

Semantic role labeling

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CS 244U: Natural language understanding

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With diagrams and ideas from Scott Wen-tau Tih, Kristina Toutanova, Dan Jurafsky, Sameer Pradhan, Chris Manning, Charles Fillmore, Martha Palmer, and Ed Loper.



Plan and goals

“There is perhaps no concept in modern syntactic and semantic theory which is so often involved in so wide a range of contexts, but on which there is so little agreement as to its nature and definition, as THEMATIC ROLE”
(Dowty 1991:547)

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“There is perhaps no concept in modern syntactic and semantic theory which is so often involved in so wide a range of contexts, but on which there is so little agreement as to its nature and definition, as THEMATIC ROLE”
(Dowty 1991:547)

- 1 Semantic roles as a useful shallow semantic representation
- 2 Resources for studying semantic roles:
 - FrameNet
 - PropBank
- 3 Semantic role labeling:
 - *Identification*: which phrases are role-bearing?
 - *Classification*: for role-bearing phrases, what roles do they play?
 - Evaluation
 - Tools
- 4 Approaches to semantic role labeling:
 - Models
 - Local features
 - Global and joint features



Common high-level roles

Definitions adapted from <http://www.sil.org/linguistics/GlossaryOfLinguisticTerms/WhatIsASemanticRole.htm>

- **Agent:** a person or thing who is the doer of an event
- **Patient/Theme:** affected entity in the event; undergoes the action
- **Experiencer:** receives, accepts, experiences, or undergoes the effect of an action
- **Stimulus:** the thing that is felt or perceived
- **Goal:** place to which something moves, or thing toward which an action is directed.
- **Recipient** (sometimes grouped with Goal):
- **Source** (sometimes grouped with Goal): place or entity of origin
- **Instrument:** an inanimate thing that an Agent uses to implement an event
- **Location:** identifies the location or spatial orientation of a state or action
- **Manner:** how the action, experience, or process of an event is carried out.
- **Measure:** notes the quantification of an event

(Dowty 1991:§3 on how, ahh, extensive and particular these lists can become)

Examples

- 1 [Agent Doris] caught [Theme the ball] with [Instrument her mitt].
- 2 [Agent Sotheby's] offered [Recipient the heirs] [Theme a money-back guarantee].
- 3 [Stimulus The response] dismayed [Experiencer the group].
- 4 [Experiencer The group] disliked [Stimulus the response].
- 5 [Agent Kim] sent [Theme a stern letter] to [Goal the company].

Roles and morpho-syntactic diversity

Kim sent Sandy a letter.
 Kim sent a letter to Sandy.
 A letter was sent to Sandy by Kim.
 Sandy was sent a letter by Kim. } **Agent:** Kim, **Theme:** a letter, **Goal:** Sandy

Kim criticized the administration.
 Kim demanded the resignation.
 The compromise was rejected by Kim.
 Kim paid the check. } **Agent:** Kim, **Theme:** *

The storm frightened Sandy.
 Sandy feared the storm. } **Experiencer:** Sandy, **Stimulus:** the storm

Sam froze the ice cubes.



The ice cubes froze.

Jed ate the pizza.



Jed ate.

Edith cut the bread easily.



The bread cut easily.

Applications

The applications tend to center around places where we want a semantics that abstracts away from syntactic differences:

- Question answering (abstract Q/A alignment)
- Translation (abstract source/target alignment)
- Information extraction (grouping conceptually related events)
- High-level semantic summarization (what role does Obama/Gingrich/Romney typically play in media coverage?)
- ...

Let's annotate some data!

- **Agent**
- **Patient/Theme**
- **Experiencer**
- **Stimulus**
- **Goal**
- **Recipient**
- **Source**
- **Instrument**
- **Location**
- **Manner**
- **Measure**

① [Doris] hid [the money] [in the jar].

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① [Agent Doris] hid [Theme the money] [Location in the jar].

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① [Agent Doris] hid [Theme the money] [Location in the jar].

② [Sam] broke [the flowerpot].

Let's annotate some data!

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- 1 [Agent Doris] hid [Theme the money] [Location in the jar].
- 2 [Agent Sam] broke [Theme the flowerpot].
- 3 [The flowerpot] broke.

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- 2 [Agent Sam] broke [Theme the flowerpot].
- 3 [Theme The flowerpot] broke.
- 4 [The storm] frightened [Sam].

Let's annotate some data!

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- 1 [Agent Doris] hid [Theme the money] [Location in the jar].
- 2 [Agent Sam] broke [Theme the flowerpot].
- 3 [Theme The flowerpot] broke.
- 4 [Stimulus The storm] frightened [Experiencer Sam].
- 5 [The speaker] told [a story].

Let's annotate some data!

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- ③ [Theme The flowerpot] broke.
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- ⑤ [Agent The speaker] told [Theme a story].
- ⑥ [The watch] told [the time].

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③ [Theme The flowerpot] broke.

④ [Stimulus The storm] frightened [Experiencer Sam].

⑤ [Agent The speaker] told [Theme a story].

⑥ [Source The watch] told [Theme the time].

???

Let's annotate some data!

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- ③ [Theme The flowerpot] broke.
- ④ [Stimulus The storm] frightened [Experiencer Sam].
- ⑤ [Agent The speaker] told [Theme a story].
- ⑥ [Source The watch] told [Theme the time].
- ⑦ [Italians] make [great desserts].

???

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- ⑦ [Agent Italians] make [Theme great desserts].

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- ③ [Theme The flowerpot] broke.
- ④ [Stimulus The storm] frightened [Experiencer Sam].
- ⑤ [Agent The speaker] told [Theme a story].
- ⑥ [Source The watch] told [Theme the time].
- ⑦ [Agent Italians] make [Theme great desserts].
- ⑧ [Cookies] make [great desserts].

???

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- ① [Agent Doris] hid [Theme the money] [Location in the jar].
- ② [Agent Sam] broke [Theme the flowerpot].
- ③ [Theme The flowerpot] broke.
- ④ [Stimulus The storm] frightened [Experiencer Sam].
- ⑤ [Agent The speaker] told [Theme a story].
- ⑥ [Source The watch] told [Theme the time]. ???
- ⑦ [Agent Italians] make [Theme great desserts].
- ⑧ [Source? Cookies] make [Pred? great desserts]. ???

Challenges and responses

Challenges (from Dowty 1991:§3)

- Roles are hard to define/delimit.
- It can be hard to know which meaning contrasts are role-related and which belong to other domains, especially
 - lexical influences that subdivide roles very finely;
 - conceptual domains that cross-cut role distinctions;
 - information structuring

Responses

- Dowty (1991): argue forcefully for a tiny set of very general roles.
- PropBank: adopt a small set of roles as a matter of convenience, or to change the subject.
- FrameNet: different roles sets for different semantic domains, with some abstract connections between domains.

A brief history of semantic roles

- 1 Common in descriptive grammars dating back to the origins of linguistics, where they are used to informally classify predicates and case morphology.
- 2 Fillmore (1968) proposes an abstract theory of Case to capture underlying semantic relationships that affect/guide syntactic expression.
- 3 Syntacticians seek to discover patterns in how thematic (theta) roles are expressed syntactically (linking theory), and in how roles relate to each other and to other properties (e.g., animacy).
- 4 In linguistics, lexical semantics is currently a thriving area in which one of the central concerns is to find systematic connections between different argument realizations (Levin and Rappaport Hovav 2005).
- 5 Early SRL systems based on rule sets designed for specific texts (Simmons 1973; Riesbeck 1975).
- 6 The FrameNet project (Baker et al. 1998; Fillmore and Baker 2001) continues the research line begun by Fillmore.
- 7 Gildea and Jurafsky (2000, 2002) are among the very first to use resources like FrameNet to train general-purpose SRL systems.
- 8 PropBank (Palmer et al. 2005) provides comprehensive annotations for a section of the Penn Treebank, facilitating experiments of the sort that dominate NLP currently.

PropBank 1 (Palmer et al. 2005)

- A subset of the Wall Street Journal section of the Penn Treebank 2:
 - the version number is important; v1 and v3 will be misaligned in places
 - the subdirectory is `combined/ws_j/`, which contains subdirectories of `.mrg` files
- 112,917 annotated examples (all centered around verbs)
- 3,257 unique verbs
- Core arguments numbered; peripheral arguments labeled
- Contains only verbs and their arguments
- Stand-off annotations:
 - `data/prop.txt`: one example per row, indexed to the Treebank files
 - `data/verbs.txt`: the list of verbs (by type)
 - `data/vloc.txt`: format

```
filename tree_no string_index verb_lemma
```
 - `data/frames`: directory containing verbal frame files (XML)

PropBank frames and labels

Frame: increase.01

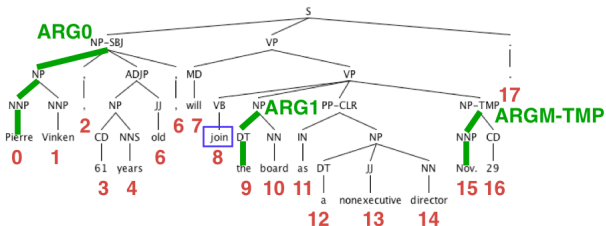
- name: go up incrementally
- vncls: 45.4 45.6
- ARG0 causer of increase (vntheta: Agent)
- ARG1 thing increasing (vntheta: Patient)
- ARG2 amount increased by, EXT or MNR (vntheta: Extent)
- ARG3 start point (vntheta: –)
- ARG4 end point (vntheta: –)

Examples

- 1 [ARG0 The Polish government] [rel increased] [ARG1 home electricity charges] [ARG2-EXT by 150%].
- 2 [ARG1 The nation's exports] [rel increased] [2-EXT 4%] [4-2 to \$50.45 billion].
- 3 [ARG1 Output] will be [2-MNR gradually] [rel increased] .

Example

First row of prop. txt	
Field	Value
wsj-filename	wsj/00/wsj_0001.mrg
sentence	0
terminal	8
tagger	gold
frameset	join.01
inflection	vf-a
proplabel	0:2-ARG0
proplabel	7:0-ARGM-MOD
proplabel	8:0-rel
proplabel	9:1-ARG1
proplabel	11:1-ARGM-PRD
proplabel	15:1-ARGM-TMP

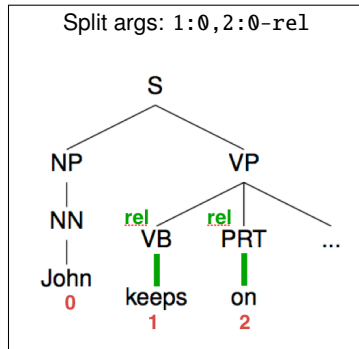
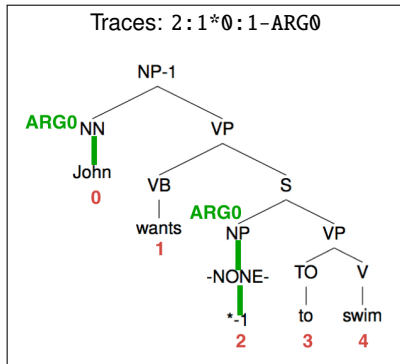


(only a subset of the ARG's labeled to avoid clutter)

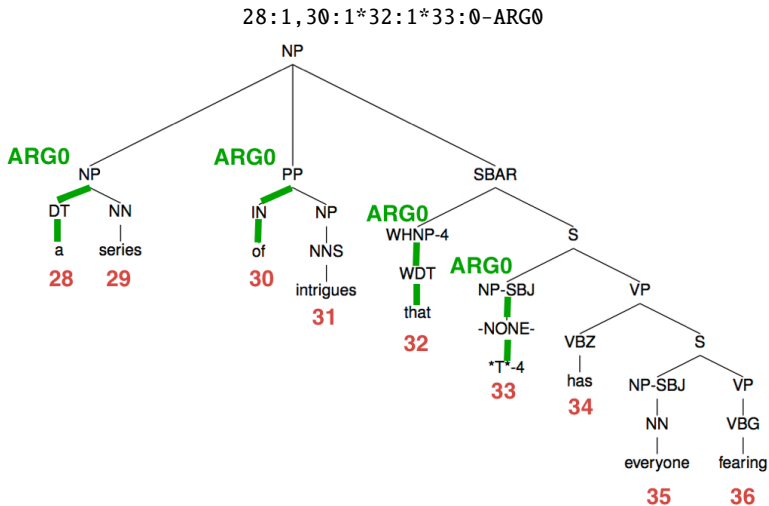
rel (verb) inflection fields ('-' means no value)	
1. form:	i=inf g=gerund p=part v=finite
2. tense:	f=future p=past n=present
3. aspect:	p=perfect o=prog. b=both perfect & prog.
4. person:	3=3rd person
5. voice:	a=active p=passive

Label	
EXT	extent
DIR	direction
LOC	location
TMP	temporal
REC	reciprocal
PRD	predication
NEG	negation
MOD	modal
ADV	adverbial
MNR	manner
CAU	cause
PNC	purpose not cause
DIS	discourse

Trace paths and discontinuity



Trace chains and discontinuity combined



PropBank tools

- Web browser:
`http://verbs.colorado.edu/verb-index/index.php`
- Stanford JavaNLP:
`http://nlp.stanford.edu/software/framenet.shtml`
- Python NLTK:
`http://nltk.sourceforge.net/corpus.html#propbank-corpus`
`http://nltk.googlecode.com/svn/trunk/doc/api/nltk.corpus.reader.propbank-module.html`

NLTK interface to PropBank: example level

```

>>> import nltk.data; nltk.data.path = ['/path/to/penn-treebank2/'] + nltk.data.path
>>> from nltk.corpus import propbank
>>> pb = propbank.instances()
>>> len(pb)
112917
>>> len(propbank.verbs())
3257
##### Grab the first sentence, the one we looked at before:
>>> i0 = pb[0]
>>> i0.fileid
'wsj_0001.mrg'
>>> i0.sentsnum
0
>>> i0.wordnum
8
>>> i0.inflection.tense
'f'
>>> i0.inflection.aspect
'_'
>>> i0.inflection.person
'_'
>>> i0.inflection.voice
'a'
>>> i0.roleset
'join.01'
>>> i0.arguments
((PropbankTreePointer(0, 2), 'ARG0'), (PropbankTreePointer(7, 0), 'ARGM-MOD'), \
(PropbankTreePointer(9, 1), 'ARG1'), (PropbankTreePointer(11, 1), 'ARGM-PRD'), \
(PropbankTreePointer(15, 1), 'ARGM-TMP'))

```


NLTK interface to PropBank: example level (continued)

```
>>> i0.tree.pprint()
'(S
  (NP-SBJ
    (NP (NNP Pierre) (NNP Vinken))
    (, ,)
    (ADJP (NP (CD 61) (NNS years)) (JJ old))
    (, ,))
  (VP
    (MD will)
    (VP
      (VB join)
      (NP (DT the) (NN board))
      (PP-CLR (IN as) (NP (DT a) (JJ nonexecutive) (NN director)))
      (NP-TMP (NNP Nov.) (CD 29))))
  (. .))'
```

```
>>> inst.predicate.select(i0.tree)
Tree('VB', ['join'])
```

```
>>> i0.arguments[0][0].select(i0.tree).pprint()
'(NP-SBJ
  (NP (NNP Pierre) (NNP Vinken))
  (, ,)
  (ADJP (NP (CD 61) (NNS years)) (JJ old))
  (, ,))'
```

NLTK interface to PropBank: frame level

```
>>> from nltk.etree import ElementTree

>>> j = propbank.roleset('join.01')
>>> j
<Element 'roleset' at 0x3b781a0>

>>> ElementTree.tostring(j)
<roleset id="join.01" name="attach" vncls="22.1-2">
<roles>
  <role descr="agent, entity doing the tying" n="0">
    <vnrole vncls="22.1-2" vntheta="Agent" /></role>
  <role descr="patient, thing(s) being tied" n="1">
    <vnrole vncls="22.1-2" vntheta="Patient1" /></role>
  <role descr="instrument, string" n="2">
    <vnrole vncls="22.1-2" vntheta="Patient2" /></role>
</roles>

<example name="straight transitive">
...

>>> for r in j.findall('roles/role'): print 'ARG' + r.attrib['n'], r.attrib['descr']
ARG0 agent, entity doing the tying
ARG1 patient, thing(s) being tied
ARG2 instrument, string
```

A more advanced example: argument number and theta role alignment

```

from collections import defaultdict
from operator import itemgetter
import nltk.data; nltk.data.path = ['/path/to/penn-treebank2/'] + nltk.data.path
from nltk.corpus import propbank

def role_iterator():
    for verb in iter(propbank.verbs()):
        index = 1
        while True:
            roleset_id = '%s.%s' % (verb, str(index).zfill(2))
            try:
                for role in propbank.roleset(roleset_id).findall('roles/role'):
                    yield role
                index += 1
            except ValueError:
                break

def view_arg_theta_alignment(n):
    counts = defaultdict(int)
    for role in role_iterator():
        if role.attrib['n'] == n:
            counts[role.attrib['descr']] += 1
    # View the result, sorted from most to least common theta role:
    for vtheta, count in sorted(counts.items(), key=itemgetter(1), reverse=True):
        print vtheta, count, round(float(count) / sum(counts.values()), 2)

```

Argument number and theta role alignment: examples

view_theta_alignment('0')	view_theta_alignment('1')	view_theta_alignment('2')
causer	96 0.023 utterance	77 0.017 instrument
speaker	66 0.016 path	41 0.009 hearer
agent, causer	46 0.011 entity in motion	26 0.006 benefactive
causal agent	45 0.011 thing hit	25 0.006 EXT
entity in motion	41 0.01 victim	22 0.005 attribute
giver	35 0.008 commodity	21 0.005 source
causer, agent	31 0.007 impelled agent	21 0.005 destination
cause, agent	29 0.007 experiencer	19 0.004 attribute of arg1
creator	29 0.007 thing given	19 0.004 instrument, if separate from arg0
agent	20 0.005 topic	17 0.004 impelled action
thinker	19 0.005 thing changing	17 0.004 listener
cutter	19 0.005 Logical subject, patient, thing falling	17 0.004 end state
agent, hitter - animate only!	18 0.004 thing in motion	17 0.004 instrument, thing hit by or with
builder	17 0.004 food	16 0.004 location
describer	16 0.004 construction	15 0.003 EXT, amount fallen
Agent	15 0.004 subject	14 0.003 recipient
⋮	⋮	⋮
2,454 vtheta types	2,842 vtheta types	1,125 vtheta types

Dependency relations and PropBank core semantic roles

Dep	ARG0	ARG1	ARG2	ARG3	ARG4
nsubj	32,564	13,034	995	42	1
dobj	340	16,416	971	79	9
iobj	4	65	195	24	1
pobj	53	246	14	0	0

PropBank summary

Virtues

- Full gold-standard parses.
- Full coverage of a single collection of documents — one of the most heavily annotated document collections in the world.
- Different levels of role granularity.

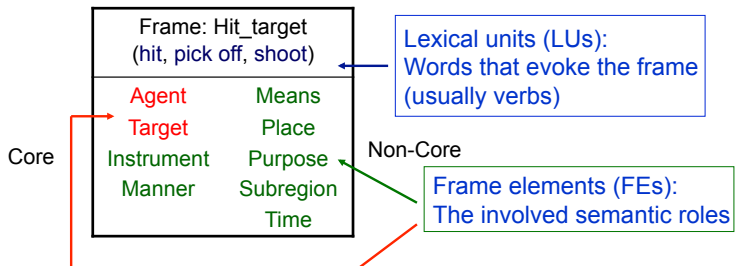
Limitations

- ARG2-5 overloaded. FrameNet (and VerbNet) both provide more fine-grained role labels
- WSJ too domain specific and too financial.
- Only verbs are covered; in language, nouns and adjs also have role arguments.

FrameNet

Data source: https://framenet.icsi.berkeley.edu/fndrupal/current_status

- Database of over 12,379 lexical units (7,963 full annotated).
- 1,135 distinct semantic frames (1,020 lexical; 115 non-lexical).
- 188,682 annotation sets (162,643 lexicographic; 26,039 full text).
- The 'net' part: words are related in numerous ways via their frames.



[Agent *Kristina*] *hit* [Target *Scott*] [Instrument *with a baseball*] [Time *yesterday*].

Background ideas (see Ruppenhofer et al. 2006)

Theoretical assumptions

- Word meanings are best understood in terms of the semantic/conceptual structures (frames) which they presuppose.
- Words and grammatical constructions that evoke frames and their elements.

Goals

- To discover and describe the frames that support lexical meanings.
- To provide names for the relevant elements of those frames
- To describe the syntactic/semantic valence of the words that fit the frames.
- To base the whole process on attestations from a corpus.

The focus is on the frames and their connections. Role labeling is necessary but secondary.

Example domains and frames

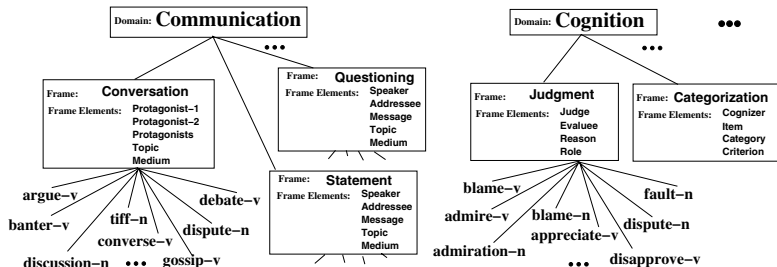


Figure 1
Sample domains and frames from the FrameNet lexicon.

(From Gildea and Jurafsky 2002:249)

From strings to frames

FrameNet Data Search for risk

Frame search results: Closest match is risk

[Risk_scenario](#), [Risky_situation](#)

Lexical unit search results: Closest match is risk

Lexical Unit	Frame
risk.n	Daring
risk.n	Run_risk
risk.n	Risky_situation
risk.n	Being_at_risk
risk.v	Daring
risk.v	Run_risk
riskily.adv	Risky_situation
risky.a	Risky_situation

From strings to frames

Daring

[Lexical Unit Index](#)

Definition:

An **Agent** performs some **Action** which is considered imprudent. This frame is distinct from Attempt in that the danger that the **Agent** puts themselves in by performing the **Action** is profiled. The danger is not spelled out, but generally the **Action** has a possibility or likelihood of causing social or physical harm to the **Agent**.

RISKED taking another look.

From strings to frames

FEs:

Core:

Action [Act]

This FE denotes the **Action** taken by the **Agent**.

Agent [age]

Semantic Type: Sentient

The individual that performs the **Action**, resulting in danger to himself.

I do n't think **you** should **HAZARD** the ascent in the dark , that 's all .

Non-Core:

Manner [man]

Semantic Type: Manner

Any description of the risking action which is not covered by more specific FEs, including secondary effects (quietly, loudly), and general descriptions comparing events (the same way). In addition, it may indicate salient characteristics of an **Agent** that also affect the action (presumptuously, coldly, deliberately, eagerly, carefully).

If you **carelessly** **CHANCE** going back there, you deserve what you get.

Place [pla]

Semantic Type: Locative_relation

The location where the **Agent** risks doing the **Action**.

Back in his room, he **RISKED** peeking inside.

Purpose [Purp]

Semantic Type: State_of_affairs

The purpose for which the **Action** is performed.

He **RISKED** a look back **to check for pursuit**.

Reason [Reas]

Semantic Type: State_of_affairs

The **Reason** the **Agent** takes the risk.

She **RISKED** a disguise **since they already had a photo of her**.

Time [tim]

Semantic Type: Time

The time at which the **Agent** dares to perform the **Action**.

At dawn, the Captain **CHANCED** opening the hatch.

From strings to frames

Frame-frame Relations:

Inherits from: [Intentionally_act](#)

Is Inherited by:

Perspective on:

Is Perspectivized in:

Uses:

Is Used by:

Subframe of:

Has Subframe(s):

Precedes:

Is Preceded by:

Is Inchoative of:

Is Causative of:

See also:

From strings to frames

Lexical Units:

chance.n, chance.v, dare.v, hazard.v, risk.n, risk.v, venture.v

Created by 664 on 07/10/2002 06:18:42 PDT Wed

<u>Lexical Unit</u>	<u>LU Status</u>	<u>Lexical Entry Report</u>	<u>Annotation Report</u>	<u>Annotator ID</u>	<u>Created Date</u>
chance.n	Finished_Initial	Lexical entry	Annotation	664	07/10/2002 06:22:52 PDT Wed
chance.v	Finished_Initial	Lexical entry	Annotation	664	07/10/2002 06:20:20 PDT Wed
dare.v	Finished_Initial	Lexical entry	Annotation	664	07/10/2002 06:21:09 PDT Wed
hazard.v	Finished_Initial	Lexical entry	Annotation	664	07/10/2002 06:20:55 PDT Wed
risk.n	Created	Lexical entry	Annotation	664	07/10/2002 06:22:08 PDT Wed
risk.v	Finished_Initial	Lexical entry	Annotation	664	07/10/2002 06:21:53 PDT Wed
venture.v	Finished_Initial	Lexical entry	Annotation	664	07/10/2002 06:21:32 PDT Wed

From strings to frames

Lexical Entry

chance.n

Frame: Daring

Definition:

COD: a possibility of something happening.

Support(s): get, take

Controller(s): welcome

Frame Elements and Their Syntactic Realizations

The Frame Elements for this word sense are (with realizations):

Frame Element	Number Annotated	Realization(s)
Action	(6)	DEN.-- (1) DNI.-- (4) VPto.Dep (1)
Agent	(7)	NP.Ext (5) DNI.-- (2)
Manner	(1)	AVP.Dep (1)

Valence Patterns:

These frame elements occur in the following syntactic patterns:

Number Annotated	Patterns	
6 TOTAL	Action	Agent
(1)	DEN --	NP Ext
(2)	DNI --	DNI --
(2)	DNI --	NP Ext
(1)	VPto Dep	NP Ext
1 TOTAL	Agent	Manner
(1)	NP Ext	AVP Dep

From strings to frames

Annotation

[Lexical Entry](#) [Daring](#)

chance.n

Frame Element	Core Type
Action	Core
Agent	Core
Manner	Peripheral
Place	Peripheral
Purpose	Peripheral
Reason	Extra-Thematic
Time	Peripheral

Turn Colors On

- added
 - and uh pittosporum that used to be the kind of things we could plant all the time you [Agent,you] [Manner,really] [take]^{Supp} a CHANCE^{Target} with them freezing
 - On the positive side , most say the acting is great , and though [Agent,the film] `` does n't [take]^{Supp} enormous [Action>CHANCES^{Target}] , `` it is nevertheless `` extremely satisfying `` (Denby , The New Yorker) . Slate 's Edelstein is more positive than most , praising the `` deliciously resonant dual setting : a Catskills summer community to which middle - class Jews from the city migrate to swim and eat and play mah-jongg , and the gathering hippies at nearby Woodstock , ``
 - He can hardly bring himself to turn away , and sneaks back for another fix whenever [Agent>he] [gets]^{Supp} the CHANCE^{Target} [Action>DNI]
 - so you really not making a sizeable profit so it 's not really lucrative to take CHANCES^{Target} like that you know even though it it does exist[Agent>DNI][Action>DNI]
 - i i do n't think it 's anything wrong for a doctor to refuse to i do n't care about the Hippocratic oath i do n't think they should have to treat a patient with AIDS if they do n't want to you know why take a CHANCE^{Target} like that doctor in New York that got infected from a patient and you know she ended up i think[Agent>DNI][Action>DNI]
 - uh-huh well the best thing about it is that you can uh try something if you do n't like it shoot move on to something else that 's the way i 've uh looked at the whole thing here [Agent>i] 'll [take]^{Supp} a CHANCE^{Target} if i do n't like it i 'll go someplace else do something different[Action>DNI]
 - [Agent>They] [welcome]^{Ctrlr} the CHANCE^{Target} [Action>to belong] , to become self - sufficient , to regain their self - esteem and confidence .

Annotator ID(s): 571, 976

Full text annotations

From <https://framenet.icsi.berkeley.edu/fndrupal/index.php?q=fulltextIndex>

- American National Corpus Texts
- AQUAINT Knowledge-Based Evaluation Texts
- LUCorpus-v0.3
- Miscellaneous
- Texts from Nuclear Threat Initiative website, created by Center for Non-Proliferation Studies
- Wall Street Journal Texts from the PropBank Project

Gildea and Jurafsky (2000, 2002) FrameNet experiment format

From their training set:

body/action/arch.v.ar:<S TPOS="30621249"> <C TARGET="y"> Arch/VVB </C> <C FE="Agt" PT="CNI">
 (TOP (S (VP (VP (VB Arch) (NP (PRP\$ your) (NN back))) (ADVP (ADVP (RB as) (JJ high))) (SBAR (S

body/action/arch.v.ar:<S TPOS="67141515"> <T TYPE="Canonical"> </T> She/PNP snatched/VVD Bus
 (TOP (S (S (NP (PRP She)) (VP (VBD snatched) (NP (NN Buster))) (PP (IN from) (NP (PRP\$ his) (

...

body/action/bat.v.ar:<S TPOS="77171143"> <C TYPE="Blend"> </C> <C FE="Agt"> The/AT0 receptio
 (TOP (S (NP (DT The) (NN receptionist)) (VP (VBD had) (VP (ADVP (RB obviously)) (VBN recogn

body/action/bat.v.ar:<S TPOS="69048344"> Did/VDD <C FE="Agt"> saints/NN2 </C> ever/AV0 <C T
 (TOP (SQ (VBD Did) (NP (NNS saints))) (ADVP (RB ever)) (VP (VP (VB bat) (NP (PRP\$ their) (NNS

...

body/action/bend.v.ar:<S TPOS="25399472"> <C FE="Agt"> You/PNP </C> may/VM0 <C TARGET="y"> B
 (TOP (S (NP (PRP You)) (VP (MD may) (VP (VB bend) (NP (DT the) (JJR lower) (NN arm)) (NP (DT

FrameNet summary

Virtues

- Many levels of analysis.
- Different parts of speech (not just verbs).
- Diverse document collection.
- A rich lexical resource, not just for SRL.

Limitations (some addressed by the new full-text annotations)

- Example sentences are chosen by hand (non-random).
- Complete sentences not labeled
- No gold-standard parses or other annotations.
- A work in progress with sometimes surprising gaps.

Other corpora

- FrameNets in other languages:
https://framenet.icsi.berkeley.edu/fndrupal/framenets_in_other_languages
- VerbNet:
<http://verbs.colorado.edu/~mpalmer/projects/verbnet.html>
- NomBank (extends PropBank with NP-internal annotations):
<http://nlp.cs.nyu.edu/meyers/NomBank.html>
- Korean PropBank:
<http://www ldc.upenn.edu/Catalog/catalogEntry.jsp?catalogId=LDC2006T03>
- Chinese Propbanks:
<http://www ldc.upenn.edu/Catalog/catalogEntry.jsp?catalogId=LDC2005T23>
<http://www ldc.upenn.edu/Catalog/catalogEntry.jsp?catalogId=LDC2008T07>
- CoNLL-2005 shared task PropBank subset (tabular format):
<http://www.lsi.upc.edu/~srlconll/soft.html>
- Senseval 3 SRL (FrameNet subset):
<http://www.clres.com/SensSemRoles.html>
- SemEval 2007 (FrameNet, NomBank, PropBank, Arabic)
<http://nlp.cs.swarthmore.edu/semeval/tasks/index.php>

SRL tasks

Identification: which phrases are role-bearing?

- Necessary for real-world tasks, where phrases are unlikely to be identified as role-bearing.
- Role-bearing phrases need not be constituents, or even necessarily contiguous, making the search space enormous (2^n for n words, though most candidates will be absurd).

Classification: for role-bearing phrases, what roles do they play?

- Highly dependent on the underlying role set.
- Also a very large search space: $\approx 20^m$ for m arguments, assuming 20 candidate labels.

Evaluation: very involved and tricky to get right

- In identification, how do we score overlap/containment/subsumption?
- Should classification scores be influenced by identification errors?
- Are some argument-tyles more important than others?
- Are some mis-classifications worse than others?

Evaluation in Toutanova et al. 2008:§3.2

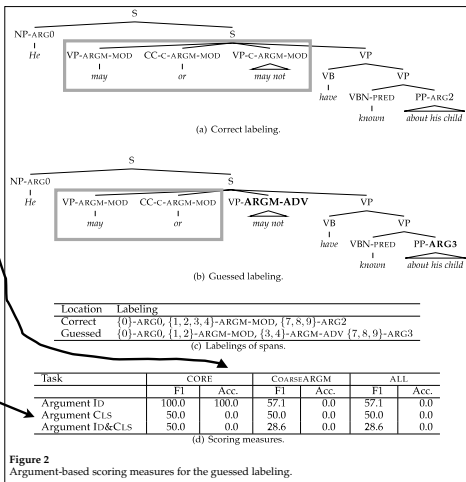
CORE: only core args

**CoarseARGM: adjuncts
all collapsed to ARGM**

ALL: all args

**Argument ID: classify
word sets as role-
bearing or not; all labels
mapped to ARG or NONE**

**Argument Cls: assign
roles to role-bearing
phrases**



Acc: whole frame accuracy

tp: gold ≠ NONE & guess = gold

fp: guess ≠ NONE & guess ≠ gold

fn: gold ≠ NONE & guess ≠ gold

p: $tp / (tp + fp)$

r: $tp / (tp + fn)$

F1: $(2 * p * r) / (p + r)$

Evaluation in Toutanova et al. 2008:§3.2

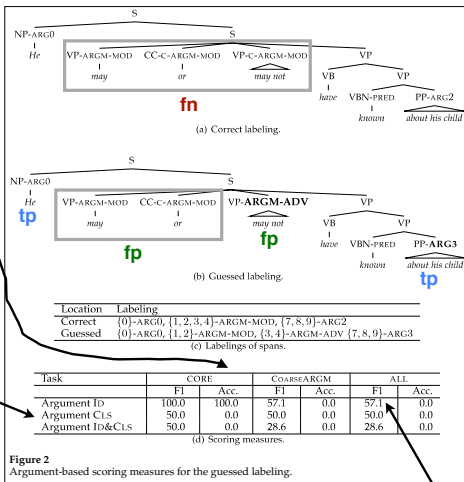
CORE: only core args

**CoarseARGM: adjuncts
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**Argument ID: classify
word sets as role-
bearing or not; all labels
mapped to ARG or NONE**

**Argument Cls: assign
roles to role-bearing
phrases**



Acc: whole frame accuracy

tp: gold \neq NONE & guess = gold

fp: guess \neq NONE & guess \neq gold

fn: gold \neq NONE & guess \neq gold

p: $tp / (tp + fp)$

r: $tp / (tp + fn)$

F1: $(2 * p * r) / (p + r)$

$p = tp / (tp + fp) = 2 / (2 + 2)$

$r = tp / (tp + fn) = 2 / (2 + 1)$

$f1 = (2 * 0.5 * 0.67) / (0.5 + 0.67) = 0.571$

CoNNL evaluation (Carreras and Màrquez 2005)

- Distributed as a Perl script from <http://www.lsi.upc.edu/~srlconll/soft.html>
- Essentially the same as the ARGUMENT Id&Cls metric of Toutanova et al. 2008: “For an argument to be correctly recognized, the words spanning the argument as well as its semantic role have to be correct.”
- Verbs are excluded from the evaluation, since they are generally the targets.
- For CoNNL, co-indexed arguments are treated as separate arguments

[ARG1 The deregulation] of railroads [R-ARG1 that] [PRED began] enabled shippers to bargain for transportation.

whereas for Toutanova et al. they are treated as single C- related constituents to be assigned a single role:

[ARG1 The deregulation] of railroads [C-ARG1 that] [PRED began] enabled shippers to bargain for transportation.

Tools (pause here for demos)

SwiRL: <http://www.surdeanu.name/mihai/swirl/>
 (Surdeanu and Turmo 2005; Surdeanu et al. 2007)

The glass broke .

```
( S1 0
  ( S 1
    ( NP 1 { B-A1-2 }
      ( DT 0 The the 0 )
      ( NN 1 glass glass 0 ) )
    ( VP 0
      ( VBD 2 broke break 0 ) )
    ( . 3 . . 0 ) ) )
```

DT	(S1(^S(NP*	"the"	0 (A1*
NN	^*)	"glass"	0 *)
VBD	(^VP^*)	"break"	1 *
.	*)	"."	0 *

Illinois: <http://cogcomp.cs.illinois.edu/demo/srl/>

The glass broke.

The	breaker [A0]	(S1 (S (NP (DT the)
glass		(NN glass))
broke	V: break	(VB (VBD broke))
.		(. .))

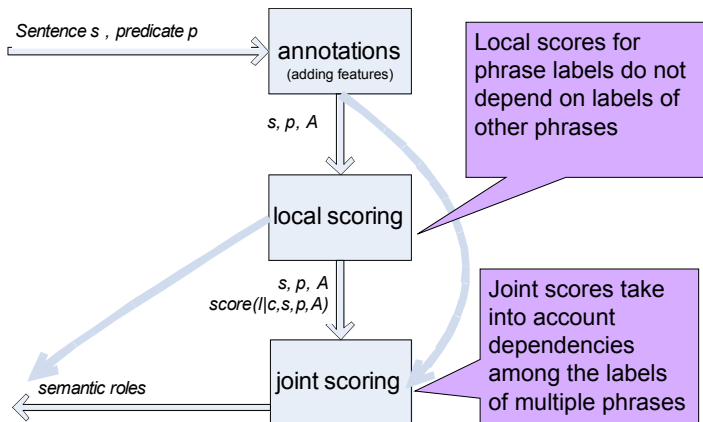
Approaches to SRL

Many different kinds of models have been used for SRL:

- Gildea and Jurafsky (2002): direct Bayesian estimates using rich morpho-syntactic features
- Pradhan et al. (2004): SVMs with very rich features
- Punyakanok et al. (2004, 2005): systems of hand-built, categorical rules with an integer linear programming solver
- Shallow morph-syntactic features (CoNNL-2005 systems)
- Toutanova et al. (2008): inter-label dependencies (discussed extensively here)

For many additional references, see Yih and Toutanova 2007.

Basic architecture



(From Yih and Toutanova 2007)

Local classifiers

Definition (Local SRL classifier)

- t : a tree
- v : a target predicate node in t
- L : a mapping from nodes in t to semantic roles (including NONE)
- $Id(L)$: the mapping that is just like L except all non-NONE values are ARG

The probability of L is given by

$$P_{SRL}^{LOCAL}(L|t, v) = \prod_{n_i \in t} P_{ID}(Id(l_i)|t, v) \times \prod_{n_i \in t} P_{CLS}(l_i|t, v, Id(l_i))$$

For classification, pick the L that maximizes this product.

- Toutanova et al. (2008:§4) train MaxEnt models for each term in the product and then multiply the predicted distributions together to obtain $P_{SRL}^{LOCAL}(L|t, v)$. The feature sets are the same for both models.
- Because the maximal labeling could involve overlapping spans and role assignments, they develop a dynamic programming algorithm that memoizes scores moving from the leaves to the root (§4.2). The gains are modest, though.

Baseline features

Standard Features (Gildea and Jurafsky 2002)

PHRASE TYPE: Syntactic Category of node

PREDICATE LEMMA: Stemmed Verb

PATH: Path from node to predicate

POSITION: Before or after predicate?

VOICE: Active or passive relative to predicate

HEAD WORD OF PHRASE

SUB-CAT: CFG expansion of predicate's parent

Additional Features (Surdeanu et al. 2003; Pradhan et al. 2004)

FIRST/LAST WORD

LEFT/RIGHT SISTER PHRASE-TYPE

LEFT/RIGHT SISTER HEAD WORD/POS

PARENT PHRASE-TYPE

PARENT POS/HEAD-WORD

ORDINAL TREE DISTANCE: Phrase Type concatenated with length of PATH feature

NODE-LCA PARTIAL PATH: Path from constituent to lowest common ancestor with predicate

PP PARENT HEAD WORD: If parent is a PP, parent's head word

PP NP HEAD WORD/POS: For a PP, the head Word / POS of its rightmost NP

Selected Pairs (Xue and Palmer 2004)

PREDICATE LEMMA & PATH

PREDICATE LEMMA & HEAD WORD

PREDICATE LEMMA & PHRASE TYPE

VOICE & POSITION

PREDICATE LEMMA & PP PARENT HEAD WORD

Figure 3

Baseline features.

(Toutanova et al. 2008:172)

Handling displaced constituents (Toutanova et al. 2008:§4.1)

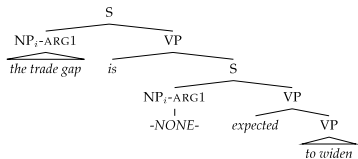
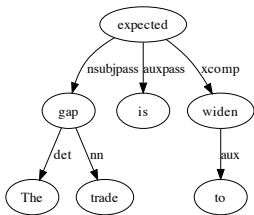


Figure 4

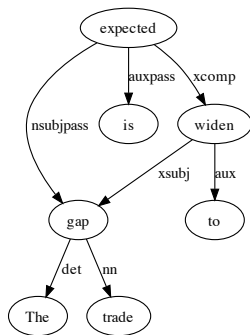
Example of displaced arguments.

Numerous errors caused by displaced constituents. Response is to have a feature **MISSING SUBJECT** and a **PATH** feature, so that the model establishes the associations.

Basic Stanford dependencies



Collapsed Stanford dependencies



Joint model features (Toutanova et al. 2008:174–176)

Task	CORE		COARSEARGM		ALL	
	F1	Acc.	F1	Acc.	F1	Acc.
ID	92.3	83.7	92.4	79.0	92.4	79.0
CLS	98.0	96.8	98.1	95.9	95.7	90.8
ID&CLS	90.5	81.4	90.6	76.2	88.4	72.3

(a) Summary performance results.

Correct	Guessed								F-Measure
	ARG0	ARG1	ARG2	ARG3	ARG4	ARG5	ARGM	NONE	
ARG0	2912	22	1	0	0	0	4	248	91.7
ARG1	69	3964	15	1	1	0	12	302	91.8
ARG2	7	25	740	3	2	0	9	151	82.4
ARG3	1	5	3	83	1	0	5	36	70.3
ARG4	0	1	3	0	63	0	0	7	88.1
ARG5	0	0	0	0	0	5	0	0	100.0
ARGM	0	7	10	0	0	0	2907	322	91.0
NONE	173	248	87	15	2	0	204	-	-

(b) COARSEARGM confusion matrix.

Correct	Guessed															F ₁
	ADV	CAU	DIR	DIS	EXT	LOC	MNR	MOD	NEG	PNC	PRD	REC	TMP	CORE	NONE	
ADV	295	3	0	13	3	10	35	0	0	5	0	0	20	1	51	71.3
CAU	0	48	0	1	0	2	3	0	0	2	0	0	3	0	6	81.4
DIR	0	0	40	0	0	0	6	0	0	0	0	0	1	2	25	61.1
DIS	13	0	0	214	0	3	2	0	0	0	0	0	8	0	31	79.9
EXT	2	0	0	1	17	0	5	0	0	0	0	0	0	2	5	63.0
LOC	4	0	0	2	0	251	3	0	0	2	1	0	8	1	45	77.5
MNR	17	0	5	0	2	12	196	0	0	0	0	0	4	5	66	65.8
MOD	0	0	0	0	0	0	0	453	0	0	0	0	0	0	2	99.4
NEG	0	0	0	0	0	0	0	0	200	0	0	0	0	0	2	99.0
PNC	4	2	0	0	0	1	0	0	0	59	0	0	5	3	26	64.8
PRD	1	0	1	0	0	0	0	0	0	1	1	0	0	1	0	26.6
REC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0.0
TMP	23	0	0	4	0	11	3	0	1	1	0	0	874	2	61	88.7
CORE	4	0	2	2	0	0	6	0	0	7	0	0	9	7927	744	92.3
NONE	28	0	9	28	0	41	30	3	1	5	0	0	59	525	-	-

(c) Modifier arguments confusion matrix.

- Higher precision than recall.
- Most mistakes involve NONE. (Not surprising to me; I am often surprised at what does and doesn't get role-labeled.)
- Few CORE ARG labels are swapped.
- More MODIFIER labels are swapped.
- Few CORE ARG/MODIFIER swaps.

Figure 7

Performance measures for local model using all local features and enforcing the non-overlapping constraint. Results are on Section 23 using gold standard parse trees.

Joint model (Toutanova et al. 2008:§5)

- 1 Use the local model to generate the top n non-overlapping labeling functions L , via a variant of the dynamic programming algorithm used to ensure non-overlap (§4.2).
- 2 Use a MaxEnt model to re-rank the top n labeling sequences via values $P_{SRL}^r(L|t, v)$.
- 3 Obtain final scores:

Definition (Joint model scoring)

$$P_{SRL}(L|t, v) = \left(P_{SRL}^{LOCAL}(L|t, v)\right)^\alpha \times P_{SRL}^r(L|t, v)$$

where α is a tuntable parameter (they used 1.0)

- 4 Classification: pick the L that maximizes this scoring function.

Joint model features (Toutanova et al. 2008:§5.2)

- All the features from the local models.
- *Whole Label Sequence* features of arbitrary length:

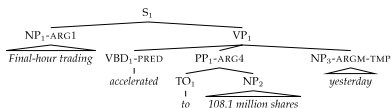


Figure 9

An example tree from Propbank with semantic role annotations, for the sentence *Final-hour trading accelerated to 108.1 million shares yesterday.*

- **Basic:** [voice:active, ARG1, PRED, ARG4, ARGM-TMP]
- **Lemma:** [voice:active, lemma:accelerate, ARG1, PRED, ARG4, ARGM-TMP]
- **Generic:** [voice:active, ARG, PRED, ARG, ARG]
- **POS:** [voice:active, NP-ARG0, PRED, NP-ARG1, PP-ARG2]
- **POS+lemma:** [voice:active, lemma:offer, NP-ARG0, PRED, NP-ARG1, PP-ARG2]
- **Repetition features:** POS-annotated features indicating when the same ARG occurs multiple times.

Joint model results (Toutanova et al. 2008:§5.4)

- LOCAL: the local model and results given above
- JOINTLOCAL: a joint model using only the LOCAL features
- LABELSEQ: a joint model using only the LOCAL features and the whole labels sequence features
- ALLJOINT: a joint model using the LOCAL features, the whole labels sequence features, and the repetition features

Model	# Features	CORE		COARSEARGM		ALL	
		F1	Acc.	F1	Acc.	F1	Acc.
LOCAL	5,201K	90.5	81.4	90.6	76.2	88.4	72.3
JOINTLOCAL	2,193K	90.9	82.6	91.1	78.3	88.9	74.3
LABELSEQ	2,357K	92.9	86.1	92.6	81.4	90.4	77.0
ALLJOINT	2,811K	94.0	87.6	93.4	82.7	91.2	78.3

Figure 10

Performance of local and joint models on ID&CLS on Section 23, using gold-standard parse trees. The number of features of each model is shown in thousands.

- The pattern of errors for the Joint models is broadly the same as for the Local models, though there are notable points of improvement (p. 183).
- Toutanova et al. (2008:§6) show that the Joint-model approach is robust for automatic (and therefore error-ridden) parses as well.

Conclusions

- Semantic roles are distinct from syntactic roles.
- Semantic roles capture usefully abstract semantic information (despite the challenges of assigning them).
- SRL reached a peak of popularity around 2005-2006, and it is currently on the wane, but this is probably just because system performance is still not great.
- There are many SRL models, but a lot of commonalities in the underlying feature sets.
- Even if we manage to do complete and accurate semantic composition (stay tuned for Bill, Percy Liang, and Richard Socher!) SRL will remain valuable where a coarse-grained semantics is called for.

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