playing and chatting at that point, or you can click Complete HIT and return to MTurk at the top of the screen.
- 5. You will receive a bonus of up to \$0.50 for good collaboration with meaningful communication.
- 6. Notes:
  - · Once the game begins, you can move around with the arrow keys or the on-screen buttons.
  - The chat window is near the top of the screen.
  - · You can't see your partner, and your partner can't see you!
  - · The yellow boxes mark cards in your "line of sight".
- Caution: Players who do not complete the task properly will not be paid. It is vital that you read and understand the task description and follow the instructions.
- 8. Feel free to play multiple times especially useful if you get good at the game.

#### Annotated game screen

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# HIT set-up



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The Switchboard Dialog Act Corpus

Context 000000000

The Cards Corpus

Collaborative refere

Conclusion 00000

# HIT set-up

# Title of project: Pragmatic enrichment and contextual inference

Principal investigator: Dr. Christopher Potts, Department of Linguistics, Stanford University

#### **Purpose of Study**

In this research, we are investigating how people communicate when working together to resolve a joint goal. We collect transcripts of dialogues of players playing a simple game that requires participants to strategize together to solve tasks.

#### Procedures

In this study, you will play a game with another unseen player over a network. You will see instructions on the screen, and you may communicate with the other player by keyboard. The game consists of a map and several hidden objects. You have a limited number of moves to collect the objects according to the instructions you receive. You must coordinate with your teammate to achieve the goal. The time of a single game is variable depending on the players. A typical game lasts between 10 and 20 minutes.

#### Risks

There are no known risks involved in this experimental procedure.

#### Benefits

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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# HIT set-up

Gather six consecutive cards of a particular suit (decide which suit together), or determine that this is impossible. Each of you can hold only three cards at a time, so you'll have to coordinate your efforts. You can talk all you want, but you can make only a limited number of moves.

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# Transcripts: environment metadata

| Agent    | Time | Action type             | Contents                     |
|----------|------|-------------------------|------------------------------|
| Server   | 0    | COLLECTION_SITE         | Amazon Mechanical Turk       |
| Server   | 0    | TASK_COMPLETED          | 2010-06-17 10:10:53 EDT      |
| Server   | 0    | PLAYER_1                | A00048                       |
| Server   | 0    | PLAYER_2                | A00069                       |
| Server   | 2    | P1_MAX_LINEOFSIGHT      | 3                            |
| Server   | 2    | P2_MAX_LINEOFSIGHT      | 3                            |
| Server   | 2    | P1_MAX_CARDS            | 3                            |
| Server   | 2    | P2_MAX_CARDS            | 3                            |
| Server   | 2    | P1_MAX_TURNS            | 200                          |
| Server   | 2    | P2_MAX_TURNS            | 200                          |
| Server   | 2    | GOAL_DESCRIPTION        | Gather six consecutive cards |
| Server   | 2    | CREATE_ENVIRONMENT      | [ASCII representation]       |
| Player 1 | 2092 | PLAYER_INITIAL_LOCATION | 16,15                        |
| Player 2 | 2732 | PLAYER_INITIAL_LOCATION | 9,10                         |

| ;    | NEW SECTION                                       |
|------|---|
| :    | NEW_SECTION                                       |
|      | 1,2:2D;1,7:KH;1,7:9S;1,11:6C;1,13:QC;1,14:QS;     |
|      | 2,18:3H;2,18:9H;                                  |
|      | 3,19:4H;4,8:AC;4,19:3D;                           |
| ;    | 4.19:KD:  |
| ;    | 5.14:0H:5.15:5S:5.15:2S:5.16:4D:5.16:10C:5.18:4S: |
| - b; | 6 11.KC.6 15.9C.                                  |
| ;    | 7 11.20.7 13.75.                                  |
| ;    | ,,111,211,,,,151,75,                              |
| h'   | 8,2:QD;8,4:AD;8,11:JC;8,20:8S;                    |
|      | 9,9:10S;9,9:6H;9,9:8C;9,10:7H;9,14:JS;            |
|      | 10,1:2C;10,10:8D;11,14:6D;11,14:10H;              |
|      | 11,18:4C;11,18:9D;                                |
|      | 12,10:3S;12,12:6S;12,16:5H;12,16:JD;12,20:3C;     |
| ;    | 13.4:5C:13.4:JH:13.15:KS:                         |
| b;   | 14.2:5D:14.20:10D:15.2:AH:                        |
| ;    | 15 13·7D·15 15·8H·15 17·AS·15 20·7C·              |
| ;    | 19,19,19,19,19,19,19,19,10,19,19,20,70,           |

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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# Transcripts: game play

| Agent    | Time   | Action type         | Contents                     |
|----------|--------|---------------------|------------------------------|
| Player 1 | 566650 | PLAYER_MOVE         | 7,11                         |
| Player 2 | 567771 | CHAT_MESSAGE_PREFIX | which c's do you have again? |
| Player 1 | 576500 | CHAT_MESSAGE_PREFIX | i have a 5c and an 8c        |
| Player 2 | 577907 | CHAT_MESSAGE_PREFIX | i jsut found a 4 of clubs    |
| Player 1 | 581474 | PLAYER_PICKUP_CARD  | 7,11:8C                      |
| Player 1 | 586098 | PLAYER_MOVE         | 7,10                         |

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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Initial post announcing the new HITs, our goals for them, and warning about waittimes and potential bugs.

| Click here to go to the NET<br>Page 1 of 11 » Jump to a               | N Turker Nation forum :: Requesters Boardroom :: Everyone Else :: Collaborative Search Game with Chat   |
|---|---|
| 🗁 remove bookmark   | Series 🖉 Barris   |
| 芦 Author  | Topic: Collaborative Search Game with Chat (Read 1,444 times)   |
| stanfordpraglab<br>Grizzled Turker<br>ກໍລັດວ່ານີ້<br>member is online | Collaborative Search Game with Chat<br>« Thread Started on Aug 10, 2011, 10:21am »  |
|   | Turker Nation!<br>I'm writing to let you all know that we've some posted some more HITs called  |
| Joined: Jun 2010  | Collaborative Search Game with Chat   |
| Posts: 126  | In the game, you navigate around in a maze-world. You and your partner try to<br>gather six consecutive cards of the same suit before you run out of moves. You<br>and your partner decide which suit and which sequence.   |
|   | You can see where cards are (yellow boxes) when they enter your "line of sight".<br>Navigation is with the keyboard or with the buttons on the right of the screen.<br>When you're on a card, its name displays on the right and you can pick it up by<br>clicking on it. |
|   | You can hold only three cards at once, so you have to coordinate on your strategy.  |

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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Responding to player queries while the HITs were running.

|                   | 1  |
|-------------------|--|
| stanfordpraglab   | Re: Collaborative Search Game with   |
| 37777             |  |
| member is online  | « Reply #9 on Aug 10, 2011, 11:04am »  |
|                   |  |
|                   | Aug 10, 2011, 10:50am, moniquitta wrote:   |
| <b>a</b>          | Would this be weird but can we like state TN here in the beginning so we know we are playing<br>someone from here? |
| Joined: Jun 2010  |  |
| Posts: 126        | This is totally fine by us even adds a new community dimension to the  |
|                   | dataChris  |
|                   | Report to Mod - Link to Post - Back to Top 🛸 Logged  |
| moniquitta        | Re: Collaborative Search Game with   |
| វាជានិងដំដំដំដំ   | Chat   |
| member is offline | « Reply #10 on Aug 10, 2011, 11:05am »   |
|                   | Sweet awesome. OH and good to see you on here working with us.   |
|                   | Report to Mod - Link to Post - Back to Top 🛸 Logged  |
|                   |  |
| Joined: Feb 2011  |  |
| Gender: Female 🖇  |  |
| Posts: 722        |  |

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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Responding to player queries while the HITs were running.

| stanfordpraglab                               | Re: Collaborative Search Game with  |                         |
|---|---|-------------------------|
| <mark>አትጵትት</mark><br>member is <b>online</b> | Chat<br>« Reply #80 on Aug 11, 2011, 10:55am »  | 📉 modify 🧔 delete       |
|   | Hi Turkers,   |                         |
| <b>a</b>                                      | You will absolutely get approved if you make a genuine at game and run out of moves. Hope this version isn't too ha | tempt to solve the ard. |
| Joined: Jun 2010<br>Posts: 126                | Stanford Praglab  |                         |
|   | Report to Mod - Link to Post -  | - Back to Top 🛸 Logged  |

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
|----------|-----------------------------------|-----------|------------------|-------------------------|------------|
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The players helped us spot trouble.

| timefactor<br>Turker<br>☆☆<br>member is offline  | Re: Collaborative Search Game with<br>Chat<br>« Reply #152 on Aug 11, 2011, 4:28pm »  |
|--|---|
| <b>a</b>   | Aug 11, 2011, 4:24pm, sleepstar wrote:<br>wow high paying, fast HITs go figure the stupid scammers would show up. ughhhhhhhhh.  |
| Joined: Jun 2011<br>Gender: Male of<br>Posts: 13 | Seriously. This game is not that hard and wouldn't even take much longer than just blindy submitting. This batch was disappointing since three of my games were these losers who didn't play and I was too scared to submit them. |
|  | Report to Mod - Link to Post - Back to Top 🛸 Logged   |

| Overview Th | he Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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#### And resolve trouble.

| bid<br>Turkaholic<br>Schrifting<br>member is offline | Re: Collaborative Search Game with Chat « Reply #158 on Aug 11, 2011, 4:45pm »   |
|--|--|
| 8  | Aug 11, 2011, 4:35pm, moniquitta wrote:<br>Iwonder if a qual would help.   |
| Joined: Jan 2011<br>Gender: Male of<br>Posts: 769    | I wondered the same thing. I suppose it depends on how many of these there will be. Of course, with a qual, they could weed out some, shall we say less than dedicated participants, increase the quality of data being obtained and (can't believe I am saying this) make it increasingly challenging to succeed. |
|  | Report to Mod - Link to Post - Back to Top 🦠 Logged  |

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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That was actually a pretty fun hit.

The game with chat was great and like to see more HITs from you.

These HITs were really enjoyable. Hopefully you will put more on the site. You state that we can keep doing them, but right now if I click on your HIT, it tells me there are no more available for me. Is there something I can do to try again? Thanks.

I waited 1.22 before someone showed up. They never talked to me and didn't finish the job before leaving. Am I still out because they didn't cooperate?

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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# By the numbers

- 1,266 transcripts
- Game length mean: 373.21 actions (median 305, sd 215.20)
- Actions:
  - Card pickup: 19,157
  - Card drop: 12,325
  - Move: 371,811
  - Utterance: 45,805
    - Utterance length mean: 5.69 words (median 5, sd 4.74)
    - Total word count: 260,788
    - Total vocabulary: 5,577 (≈4,000 if card references are normalized)

# Constants and points of variation

# Constants

- Task description ('six consecutive cards of the same suit')
- Max cards in hand: 3

# Randomness

- Players' initial positions
- All card positions

# Systematic variation (highlights)

- Some game are infeasible because areas of the board are walled off.
- Most games are symmetric: the players each have the same line of sight and number of moves.
- Around 500 games are asymmetric: one player has a very limited number of moves but infinite line-of-sight; the other has a large number of moves but very limited line of sight.
- There are a few different games boards, in a few different sizes.
- The number of moves each player has varies from 100 to 600; these values result in very different play.

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| start P2 visited P2 finish   |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
|--|-------|--------|------|--------|------|-----------|----------|----------|------------|-------------|-----------------|--------------|---------------|-----------|----------|--------------------|-------|-------------|-------------|-------|---------|
| ase-over the utterances to see where they were made.   |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| Player 1: bello  |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| Player 2: hi   |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| . Player 2: top right  |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| . Player 1: i am., right in the very middle in the large room  |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| Payer I: shing on a 4D   |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| Player 2: ac<br>Bloom 2: L on hon  |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| Player 1: 10C nearby   | 911   | _      |      | _      |      |           | 45.7C    | 38       |            |             |                 |              |               | KH I      |          |                    | KD    |             | _           | \$C   | 100     |
| Player 2: want to try e  | (AC)  | (AC)   | (AC) | (AC)   | (AC) | (AC)      | (AC)     | (AC)     | (AC)       | (AC)        | (AC)            | (AC)         | (AC)          | (AC)      | (AC)     | (AC)               | (AC)  |             |             |       |         |
| . Player 1: OK   |       |        |      |        |      |           | _        | _        |            |             |                 |              |               |           |          |                    | _     |             |             |       |         |
| . Player 1: i'll try to work around to the bottom and right  |       | -      |      |        |      |           |          |          |            |             |                 |              | 30            |           |          |                    |       |             |             |       |         |
| . Player 2: k  | (AC)  |        |      |        |      |           |          |          |            |             |                 |              | {AC}          |           |          |                    |       |             |             |       |         |
| . Player 1: granbed a KC   |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| Player 2: K<br>Player 1: not the Onero   | 65    |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| Player 1: so we have 10OKA   | (AC)  |        |      |        |      |           |          |          |            |             |                 |              | (AC)          | (AC)      | (AC)     | (AC)               |       |             |             |       |         |
| Player 1: need the 9-J   |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| . Player 2: k  |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       | 3D      |
| . Player 1: looks like you're using up your moves  | 0403  |        |      |        |      |           |          |          |            |             |                 |              |               |           |          | (AC)               |       |             |             |       |         |
| . Player 1: where are you going  |       |        |      |        |      |           |          |          |            |             |                 | (m)othe      | ) (myo(nc)    |           |          |                    |       |             |             |       |         |
| Player 2: bottom left  | 70    | 1403   | 1473 | 100    | 00   | 718       |          |          |            |             |                 | 80           | 1403          | 1403      | 1403     |                    |       |             | _           |       | 50      |
| Player 2: box on up and size   | 0.00  | 100.1  | 0.00 | 1.00.7 | 0.00 | 100.7     |          |          |            |             |                 | (1977)       | (10.7         | 1.00.1    | (ma)     | (AC)               |       |             |             | _     |         |
| Player 1: my hand is full so you runhably want to stop   | 611   | 414    |      |        | _    | _         |          |          |            | (+connoc)   | (+Dolute        | 50           |               | _         | _        | _                  |       | 15          | _           | _     |         |
| moving   | 1400  | 1463   |      | -      | _    | 1103      |          | _        |            |             | LACO.           | 1103         | 1463          | _         | _        |                    |       |             | _           | _     |         |
| . Player 1: until i find what we're looking for  | 0.00  | (real) |      |        |      | 1.001     |          |          |            | (1973)      | ( in the second | 1.441        | ALD GED LINES |           | _        |                    |       |             |             |       |         |
| . Player 2: k  |       | _      |      |        |      | -         |          | _        |            | AS.4D9D     |                 | -            |               | _         |          |                    |       | 00          | _           | _     | 25      |
| . Player 1: ok found the J   |       | (AC)   |      |        |      | (AC)      |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| . Player 2: where  |       |        | _    |        |      |           |          |          |            | (DEC)       |                 | -            | (4D9D,10C)    | -         |          |                    |       | (IOC.OC.SCI |             | _     |         |
| Player 1: where are you exactly<br>Blower 1: all the new on the bottom sight commer                                  | 108   |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       | 9C          |             |       |         |
| Player 1: one up from the bottom   | (AC)  | {AC}   |      |        |      | {AC}      |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| Player 2: you see the opening on the bottom  |       |        |      | -      |      |           |          |          |            | (10C)       |                 | -            | (4D9D,10C)    |           |          |                    |       | (IOC/QC/KC) |             |       |         |
| . Player 1: yeah   | KS    |        |      | JD     |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| <ol> <li>Player 2: im three over one u to the right</li> </ol>   | (AC)  |        |      |        |      | (AC)      |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| . Player 2: i mean left  |       |        |      |        |      |           |          |          |            | (HC)        |                 |              | (4D9D,10C)    |           |          |                    |       | (IOC.QC.KC) |             |       |         |
| . Player 1: ok i dont think 16 moves will make it here   | QH    |        |      |        |      |           |          |          |            |             |                 |              | 88            |           |          |                    |       | 211         |             |       |         |
| Dissor 1: the 12   | 0.000 |        |      |        |      |           |          |          |            |             |                 | _            |               |           |          |                    |       |             |             |       |         |
| Player 2: yes yes are the n on the battern right   |       |        |      |        |      |           |          |          |            | {10C}       | (4D(8D)         | ((D(0))      | {(D9D,HC)     |           |          |                    |       | (10C,QC,KC) | {10C,QC,RC) |       |         |
| Player 2: near the opening on the bottom   | 1000  |        |      |        | 511  |           | 20       |          |            |             |                 |              | 13            |           |          |                    |       |             |             |       |         |
| . Player 1: i'm not sure what the U is   | 040   | _      |      |        | _    |           |          |          |            | 13403       | _               | _            | 100001000     |           |          |                    | _     | 1100.00.00  | 100.00 800  | _     |         |
| . Player 1: are you at the break in the bottom wall  |       | _      |      |        |      |           |          |          |            | (IIIC)      |                 |              | (+LOLCHC)     | _         |          |                    |       | (IOCACAC)   | (IOCQCAE)   | _     |         |
| . Player 2: 2 left one u from it   | (AC)  | _      |      |        |      |           |          |          |            |             |                 |              | _             | _         |          |                    |       |             | _           | _     |         |
| . Player I: ok<br>Mener I: each I dear thick and an and a labor from them  |       | -      |      |        |      | (10C KC)  | (11C)    | (100)    | (100)      | (00C)       |                 |              | (ID9D)PC1     | 100903001 | 41D4D30C | COMPANY OF COMPANY |       | (IOCOCKC)   | TOCOCKET    |       |         |
| Payer 1: year room mink you can make it nere from there<br>there is if the 0 is nere my much i see being it holders. | AD    | 60     |      | _      |      |           |          |          |            |             |                 |              |               |           | 428      | 2DJH               |       |             |             | _     |         |
| Player 2: can you make it to me and back   | (AC)  | (AC)   |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| . Player 1: not sare yet   |       |        |      |        |      | (100300)  |          |          |            |             |                 |              |               |           |          |                    |       | (100.00.80) | (IOC.QC.MC) | (10C) | meaci   |
| . Player 1: yeah   |       |        |      |        |      | 38        |          |          |            |             |                 |              |               |           |          |                    |       |             |             | 1044  | (PACAL) |
| . Player 1: depends on where the 9 is  |       | {AC}   |      |        |      | {AC}      | (AC)     |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| . Player 1: make sure to leave a turn left to pick up the card   |       |        |      |        |      | (100 300) | (20C,KC) | (10C.KC) | {10C,KC,QC | {DC}        | {10C)           | {10C}        | {10C}         | {10C}     | {HC}     | {DC}               | {10C) | {10C}       | (10C)       | {10C} |         |
| Player 1: ok found the 9   |       | 55     |      |        |      |           | 4C       |          |            | 95          |                 |              |               |           |          |                    |       |             |             |       |         |
| Proyer 2, an on the treat of and DOROTH  |       | (AC)   | (AC) | (AC)   | (AC) | (AC)      | (AC)     | (AC)     | (AC)       | (verticite) | (verbered       | Level de tre | (AC (C AC)    |           |          |                    |       |             |             |       |         |
| Player 1: yeah too far away  |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| Player 2: where are you  |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| . Player 1: what do you have in yr hand  |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| . Player 2: ac   |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| . Player 1: ok i dropped the Kand Q to the right of the break  |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| in ich bottom wall   |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| . Player 1: (II see if i can make it back to the other cards   |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| <ul> <li>Proyet 4: A</li> <li>Discore 1: search conclusion of states</li> </ul>                                      |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| Player 1: can you nick up the cards i dropped  |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| ringer is easy for post up on cirus ruropped   |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |
| <ol> <li>Player 1: we can sall cause</li> </ol>  |       |        |      |        |      |           |          |          |            |             |                 |              |               |           |          |                    |       |             |             |       |         |

66. Player 1: when you run out of moves

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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Novices

| Player | 1: | Hello. Are you here?  |
|--------|----|---|
| Player | 2: | yes   |
| Player | 2: | do you see any cards  |
| Player | 1: | Yes. I see a yellow spot. Those are our cards. We'll only be able to  |
|        |    | see the ones that are in our view                                     |
| Player | 1: | until we move with our arrows.  |
| Player | 2: | i see 3 of them   |
| Player | 1: | We only have a certain number of moves, so we should decide how we're |
|        |    | going to do this before we use them, do you think?                    |
| Player | 2: | sure  |
| Player | 1: | Ok. So, we have to pick up six cards of the same suit, in a row       |
| Player | 1: | each of us can hold three, so   |
| Player | 1: | I think I should get my three, then you should get your three or vice |
|        |    | versa   |
| Player | 2: | ok  |
| Player | 2: | you go ahead  |
| Player | 1: | What suit should we do?   |
| Player | 1: | And which six cards do you want to try for?                           |
| Player | 2: | whatever you want   |
| Player | 1: | I'mREDACTED_NAME, by the way- nice to meet you.                       |
| Player | 2: | i'mREDACTED_NAMEnice to meet you too                                  |
| Player | 1: | HiREDACTED_NAME How about we go for hearts? And take 234567           |

[...]

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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#### Experienced players

These players have explored and are now forming a strategy:

Player 1 I have 9 clubs and K clubs Player 1 want to look for clubs? Player 2 ok

[...]

The players then find various clubs, checking with each other frequently, until they gain an implicit understanding of which specific sequences to try for (either 8C-KC or 9C-AC):

Player 1 so you are holding Jc and Kc now? Player 2 i now have 10d JC and KC Player 2 yes Player 1 drop 10d and look for either 8c or Ace of clubs

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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Experts

Player 2: hi
Player 1: hi--which side r u on?
Player 2: right side
Player 2: u?
Player 1: left/middle
Player 1: ok i gathered everything in my area
Player 2: i think i have all of them also
Player 1: how bout 5C - 10C?
Player 2: ok
Player 1: i have 5C, 8C, 9C, and you should have 6C, 7C, 10C
Player 2: got them

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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Asymmetric play: one player has a very limited number of moves but infinite lineof-sight; the other has a large number of moves but very limited line of sight.

```
Player 1: Hi
Player 2: hi where are you
Player 1: near the upper right
Player 2: ok any cards that way
Player 1: lots of cards near me to the upper right corner
Player 2: did you get that
Player 1: get wjat ?
Player 2: the drop in the top right
Player 1: I have not gone there yet
Player 2: ok I'll wait
Player 2: we have the 4 8 i h
Player 2: 3 k c
Plaver 1: ok
Player 1: the cards are pretty scattered
Player 1: did you check the entire right column?
Plaver 2: doing it now
Player 2: what hearts do we have
Player 1: 4 8 J
Player 1: uh., need any help ?
Player 2: are there any on the bottom
Player 2: I have the 6 7 hearts
Player 1: yes the bottom row has 6 cards
Plaver 1: 48JKQAH
```

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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## **Player annotations**

- 879 of the transcripts include player lds.
- 1183 distinct players



Figure: Number of games played by each player. The data points have been jittered randomly along the y-axis to make their clustering evident.

(Djalali et al. 2011: expertise affects strategy and language)

|                                     | Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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# Language in context

Each transcript is a data structure that is intuitively a list of temporally-ordered states

(context, event)

The context includes

- local information (the state of play at that point)
- historical information (the events up to that point)
- global information (limitations of the game, the task, etc.)

When the event is an utterance, we can interpret it in context.

This is what pragmatics is all about, but it is very rare to have a dataset that truly lets you do it.

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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# Other task-oriented dialogue corpora

| Corpus      | Task type  | Domain    | Task-orientation | Dialogues | Format      |
|-------------|------------|-----------|------------------|-----------|-------------|
| Switchboard | discussion | wide-open | very loose       | 2,400     | aud/txt     |
| SCARE       | search     | 3d world  | tight            | 15        | aud/vid/txt |
| Cards       | search     | 2d grid   | tight            | 745       | txt         |
| TRAINS      | routes     | map       | tight            | 120       | aud/txt     |
| Map Task    | routes     | map       | tight            | 128       | aud/vid/txt |

(See also Blaylock and Allen 2005)

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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| Cards       | search     | 2d grid   | tight            | 745       | txt         |
| TRAINS      | routes     | map       | tight            | 120       | aud/txt     |
| Map Task    | routes     | map       | tight            | 128       | aud/vid/txt |

(See also Blaylock and Allen 2005)

Chief selling points for Cards:

- Pretty large.
- Controlled enough that similar things happen often.
- Very highly structured the only corpus whose release version allows the user to replay all games with perfect fidelity.

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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# Collaborative reference

- Clark (1996) argues that language use is collaborative: speakers and hearers always work together to create meaning and guide the discourse.
- This section first reports on a seminal set of experiments by Clark and Wilkes-Gibbs (1986) highlighting the collaborative nature of referring.
- I then review the COREF system of DeVault (2008), DeVault et al. (2005), andDeVault and Stone (2007, 2009), which the creators have evaluated using human-subjects methods and machine learning methods.
- I then sketch two ways in which these insights and experiments can be adapted to work with the Cards Corpus.

# Collaboration (Clark and Wilkes-Gibbs 1986)

## The 'literary' model of reference in discourse

- The speaker is in complete control.
- All noun phrases are well-formed and contextually unambiguous.
- Uttering makes it so the addressee perceives the speaker's intentions perfectly simply in virtue of the speaker's linguistic choices.

#### The collaborative model of reference in discourse

- Speakers' referring expressions often involve self-correction and impromptu addition of extra descriptive content.
- The addressee often steps in to make corrections.
- The speaker often invites addressee-participation via intonational cues, dummy phrases like *what's his name*, and physical gestures inviting the addressee to fill in descriptive content.

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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#### The tangrams experiment

Figure 1. The 12 Tangram figures arranged by directors and matchers.



- Paired participants draw lots for the director *D* and matcher *M* roles.
- 2 D gets an arrangement of the above twelve figures in a particular order.
- 3 M gets the figures in a random order.
- Ø D is tasked with getting M to rearrange her figures so that they are in the same order as D's.
- 6 Each pair does six trials.

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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## Examples

## Matcher acknowledgement

- A: Number 4's the guy leaning against the tree.
- B: Okay.

#### Installment noun phrase with Matcher acknowledgement

- A: And the next one is the one with the triangle to the right ...
- B: Okay.
- A: With the square connected to it.

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# Examples

# The Matcher fills in the Director's dummy descriptor

- A: And number 12 is, uh, ...
- B: Chair.
- A: With the chair, right.
- B: Got it.

# The Matcher signals lack of certainty

- A: Okay, the next one is the rabbit.
- B: Uh-
- A: That's asleep, you know, it looks like it's got ears and a head pointing down.

. . . . .

- B: Okay.
- A: Uh, person putting a shoe on.
- B: Putting a shoe on?
- A: Uh huh. Facing left. Looks like he's sitting down.
- B: Okay.

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# Findings

For a broad picture of what occurred, consider this very simple series of utterances by one director for figure I on trials 1 through 6:

- 1. All right, the next one looks like a person who's ice skating, except they're sticking two arms out in front.
- 2. Um, the next one's the person ice skating that has two arms?
- 3. The fourth one is the person ice skating, with two arms.
- 4. The next one's the ice skater.
- 5. The fourth one's the ice skater.
- 6. The ice skater.
- For the group as a whole, directors' referential phrases were longer in earlier trials than in later ones (mean of 41 words in trial 1; mean of 8 in trial 6).
- Por the group as a whole, directors took more turns in earlier trials than later ones (mean of 3.7 turns/figure on trial 1; mean of 1 turn per figure on trial 6).
- So For the group as a whole, the pairs became more efficient during each trial as well, as the number of unplaced pictures declined. In trial 1, the efficiency gains were greatest, in trial 6 they were the smallest. By that time, they had settled on preferred referential phrases.
- Ø For the group as a whole, referential phrases were more standard in later trials than earlier ones.
- Basic exchanges, consisting of a non-collaborative presentation and a simple asserted or presupposed acceptance, were far more frequent in early trials than in later ones.

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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## General principles

#### Minimizing collaborative effort

"Our proposal is that speakers and addressees try to minimize *collaborative effort*, the work both speakers and addressees do from the initiation of the reference process to its completion" (p. 26).

#### Principle of mutual responsibility

"The participants in a conversation try to establish, roughly by the initiation of each new contribution, the mutual belief that the listeners have understood what the speaker meant in the last utterance to a criterion sufficient for current purposes" (p. 33)

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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# The COREF system of David DeVault and Matthew Stone

## A task-oriented dialogue system

- COREF and its human interlocutor collaborate on a simple referential task, improving forms and resolving ambiguities using contextual and linguistic information.
- The experimental task is the same as Clark and Wilkes-Gibbs's (though with simpler and fewer shapes), but now one of the agents can be artificial.


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### **COREF's interpretations**



- OREF: is the target round?
- 3 c4: brown diamond
- ④ COREF: do you mean dark brown?
- 6 c4: yes

## COREF's possible interpretation for ③

```
i2,1= { c4:tacitAbandonTasks[2],
    c4:addcr[t7,rhombus(t7)],
    c4:setPrag[inFocus(t7)],
    c4:addcr[t7,saddlebrown(t7)]}
i2,2= { c4:tacitAbandonTasks[2],
    c4:addcr[t7,rhombus(t7)],
    c4:setPrag[inFocus(t7)],
    c4:addcr[t7,sandybrown(t7)]}
```

### Managing the ambiguities via probability

- COREF maintains a probability distribution over up to three potential dialogue states.
- The nature of this distribution should be given by numerous contextual and decision-making factors.
- In the implemented system, a simple measure is used, which assigns higher probability to interpretations that require fewer tacit actions.

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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### COREF's utterances

For ambiguity resolution, COREF specializes in three areas

- () word-sense ambiguities (e.g., *brown* in the previous example)
- 2 referential ambiguities
- speech-acts (e.g., distinguishing statement from request)

## COREF's strategies for eliminating possible interpretations

- Querying the user for additional information (as in the previous example).
- Pooling information from multiple utterances:
  - c1: color is orange
  - 2 c1: shape is diamond
  - 3 COREF: ok



| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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### Experimental results (DeVault and Stone 2007)

- · 20 human subjects participated over the Net, collaborating with COREF.
- Each subject saw 29 objects in three groups:
  - Round 1: 4 objects, 2 × 2 grid
  - Round 2: 9 objects, 3 × 3 grid
  - Round 3: 16 objects, 3 × 3 grid
- COREF or the subject randomly chosen to be Director for Round 1; subsequently, they alternated.

|         |           |         |       | o 6   |
|---------|-----------|---------|-------|-------|
| correct | no object | skipped | wrong | Per 4 |
| 75.0%   | 14.3%     | 7.4%    | 3.3%  | 0     |

Table 1: Overall distribution of object outcomes.

| 1 context | 2 contexts | 3 contexts |
|-----------|------------|------------|
| 83.4%     | 6.8%       | 9.8%       |

Table 2: Number of possible contexts perceived when utterances or actions occur.



Figure 3: Object outcome vs. context uncertainty.

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e

|        |                      | $i_{1,1} = \langle$ | COREF : tacitNop[[c4 does clickContinue[]]],            |
|--------|----------------------|---------------------|---|
|        |                      |                     | c4 : pushCollabRef[c4, COREF, t7],                      |
|        |                      |                     | COREF : pushYNQ[COREF, c4, addcr[t7, P], negcr[t7, P]], |
|        |                      |                     | COREF : askYNQ[addcr[t7, circleFigureObject(t7)]],      |
| COREF: | is the target round? |                     | COREF : setPrag[inFocus(Y), inFocus(t7)])               |

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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|   |                  |                    | $i_{1,1} = \langle \text{ COREF } : \text{ tacitNop[[c4 does clickContinue[]]]},$ |
|---|------------------|--------------------|---|
|   |                  |                    | c4 : pushCollabRef[c4, COREF, t7],  |
|   |                  |                    | COREF : pushYNQ[COREF, c4, addcr[t7, P], negcr[t7, P]],                           |
|   |                  |                    | COREF : askYNQ[addcr[t7, circleFigureObject(t7)]],                                |
| 2 | COREF:           | is the target roun | COREF : setPrag[inFocus(Y), inFocus(t7)])   |
| - |                  | -                  | $i_{2,1}=(c4:tacitAbandonTasks[2],$   |
|   |                  |                    | <pre>c4 : addcr[t7, rhombusFigureObject(t7)],</pre>                               |
|   |                  |                    | <pre>c4 : setPrag[inFocus(Y), inFocus(t7)],</pre>                                 |
|   |                  |                    | c4 : addcr[t7, saddlebrownFigureObject(t7)] >                                     |
| 0 | c4.              | brown diamond      |   |
| 3 | C <del>4</del> . | biowin diamond     | , (   |
|   |                  |                    | $i_{2,2} = \langle c4 : tacitAbandonTasks[2],$                                    |
|   |                  |                    | <pre>c4 : addcr[t7, rhombusFigureObject(t7)],</pre>                               |
|   |                  |                    | <pre>c4 : setPrag[inFocus(Y), inFocus(t7)],</pre>                                 |
|   |                  |                    | c4 : addcr[t7, sandybrownFigureObject(t7)])                                       |

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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|   |        | $i_{1,1} = \langle \text{ COREF } : \texttt{tacitNop[[c4 does clickContinue[]]]},$ |
|---|--------|--|
|   |        | c4 : pushCollabRef[c4, COREF, t7],   |
|   |        | COREF : pushYNQ[COREF, c4, addcr[t7, P], negcr[t7, P]],                            |
|   |        | COREF : askYNQ[addcr[t7, circleFigureObject(t7)]],                                 |
| 2 | COREF: | is the target round? COREF : setPrag[inFocus(Y), inFocus(T)])                      |
|   |        | $i_{2,1}=(c4:tacitAbandonTasks[2],$  |
|   |        | c4 : addcr[t7, rhombusFigureObject(t7)],   |
|   |        | c4 : setPrag[inFocus(Y), inFocus(t7)],   |
|   |        | c4 : addcr[t7, saddlebrownFigureObject(t7)])                                       |
| 0 | c4·    | brown diamond  |
| 9 | 04.    | siowir diamond , , (   |
|   |        | $i_{2,2} = \langle c4 : tacitAbandonTasks[2],$                                     |
|   |        | c4 : addcr[t7, rhombusFigureObject(t7)],   |
|   |        | <pre>c4 : setPrag[inFocus(Y), inFocus(t7)],</pre>                                  |
|   |        | c4 : addcr[t7, sandybrownFigureObject(t7)])  |
| 4 | COREF: | do you mean dark brown?  |

| Overview | The Switchboard Dialog Act Corpus | Context   | The Cards Corpus | Collaborative reference | Conclusion |
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|   |                  | $i_{1,1} = \langle \text{ COREF } : \texttt{tacitNop[[c4 does clickContinue[]]]},$ |
|---|------------------|--|
|   |                  | c4 : pushCollabRef[c4, COREF, t7],   |
|   |                  | COREF : pushYNQ[COREF, c4, addcr[t7, P], negcr[t7, P]],                            |
|   |                  | COREF : askYNQ[addcr[t7, circleFigureObject(t7)]],                                 |
| 2 | COREF:           | is the target round? COREF : setPrag[inFocus(Y), inFocus(t7)])                     |
|   |                  | $i_{2,1} = (c4:tacitAbandonTasks[2],$  |
|   |                  | c4 : addcr[t7, rhombusFigureObject(t7)],   |
|   |                  | c4 : setPrag[inFocus(Y), inFocus(t7)],   |
|   |                  | c4 : addcr[t7, saddlebrownFigureObject(t7)])                                       |
| 0 | c4·              | brown diamond  |
| 3 | C <del>4</del> . | , , (  |
|   |                  | $i_{2,2} = ( c4 : tacitAbandonTasks[2],$   |
|   |                  | c4 : addcr[t7, rhombusFigureObject(t7)],   |
|   |                  | c4 : setPrag[inFocus(Y), inFocus(t7)],   |
|   |                  | c4 : addcr[t7, sandybrownFigureObject(t7)])  |
| • | CORFE            | do vou mean dark brown?  |
| - | 0011211          | ao you mount dunt brown.   |
| 5 | c4:              | yes  |

|   | 201101010101011 |
|---|-----------------|
| 0000 0000000 00000000 00000000 00000000 | 00000           |

|   |        | $i_{1,1}=$ ( COREF : tacitNop[[c4 does clickContinue[]]],      |
|---|--------|--|
|   |        | c4 : pushCollabRef[c4, COREF, t7],                             |
|   |        | COREF : pushYNQ[COREF, c4, addcr[t7, P], negcr[t7, P]],        |
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|   |        | $i_{2,1}=(c4:tacitAbandonTasks[2],$                            |
|   |        | c4 : addcr[t7, rhombusFigureObject(t7)],                       |
|   |        | <pre>c4 : setPrag[inFocus(Y), inFocus(t7)],</pre>              |
|   |        | c4 : addcr[t7, saddlebrownFigureObject(t7)]) CORRECT           |
| 3 | c4:    | brown diamond {  |
|   | • • •  | $i_{2,2} = \langle c4 : tacitAbandonTasks[2],$                 |
|   |        | c4 : addcr[t7, rhombusFigureObject(t7)].                       |
|   |        | c4 : setPrag[inFocus(Y), inFocus(t7)].                         |
|   |        | c4 : addcr[t7, sandybrownFigureObject(t7)]) INCORRECT          |
|   |        | de view mee en deule brever 0                                  |
| 4 | COREF: | do you mean dark brown?  |
| 5 | c4:    | yes  |
|   |        |  |

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Player 2: Look for 2. Player 1: and the 3?

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The players are holding {4H,KH}

Player 2: Look for 2. Player 1: and the 3?

#### (Djalali et al. 2012)

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Underspecified referential expressions are extremely common:

| Phrase type     | Count     |
|-----------------|-----------|
| Fully specified | 103 (37%) |
| Underspecified  | 172 (63%) |
| Total           | 275       |

Table: Annotations for 10 transcripts.

#### The players are holding {4H,KH}

Player 2: Look for 2. Player 1: and the 3?

(Djalali et al. 2012)

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Table: Annotations for 10 transcripts.

The corpus provides extensive information that can be used to disambiguate such utterances:

- The cards the players are currently holding.
- The cards picked up in the past.

The players are holding {4H,KH} Player 2: Look for 2. Player 1: and the 3?

- The cards mentioned in the past.
- The cards manipulated immediately before/after underspecified references.
- The players' utterances surrounding the underspecified reference.

### (Djalali et al. 2012)

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## Cards Corpus connections: quantifier domains

- P2: lets do spades
- P2: I have the as, qs, and ks [...]
- P2: ok, i found js
- P1: Ok. I haven't found anything...lol



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### Cards Corpus connections: quantifier domains

- P2: lets do spades
- P2: I have the as, qs, and ks [...]
- P2: ok, i found js
- P1: Ok. I haven't found anything...lol



| Quantifier | Literally true | Literally false |
|------------|----------------|-----------------|
| anything   | 2              | 6               |
| nothing    | 0              | 6               |
| Total      | 2 (14%)        | 12 (86%)        |

Table: In context (find|found|see|saw) (any|no)thing. Effectively no tokens are interpreted literally.

## Summary of corpus resources

- SwDA: http://www.stanford.edu/~jurafsky/ws97/
- SwDA with Treebank3 alignment: http://compprag.christopherpotts.net/swda.html
- Edinburgh Map Corpus: http://groups.inf.ed.ac.uk/maptask/
- TRIPS:

http://www.cs.rochester.edu/research/cisd/projects/trips/

• TRAINS:

http://www.cs.rochester.edu/research/cisd/projects/trains/

• Cards:

http://CardsCorpus.christopherpotts.net/

• SCARE:

http://slate.cse.ohio-state.edu/quake-corpora/scare/

• The Carnegie Mellon Communicator Corpus (human-computer transcripts): http://www.speech.cs.cmu.edu/Communicator/

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## Summary and prospects

### Summary

- Dialogue demands knowledge of the world/domain and knowledge of conversational norms.
- Dialogue is situated; implemented systems must be grounded in the world/action.
- Dialogue is joint action (Clark 1996); our discourse moves are collaborative.

### Prospects (exciting ideas)

- Human-subjects testing for evaluation (an old idea reborn!).
- Implemented systems should provide their own training data (DeVault and Stone 2009; Artzi and Zettlemoyer 2011).
- Flexible notions of rich context: webpages, mobile device states, semi-structured databases.
- Language as action: interpretation and production are driven by the evolving goals of the conversation.

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