

Fantastic Language Models and How to Build Them

Guest Lecture — CS 224U: Natural Language Understanding

Stanford || Zoom || Folks 2x-ing the Recording

April 12, 2023



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Karamcheti**

$$\hbar \frac{dc_1}{dt} = E_0 c_1 - A c_2$$

$$\hbar \frac{dc_2}{dt} = E_0 c_2 - A c_1$$

$$-A = H_{12} = H_{21}$$

$$\begin{array}{l} \sqrt{E-A} \quad c_1 = c_2 \\ \sqrt{E+A} \quad c_1 = -c_2 \end{array}$$

$$c_2 = (E-A)(c_1 + c_2)$$

$$c_2 = \frac{c_1}{\hbar} (E-A) t$$

$$c_1 = \frac{c_2}{\hbar} (E+A) t$$

$c_1 = 1; c_2 = 0$
 $c_1 = 0; c_2 = 1$

$$| \psi \rangle = \sum_i | \psi_i \rangle \langle \psi_i | \psi \rangle$$

$|\psi\rangle$ at t_1
delay until t_2

$$\langle \chi | U(t_2, t_1) | \psi \rangle = \sum_i \langle \chi | \psi_i \rangle \langle \psi_i | \psi \rangle$$

$$| \psi(t) \rangle = \sum_i | i \rangle c_i(t)$$

$$i \hbar \frac{dc_i(t)}{dt}$$

On the Importance of “Building”

Today — a *practical* take on large-scale language models (LLMs).

Whirlwind tour of the full pipeline:

- **Model Architecture** — Evolution of the Transformer
- **Training at Scale** — From 124M to 1T+ Parameters
- **Efficient Finetuning & Inference** — Tips & Tricks

Punchline: From “folk knowledge” → insight / intuition / (re-)discovery!

Please ask lots of questions! Why is this information useful to <YOU>?

Part I: Evolution of the Transformer

“Experiment is the mother of knowledge.”
— Madeline L'Engle, *A Wrinkle in Time*

Recipe for a Good™ Language Model

Massive amounts of cheap, easy to acquire data...

X

... a simple, high-throughput way to *consume* it!

Natural to scale with data.
Composable and “general”.

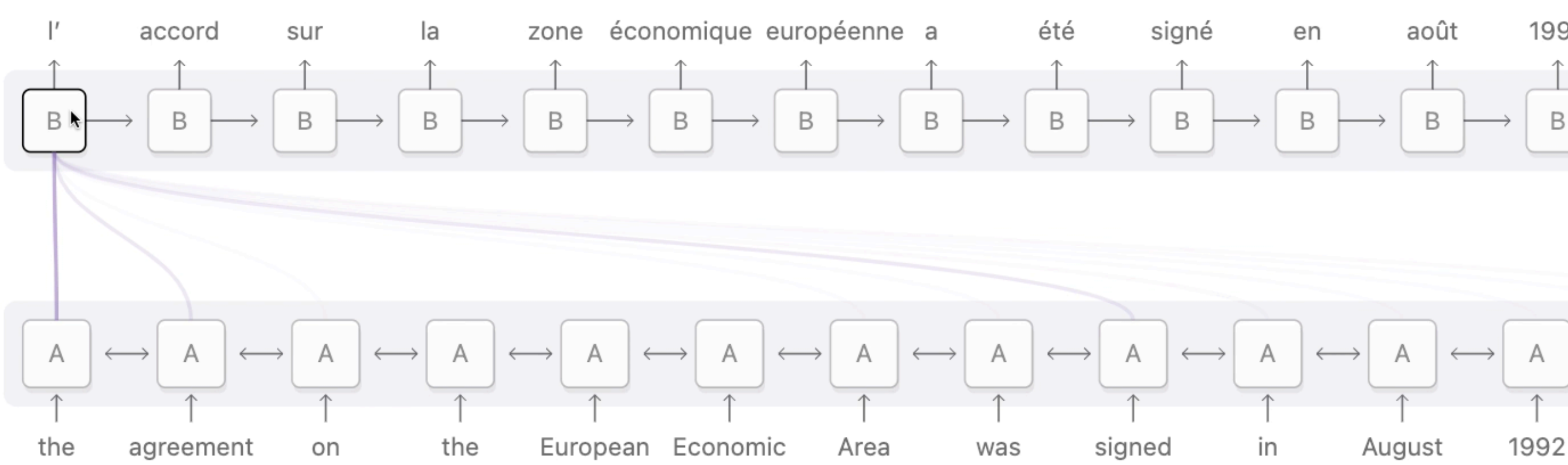
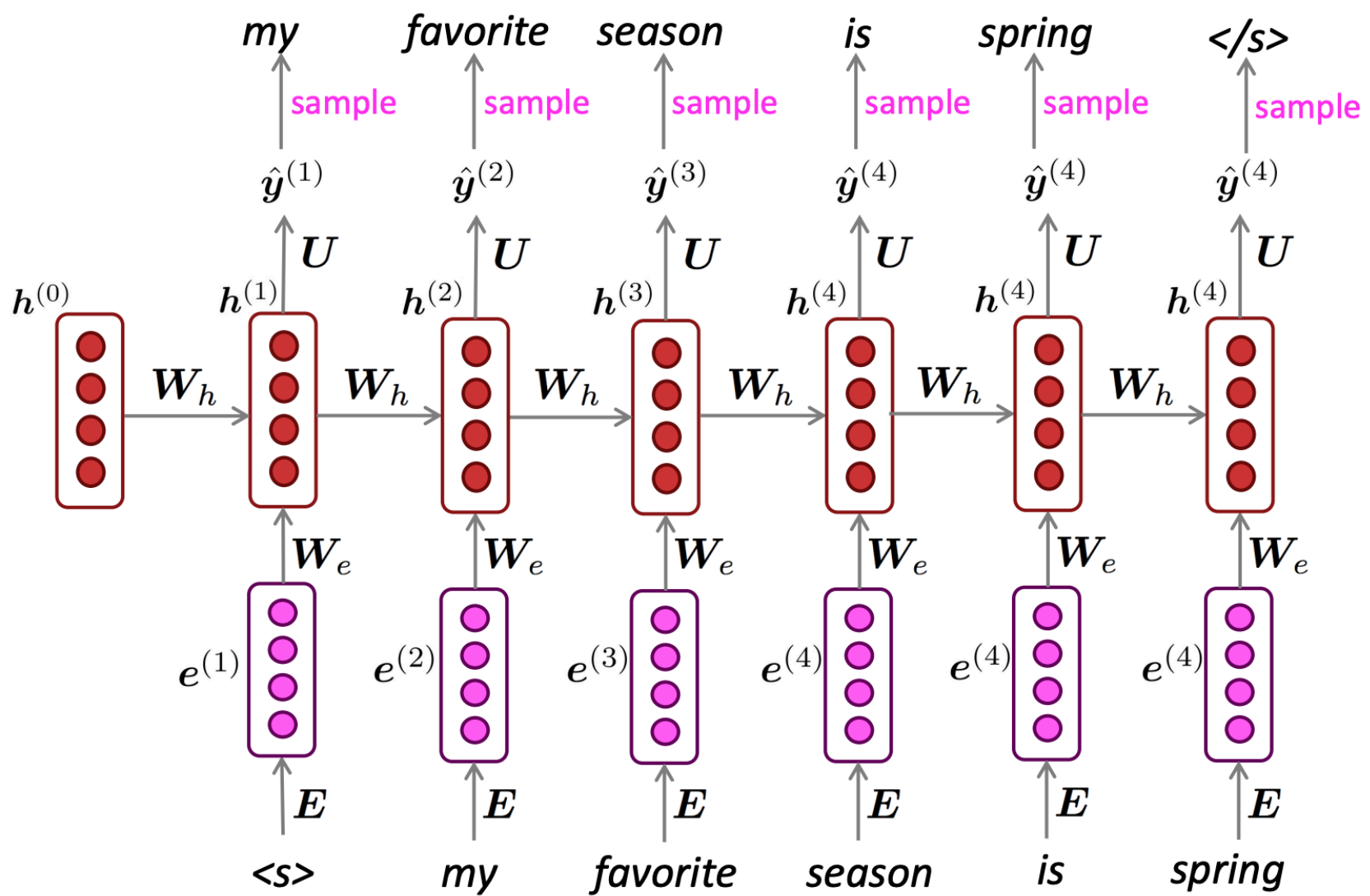
Fast & parallelizable training.
High hardware utilization.

Minimal “assumptions” on
relationships between data?

<Story Time>

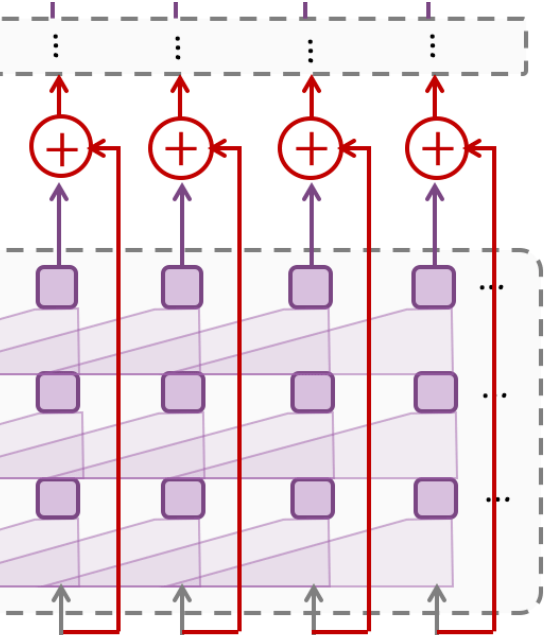
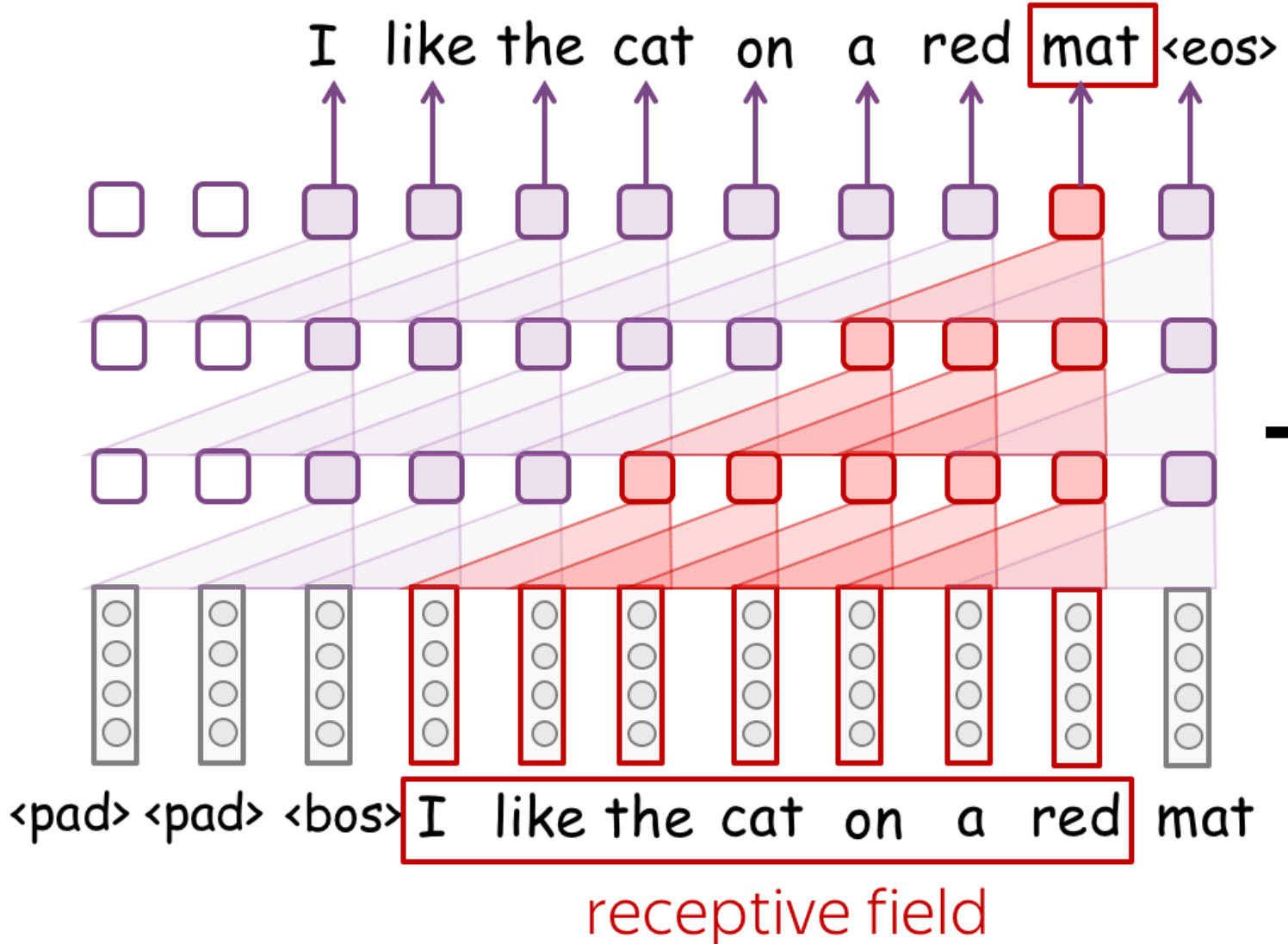
Pre-2017 — Historical Context

RNNs



RNN Key Ideas: Long Context, Attention

CNNs



Residual Connection

CNN Key Ideas:

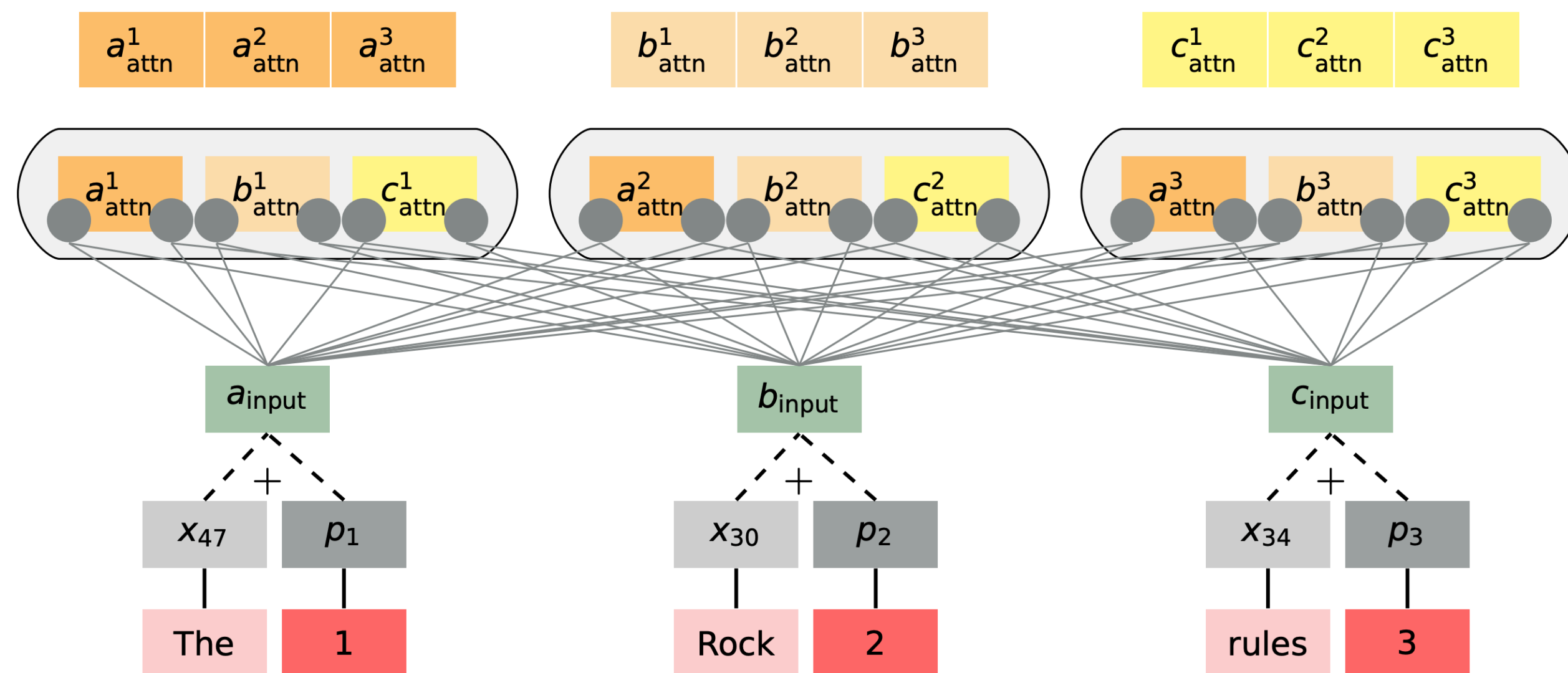
- Layer: Multiple "Filters" (Views)
- **Scaling Depth** w/ Residuals
- **Parallelizable!**

< How do I do better? >

Reference: "Attention and Augmented Recurrent Neural Networks," Chris Olah and Shan Carter. *Distill*, 2016.

Reference: "Convolutional Neural Networks for Text," Lena Voita. *ML for NLP @ YSDA*

Formulating the Self-Attention Block



```
class Attention(nn.Module):
    def __init__(self, embed_dim: int, n_heads: int):
        super().__init__()
        self.n_heads, self.dk = n_heads, (embed_dim // n_heads)
        self.qkv = nn.Linear(embed_dim, 3 * embed_dim)
        self.proj = nn.Linear(embed_dim, embed_dim)

    def forward(self, x: Tensor[bsz, seq, embed_dim]):
        q, k, v = rearrange(
            self.qkv(x),
            "bsz seq (qkv nh dk) -> qkv bsz nh seq dk",
            qkv=3,
            nh=self.n_heads, # Different "views" (like CNN filters)!
            dk=self.dk,
        ).unbind(0)
```

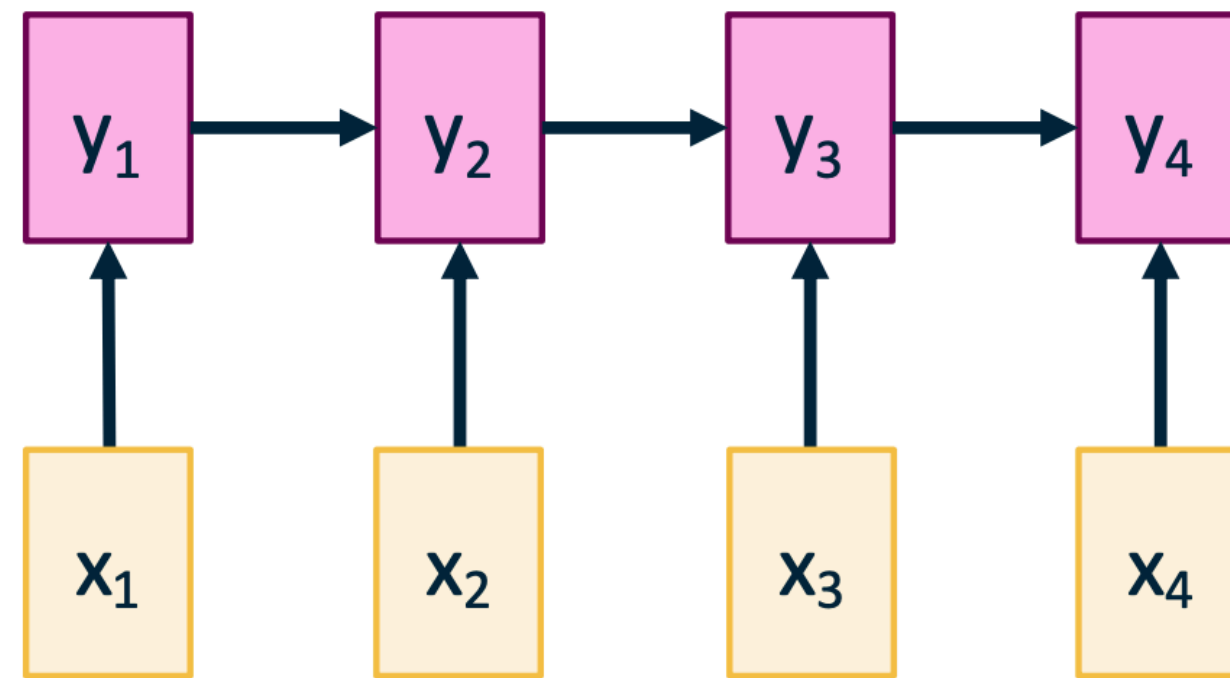
Self-Attention: "The" → query, key, & value

Multi-Headed: Different "views" per layer

< Is this actually better? >

Aside — Self-Attention & Parallelization

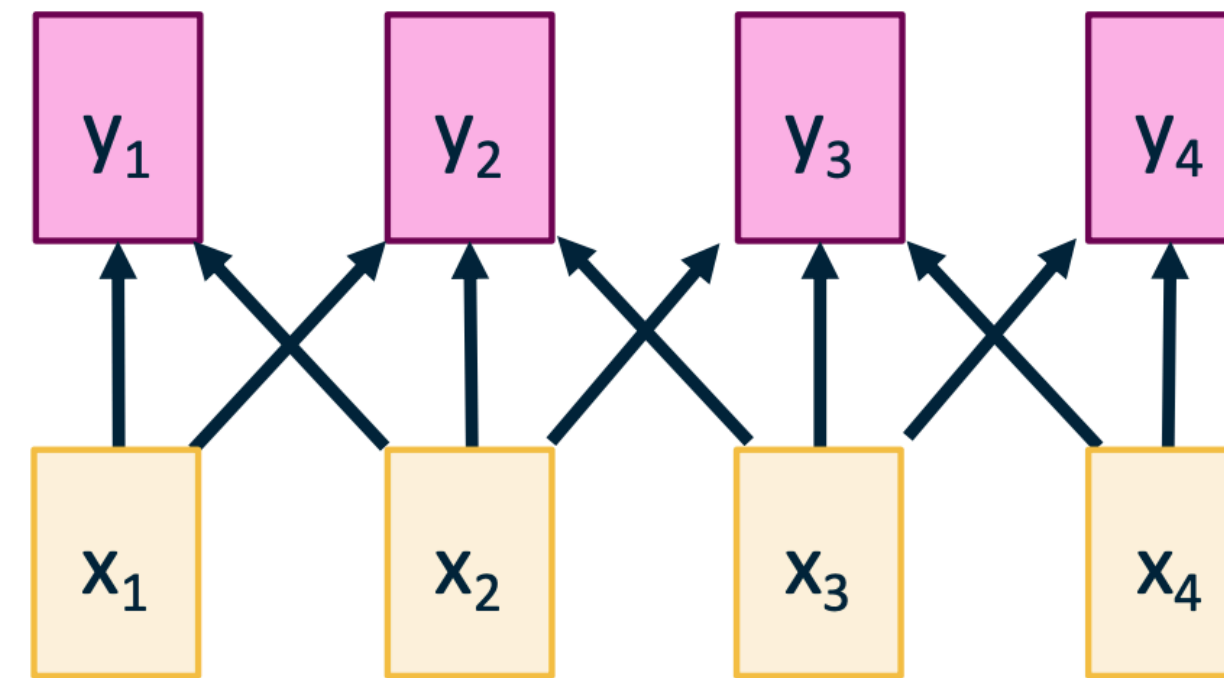
Recurrent Neural Network



Works on **Ordered Sequences**

- (+) Good at long sequences: After one RNN layer, h_T "sees" the whole sequence
- (-) **Not parallelizable: need to compute hidden states sequentially**

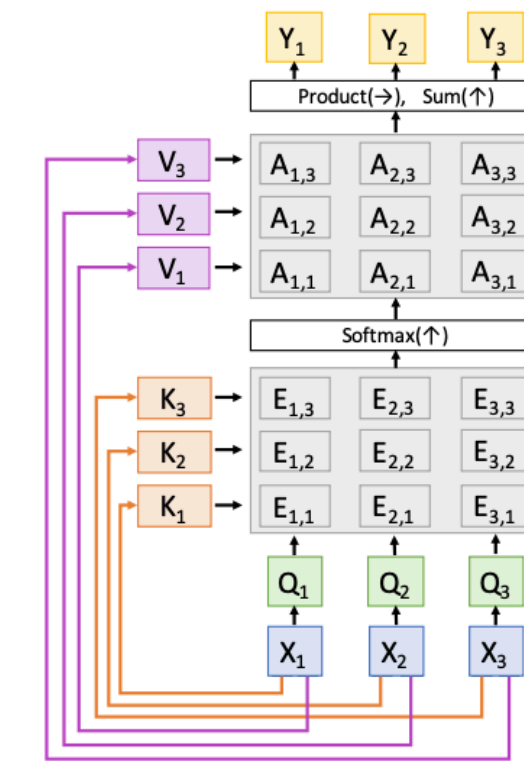
1D Convolution



Works on **Multidimensional Grids**

- (-) **Bad at long sequences: Need to stack many conv layers for outputs to "see" the whole sequence**
- (+) Highly parallel: Each output can be computed in parallel

Self-Attention



Works on **Sets of Vectors**

- (+) Good at long sequences: after one self-attention layer, each output "sees" all inputs!
- (+) Highly parallel: Each output can be computed in parallel
- (-) **Very memory intensive**

< **Great! But... what am I missing?** >

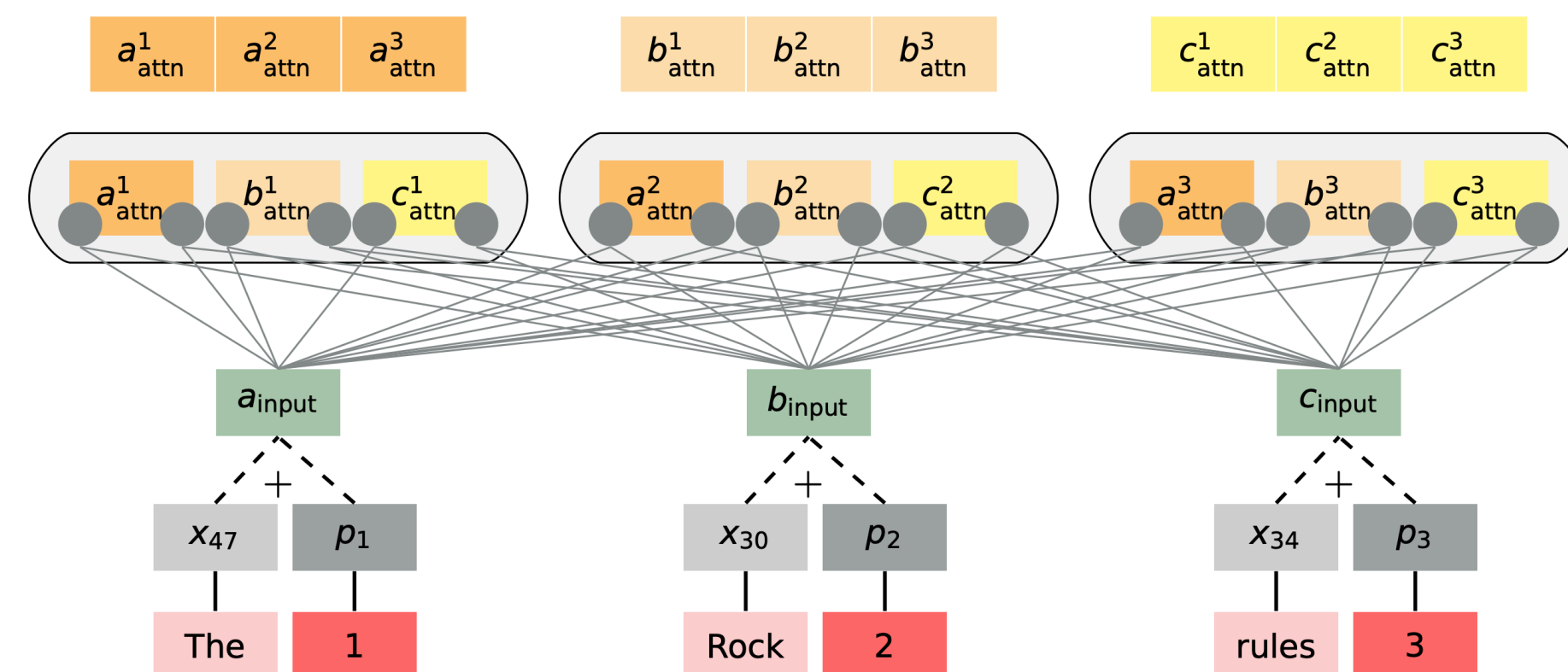
Formulating the Self-Attention Block



```
class Attention(nn.Module):
    def __init__(self, embed_dim: int, n_heads: int):
        super().__init__()
        self.n_heads, self.dk = n_heads, (embed_dim // n_heads)
        self.qkv = nn.Linear(embed_dim, 3 * embed_dim)
        self.proj = nn.Linear(embed_dim, embed_dim)

    def forward(self, x: Tensor[bsz, seq, embed_dim]):
        q, k, v = rearrange(
            self.qkv(x),
            "bsz seq (qkv nh dk) -> qkv bsz nh seq dk",
            qkv=3,
            nh=self.n_heads, # Different "views" (like CNN filters)!
            dk=self.dk,
        ).unbind(0)

        # RNN Attention --> *for each view*
        scores = torch.softmax(
            q @ (k.transpose(-2, -1)),
            dim=-1
        )
        return self.proj(
            rearrange(scores @ v, "b nh seq dk -> b seq (nh dk)")
        )
```



< Where's my nonlinearity? >

Expressivity & Nonlinearity

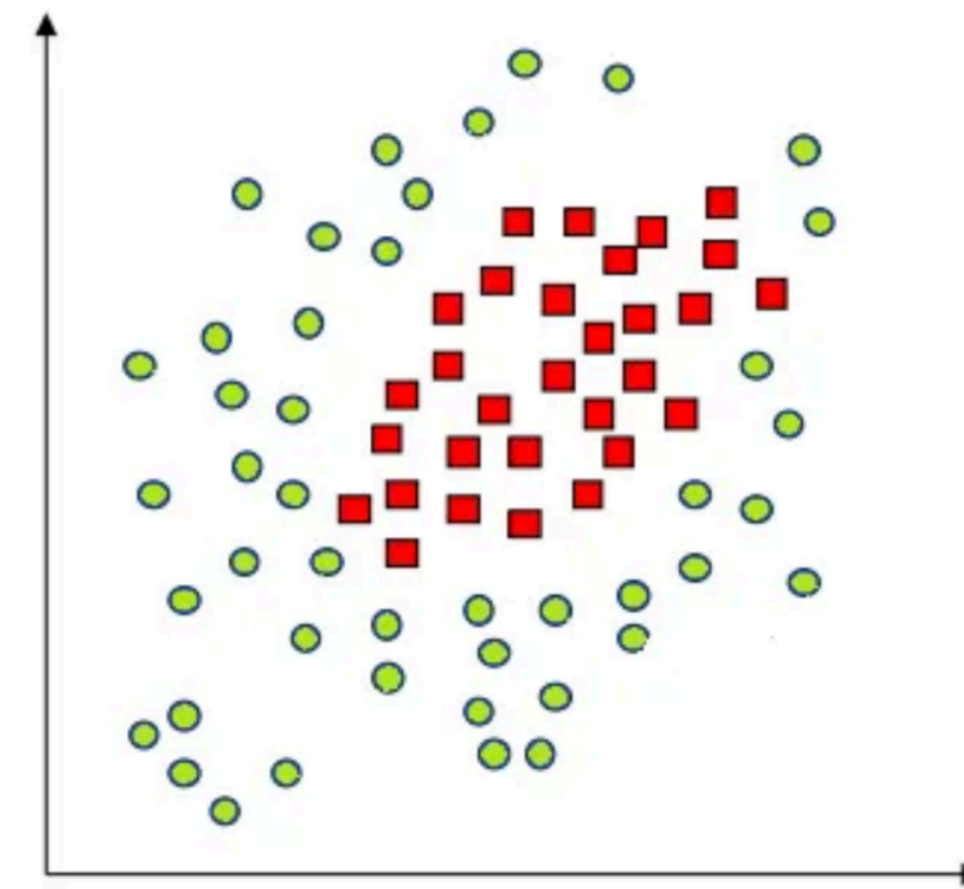


```
class ExpressiveTransformerBlock(nn.Module):
    def __init__(self, embed_dim: int, n_heads: int, up: int = 4):
        super().__init__()
        self.attn = Attention(embed_dim, n_heads)

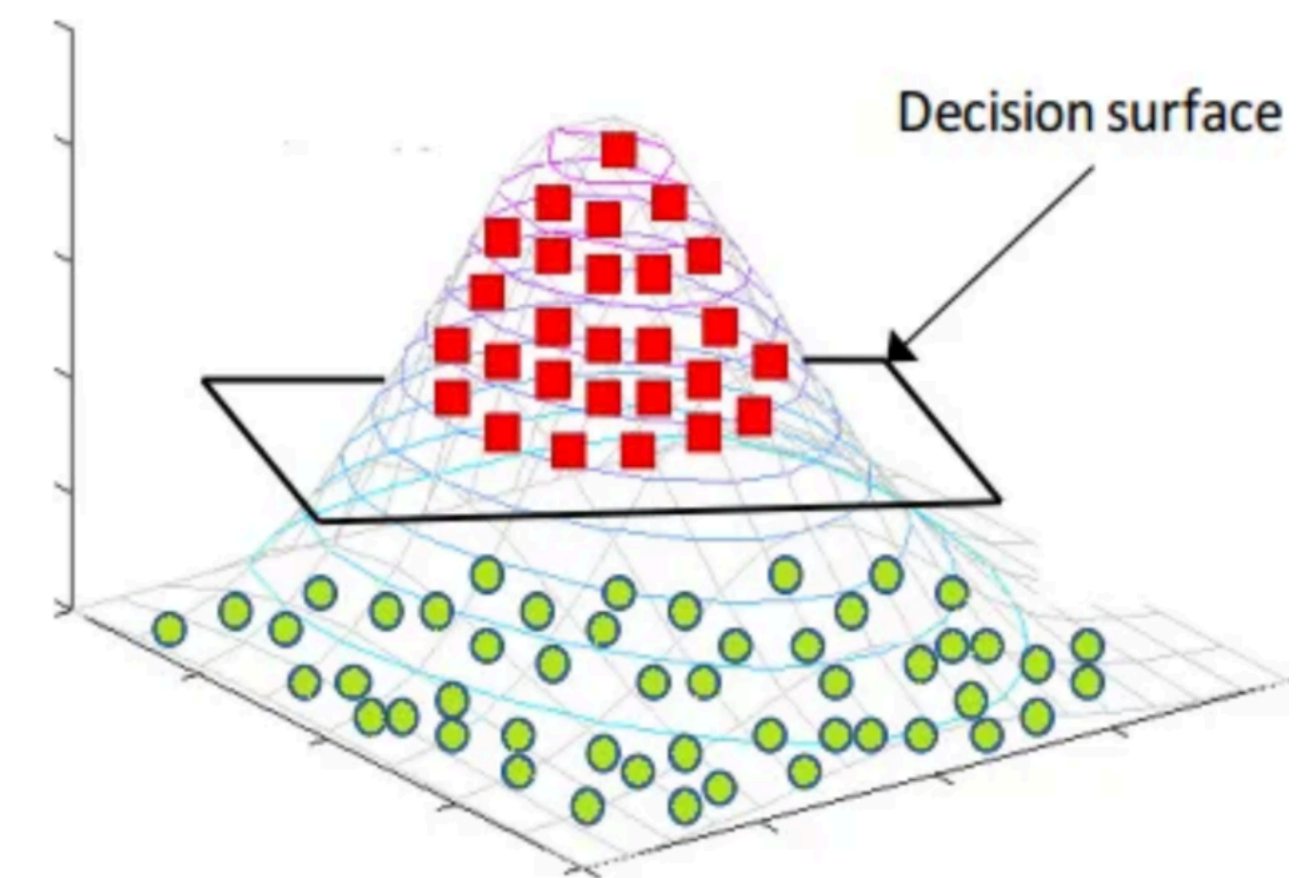
        # Project *up* to high-dimension, nonlinear, compress!
        self.mlp = nn.Sequential(
            nn.Linear(embed_dim, up * embed_dim),
            nn.ReLU(),
            nn.Linear(up * embed_dim, embed_dim)
        )

    def forward(self, x: T[bsz, seq, embed_dim]):
        x = x + self.attn(x)
        x = x + self.mlp(x)
        return x
```

Residual + MLP → “Sharpen” + “Forget”



CS 229 → SVMs & “Implicit Lifting”



< New Problem — Activations Blow Up! >

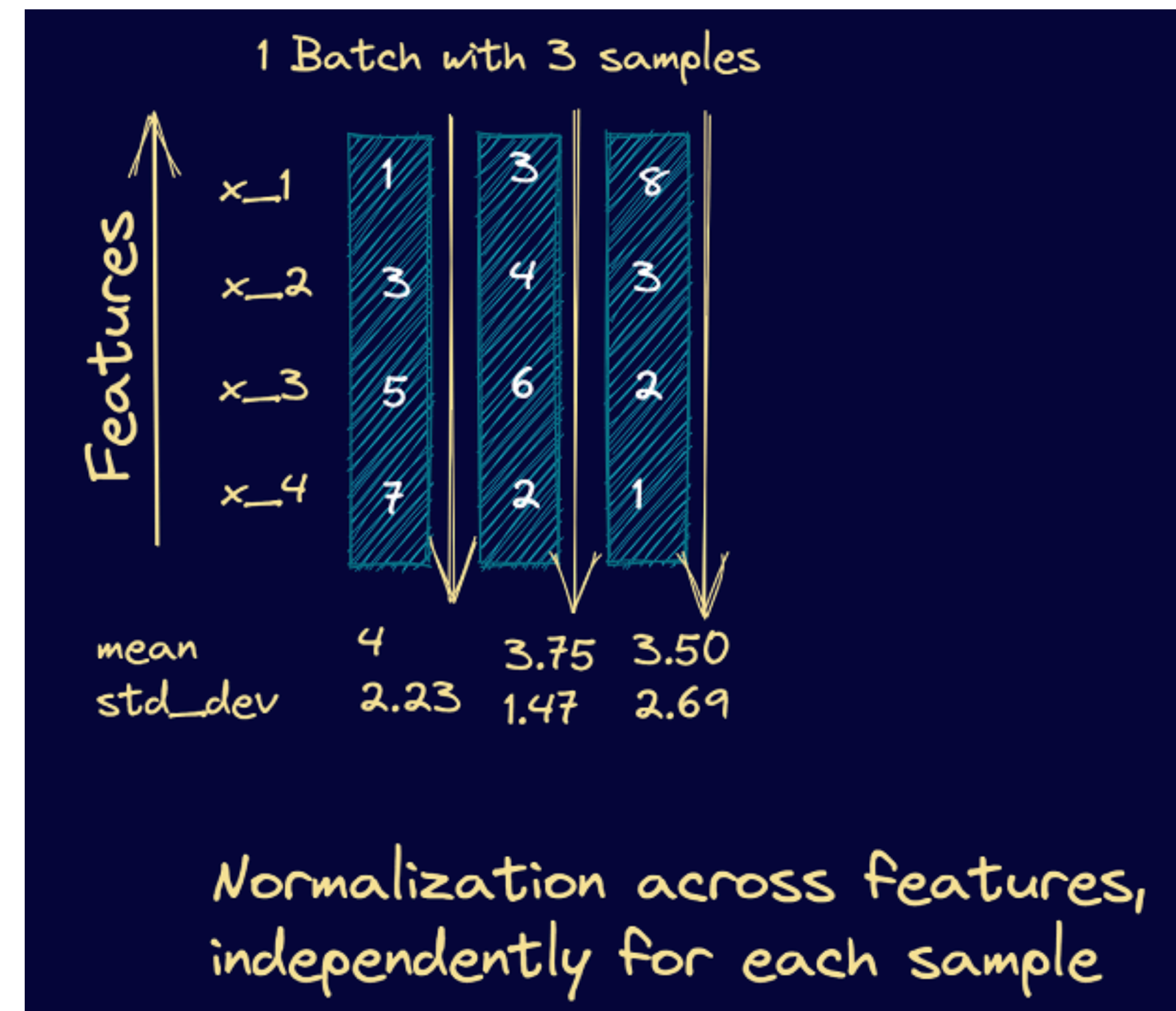
Going Deeper → Activation Instability



```
class NormalizedTransformerBlock(nn.Module):
    def __init__(self, embed_dim: int, n_heads: int, up: int = 4):
        super().__init__()
        self.attn = Attention(embed_dim, n_heads)
        self.mlp = nn.Sequential(
            nn.Linear(embed_dim, up * embed_dim),
            nn.ReLU(),
            nn.Linear(up * embed_dim, embed_dim)
        )

        # Add Normalization Layers
        self.attn_norm = nn.LayerNorm(embed_dim)
        self.mlp_norm = nn.LayerNorm(embed_dim)

    def forward(self, x: T[bsz, seq, embed_dim]):
        x = self.attn_norm(x + self.attn(x))
        x = self.mlp_norm(x + self.mlp(x))
        return x
```



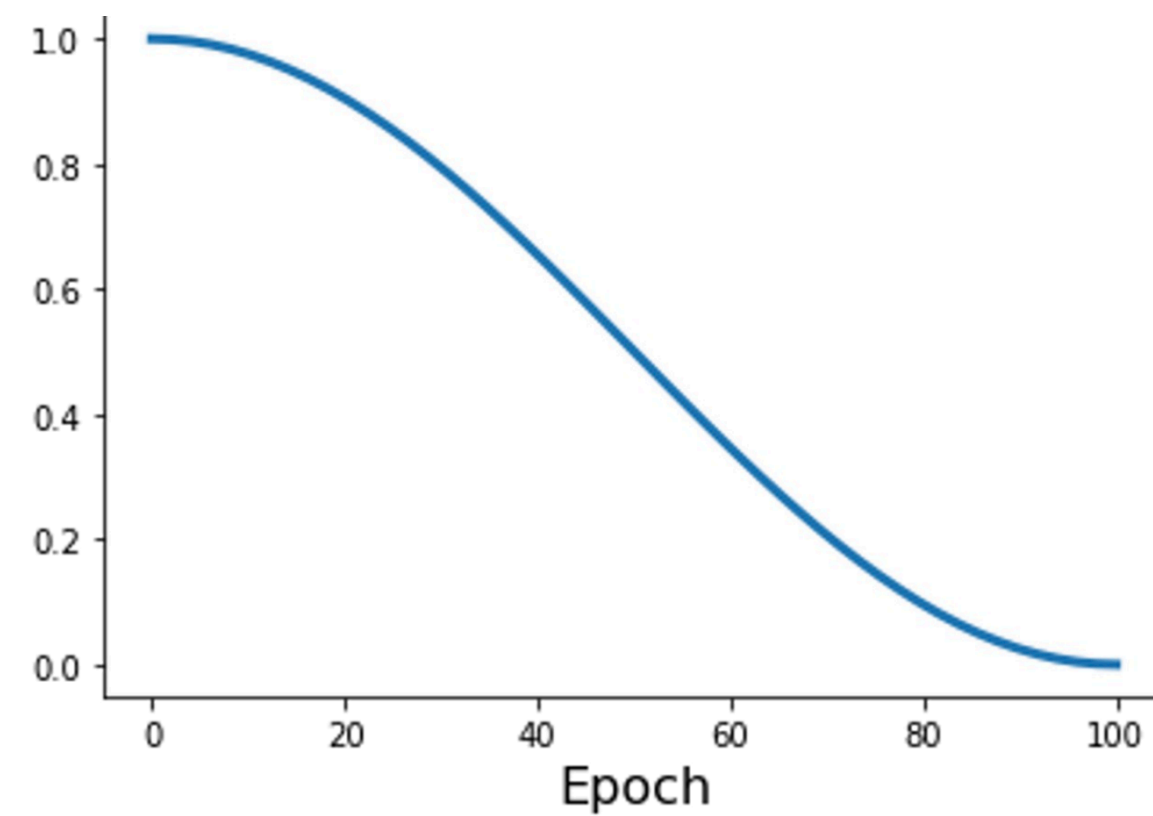
Layer Normalization

< And... we're done? >

Well, Shucks —> Emergent Optimization Problems

Typical LR Decay

[CS 221, CS 229]

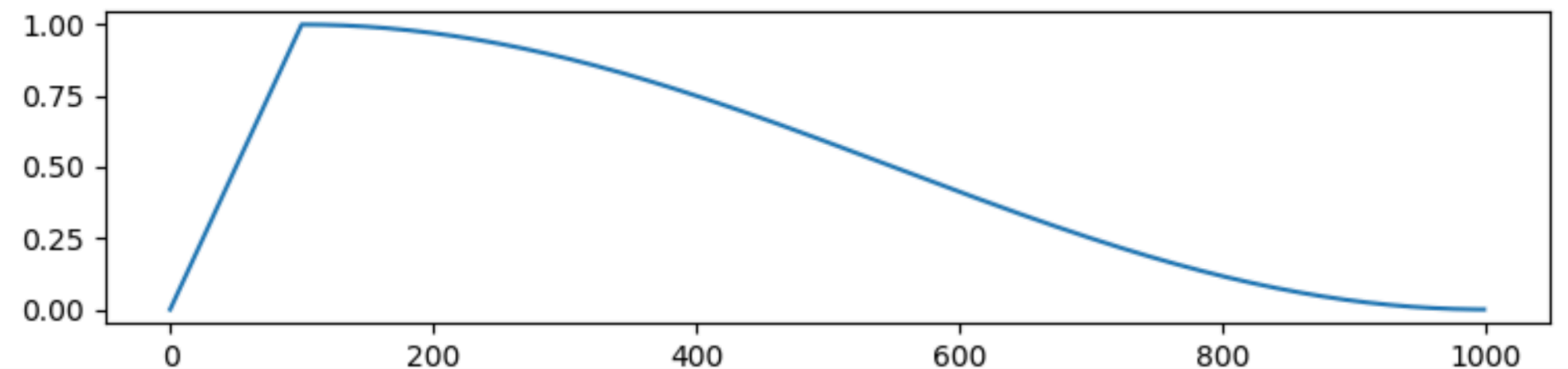


Normalized
Transformer



Transformer Pretraining LR Schedule

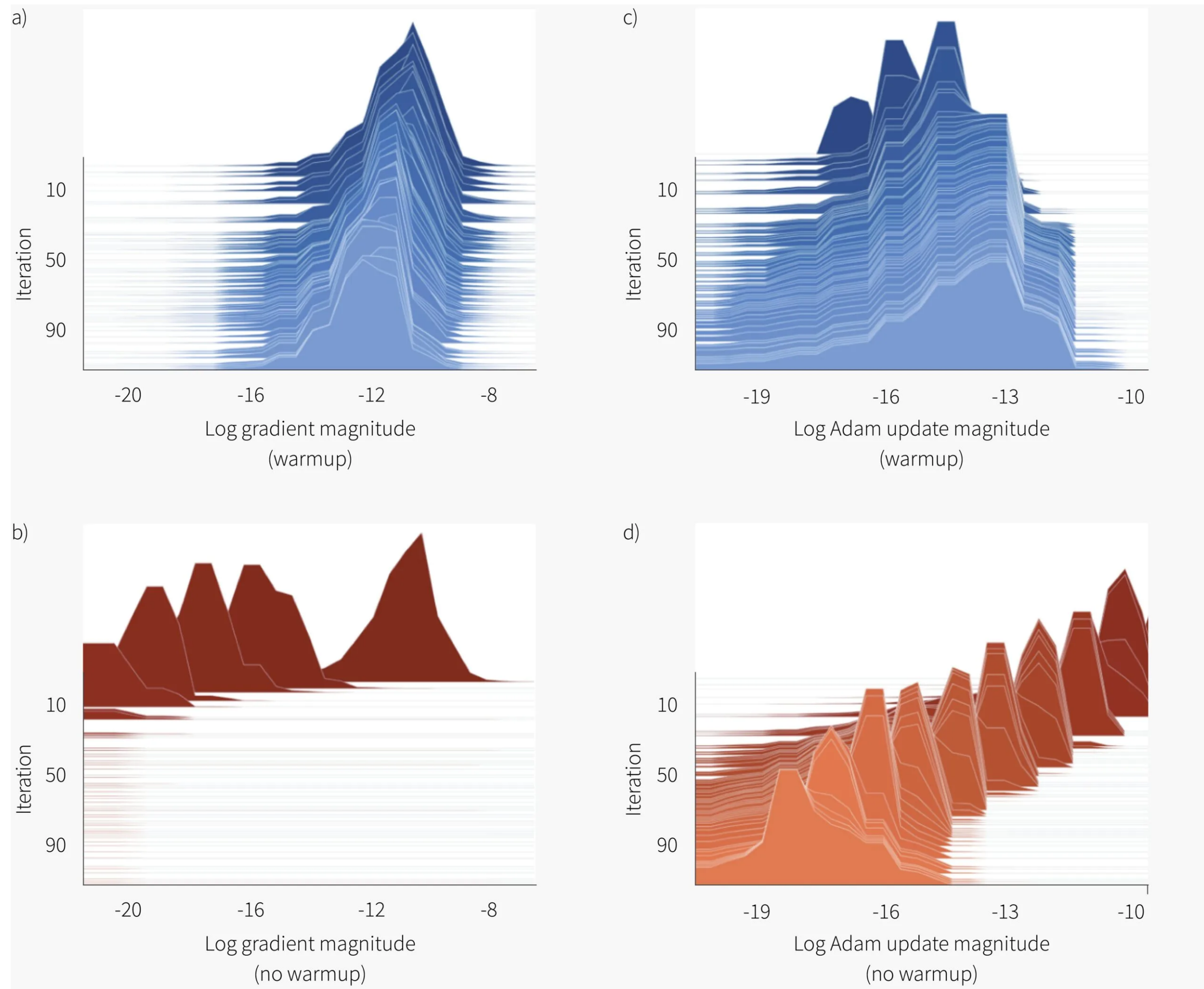
Linear Warmup (5% of Training) then Decay...



Learning Rate Warmup —> Breaks conventional machine learning wisdom?

< Ok but... why? >

3 Years Later...



3.1. Problem in Transformer Optimization

In this section we demonstrate that the requirement for warmup comes from a combined effect of high variance in the Adam optimizer and backpropagation through layer normalization. Liu et al. (2020) showed that at the begin-

of the input. Specifically, the gradient has the following property:

$$\left\| \frac{\partial \text{LN}(\mathbf{x})}{\partial \mathbf{x}} \right\| = O\left(\frac{\sqrt{d}}{\|\mathbf{x}\|}\right) \quad (1)$$

where \mathbf{x} is the input to layer normalization and d is the embedding dimension. If input norm $\|\mathbf{x}\|$ is larger than \sqrt{d} then backpropagation through layer normalization has a down scaling effect that reduces gradient magnitude for lower layers. Compounding across multiple layers this can quickly lead to gradient vanishing.

< Ok, now we're done...? >

The Modern Transformer (March 2023)



```
class ModernTransformerBlock(nn.Module):
    def __init__(self, embed_dim: int, n_heads: int, up: int = 4):
        super().__init__()
        self.attn = Attention(embed_dim, n_heads, qk_bias=False)
        self.mlp = nn.Sequential(
            SwishGLU(embed_dim, up * embed_dim),
            nn.Linear(up * embed_dim, embed_dim)
        )

        # Post-Norm --> *Pre-Norm*
        self.pre_attn_norm = RMSNorm(embed_dim)
        self.pre_mlp_norm = RMSNorm(embed_dim)

    def forward(self, x: T[bsz, seq, embed_dim]):
        x = x + self.attn(self.pre_attn_norm(x))
        x = x + self.mlp(self.pre_mlp_norm(x))
        return x
```



```
# SwishGLU -- A Gated Linear Unit (GLU) with Swish Activation
class SwishGLU(nn.Module):
    def __init__(self, in_dim: int, out_dim: int):
        super().__init__()
        self.swish = nn.SiLU()
        self.project = nn.Linear(in_dim, 2 * out_dim)

    def forward(self, x: T[bsz, seq, embed_dim]):
        projected, gate = self.project(x).tensor_split(2, dim=-1)
        return projected * self.swish(gate)

# RMSNorm -- Simple Alternative to LayerNorm
class RMSNorm(nn.Module):
    def __init__(self, dim: int, eps: float = 1e-8):
        super().__init__()
        self.scale, self.eps = dim**-0.5, eps
        self.g = nn.Parameter(torch.ones(dim))

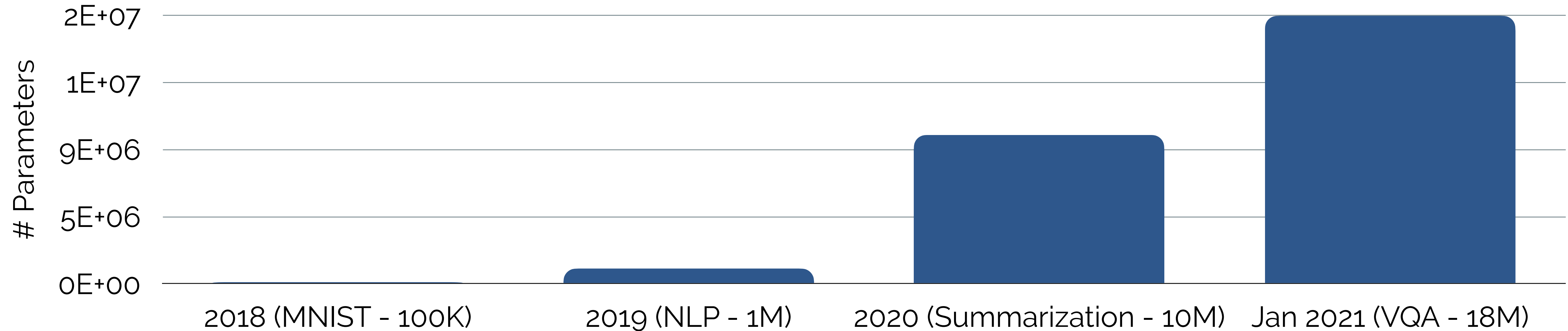
    def forward(self, x: T[bsz, seq, embed_dim]):
        norm = torch.norm(x, dim=-1, keepdim=True) * self.scale
        return x / norm.clamp(min=self.eps) * self.g
```

< Fin />

Part II: Training at Scale

“Nothing in life is to be feared. It is only to be understood.”
— Marie Curie

Short Story — My Deep Learning Trajectory



- “Standard Pipeline”: Train on 1 GPU (e.g., on Colab) —> **~max of a few hours.**
- Let’s train a GPT-2 Small (124M)!
 - **Problem:** Batch > 4 goes OOM on a decent GPU = > **12 GB** of GPU RAM
 - Simple Trick —> *Gradient Accumulation!*
 - But... **99.63 Days** to train on Single GPU (400K Steps)

GPT-2 Training Clock

99.63 D

Shortening the Clock → The Scaling Toolbox

GPT-2 Training Clock

99.63 D

Goal: 100 Days on 1 GPU → ~**4 Days on 16 GPUs**

- **Data Parallelism** — Scaling *across* GPUs & Nodes
- **Mixed Precision** — Bits, Bytes, and TensorCores
- **ZeRO Redundancy** — Minimizing Memory Footprint

Later... Model Parallelism — Hardware Limitations — Software Optimization

*Even if you're not training big models... **understanding breeds innovation!***

Data Parallelism — A Toy Example

GPT-2 Training Clock

99.63 D

```
● ● ●  
  
BATCH_SIZE = 128  
  
class MLP(nn.Module):  
    def __init__(  
        self, n_classes: int = 10, mnist_dim: int = 784, hidden: int = 128  
    ):  
        super().__init__()  
        self.mlp = nn.Sequential(  
            nn.Linear(mnist_dim, hidden),  
            nn.ReLU(),  
            nn.Linear(hidden, hidden),  
            nn.ReLU(),  
            nn.Linear(hidden, n_classes)  
        )  
  
    def forward(self, x: T[bsz, mnist_dim]):  
        return self.mlp(x)  
  
# Main Code  
dataloader = DataLoader(dataset=torchvision.datasets(...), batch_size=BATCH_SIZE)  
model = MLP()  
  
# Train Loop  
criterion, opt = nn.CrossEntropyLoss(), optim.AdamW(model.parameters())  
for (inputs, labels) in dataloader:  
    loss = criterion(model(inputs), labels)  
    loss.backward(); opt.step(); opt.zero_grad()
```

Idea → Parallelize?

SIMD

Single Instruction, Multiple Data



SPMD

Single **Program**, Multiple Data

< **Seems hard?** >

(Distributed) Data Parallelism — Implementation

GPT-2 Training Clock

99.63 D

7.2 D — 16 GPUs w/ Data Parallelism (DDP)

```
from torch.nn.parallel import DistributedDataParallel as DDP
from torch.utils.data.distributed import DistributedSampler

BATCH_SIZE, WORLD_SIZE = 128, 8 # World Size == # of GPUs
class MLP(nn.Module):
    def __init__(
        self, n_classes: int = 10, mnist_dim: int = 784, hidden: int = 128
    ):
        super().__init__()
        self.mlp = nn.Sequential(
            nn.Linear(mnist_dim, hidden),
            nn.ReLU(),
            nn.Linear(hidden, hidden),
            nn.ReLU(),
            nn.Linear(hidden, n_classes)
        )

    def forward(self, x: T[bsz, mnist_dim]):
        return self.mlp(x)

# Main Code
train_set = torchvision.dataset(...)
dist_sampler = DistributedSampler(dataset=train_set)
dataloader = DataLoader(
    train_set, sampler=dist_sampler, batch_size=BATCH_SIZE // WORLD_SIZE
)

model = DDP(
    MLP(),
    device_ids=[os.environ["LOCAL_RANK"]],
    output_device=os.environ["LOCAL_RANK"]
)
```

Auto-Partitions Data across Processes

Simple Wrapper around nn.Module()

```
# Train Loop
criterion, opt = nn.CrossEntropyLoss(), optim.AdamW(model.parameters())
for (inputs, labels) in dataloader:
    loss = criterion(model(inputs), labels)
    loss.backward(); opt.step(); opt.zero_grad()

# Run: `torchrun --nnodes 1 --nproc_per_node=8 main.py`
```

Nifty Utility —> Spawns Processes

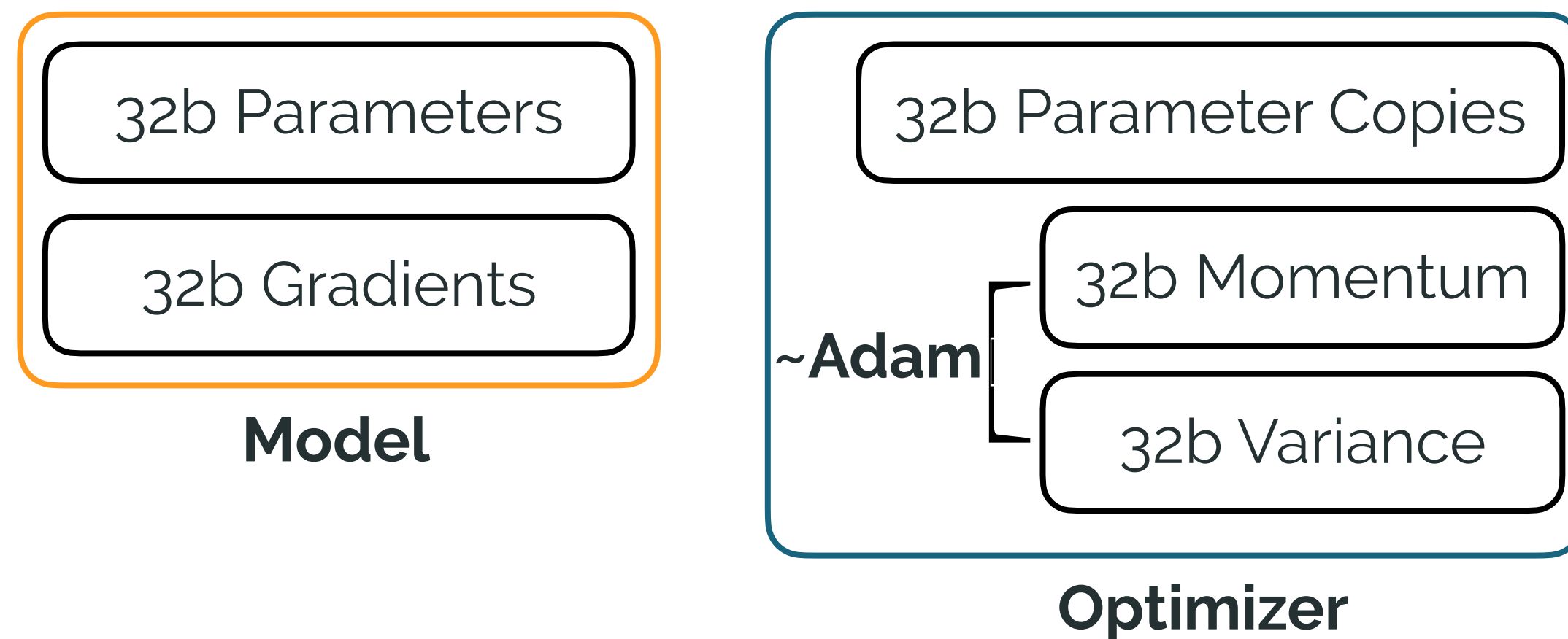
Important — Memory Footprint of Training?

GPT-2 Training Clock



Standard (Float 32) Memory Footprint

[Excludes Activations + Temporary Buffers]



Lower Bound on “Static” Memory (w/ Adam):

$$= \# \text{ Parameters} * 20 \text{ Bytes}$$

Activation Memory >> Static Memory

Training Implications

- 1B Parameters → 18 GB (~**31 GB w/ BSZ = 1**)
- 175B Parameters → **3 TB (w/o activations!)**

Facts about Floating Points

- Float32 — Standard defined in IEEE-754
 - Sign (1) — Exponent (8) — Significand (23)
 - Wide Range → up to $1e38$

< Do we need *all* 32 bits? >

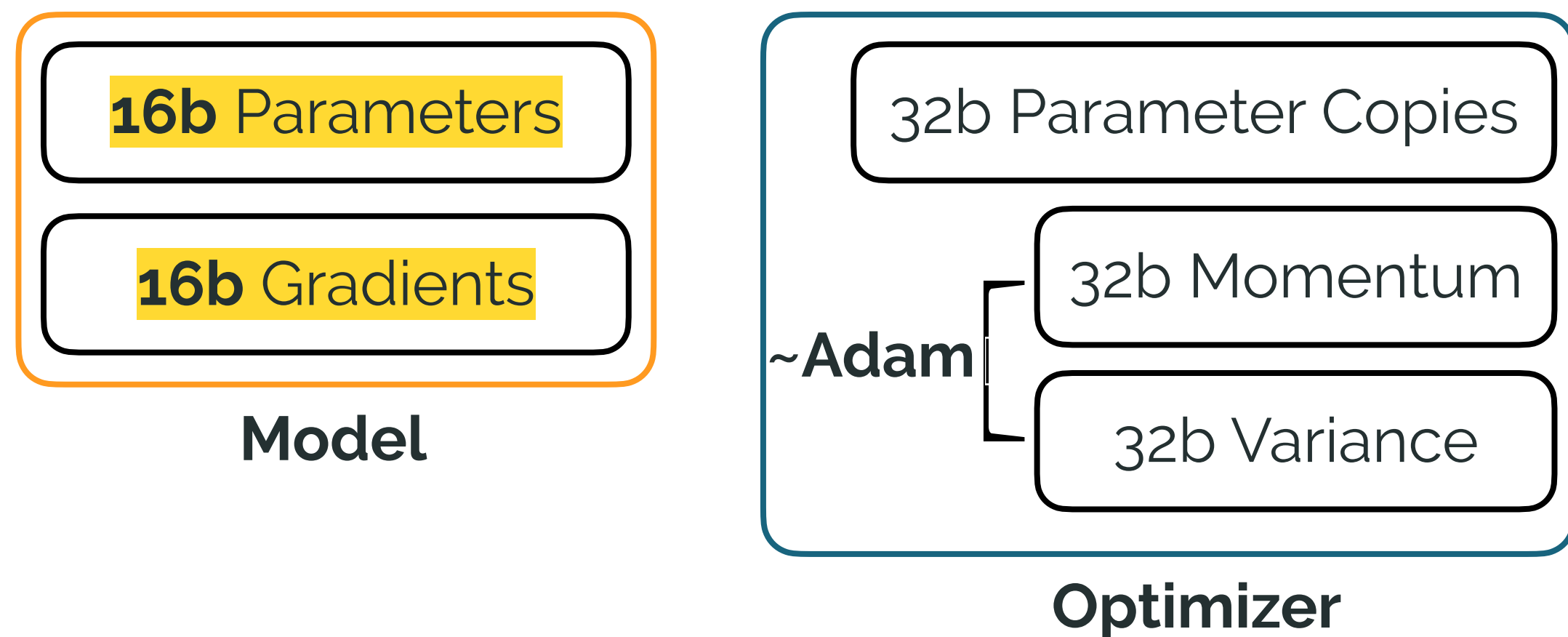
Mixed Precision Training

GPT-2 Training Clock



Mixed Precision (FP16) Memory Footprint

[Excludes Activations + Temporary Buffers]



Lower Bound on “Static” Memory (w/ Adam):

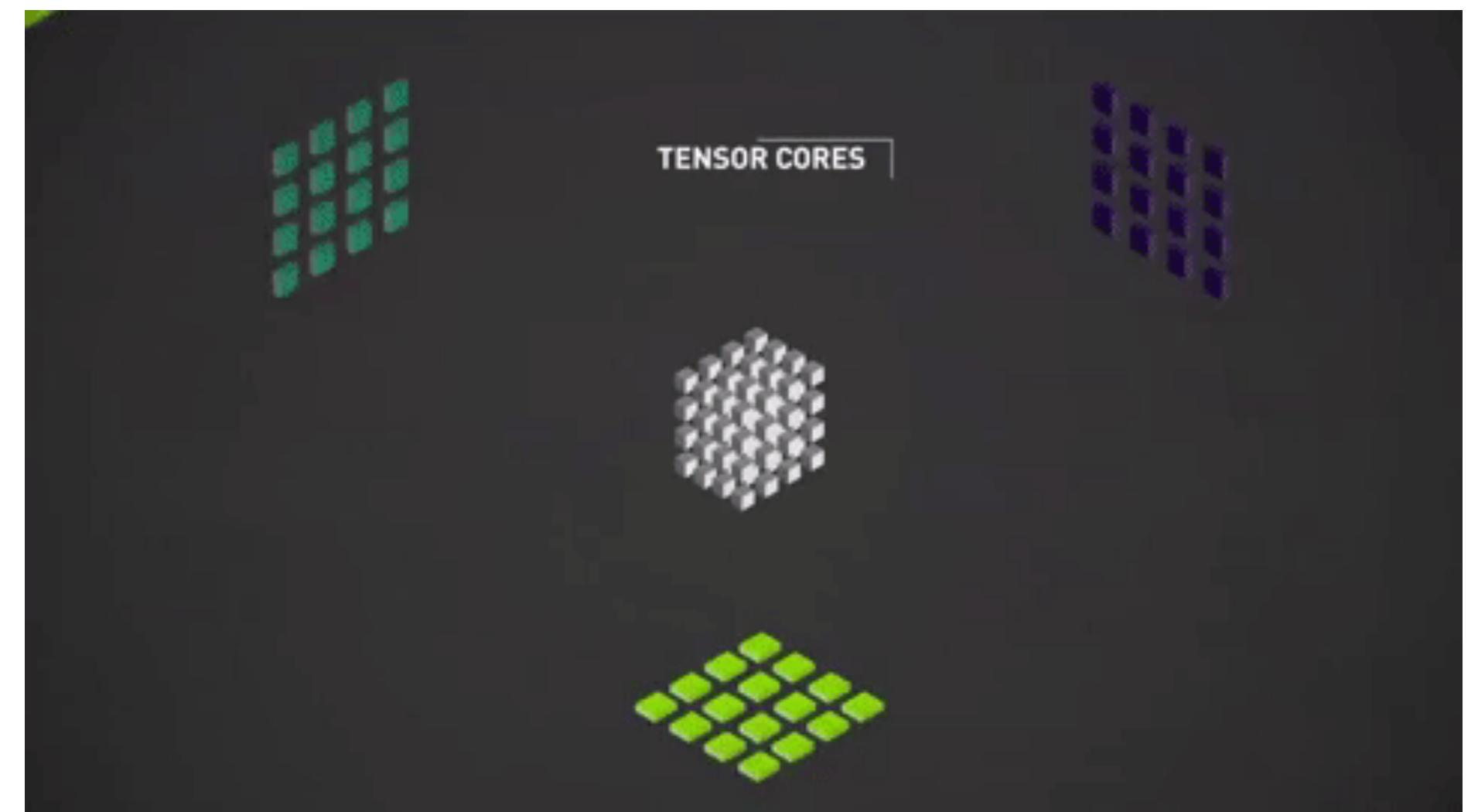
$$= \# \text{ Parameters} * \mathbf{16 \text{ Bytes}}$$

Activation Memory —> *halved!*

Hmm... Optimizer Memory?

FP16 **does not mean** *everything* is FP16.

Real Gain: NVIDIA Tensor Core Speedup!



Eliminate Redundancies → ZeRO

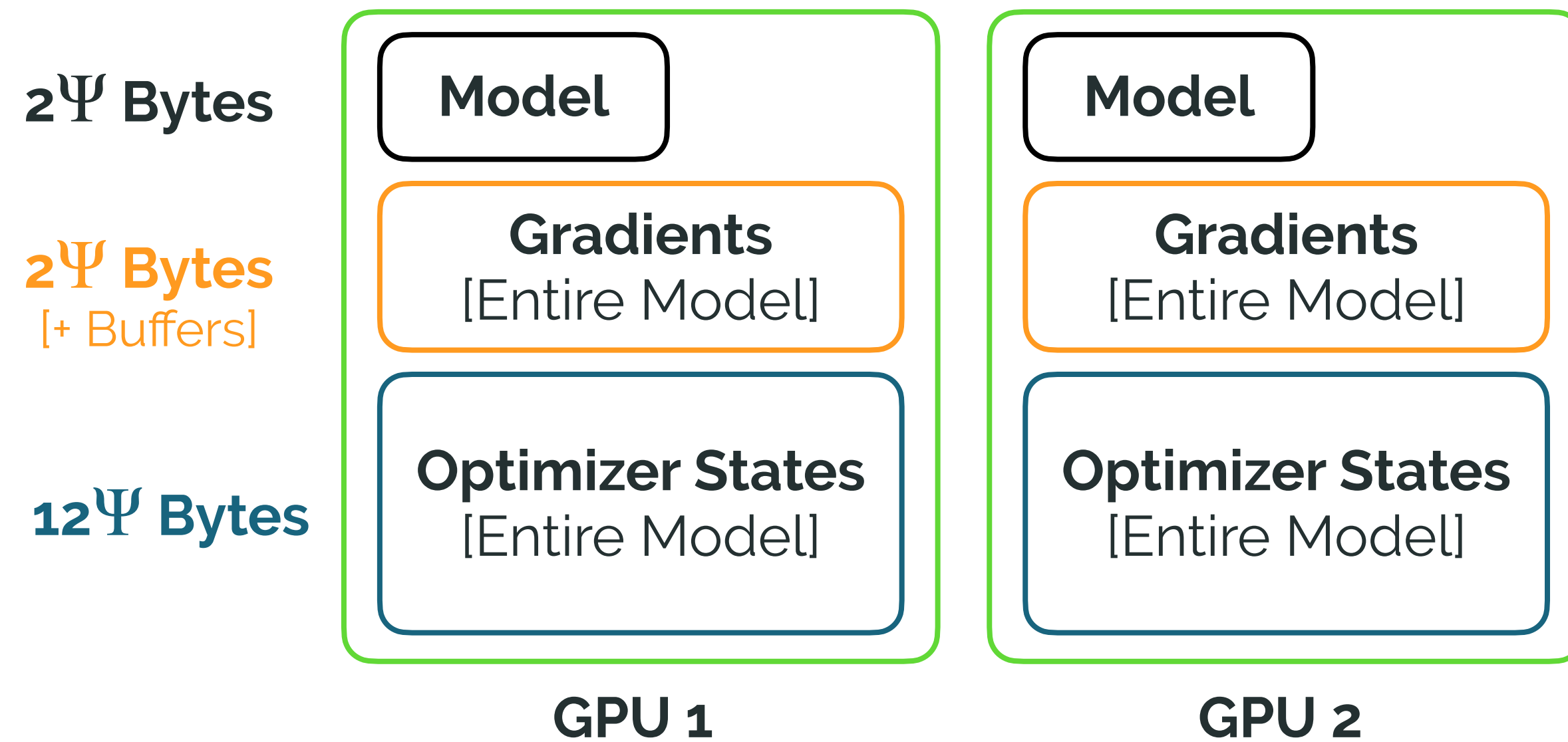
GPT-2 Training Clock



Punchline: “Shards” Memory by # of GPUs!

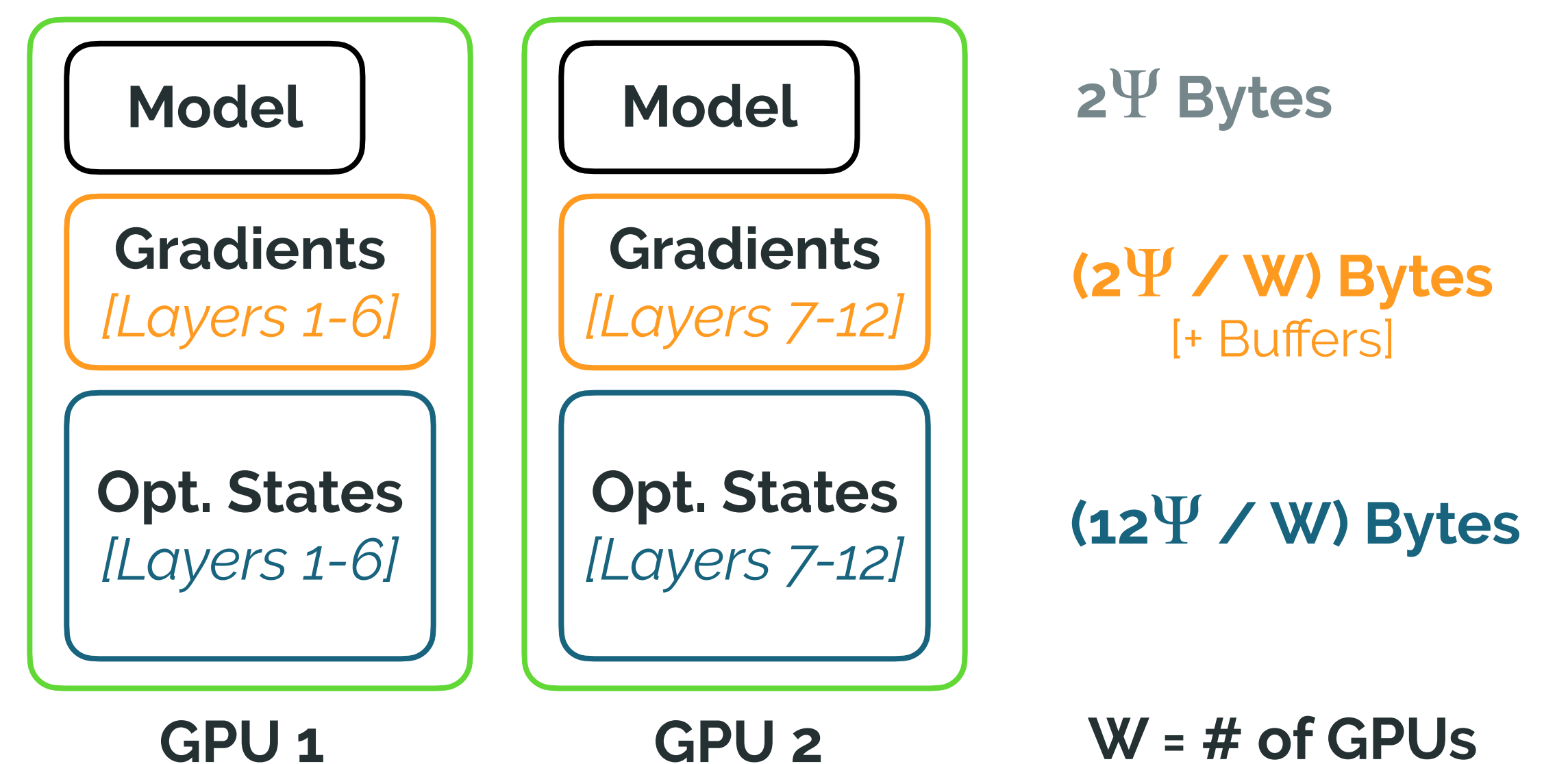
Standard Data Parallelism

“Replicate everything but the data!”



ZeRO Data Parallelism

“Replicate only what you need”



Ψ = # of Parameters

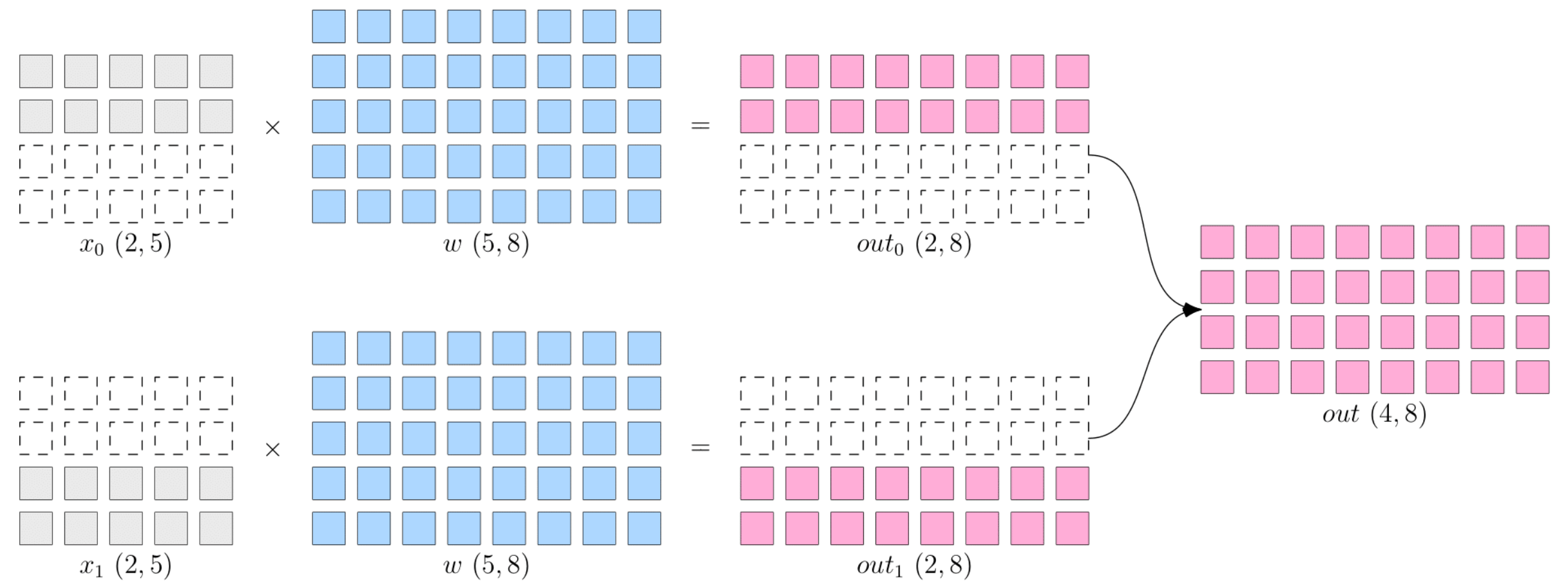
W = # of GPUs

Alas — Hitting a (Communication) Wall

Problem — At some point, communication cost between nodes is too much!

Answers:

Exploit Matrix Multiplication...



Schedule Backwards Pass Wisely...



< Harder to implement, model-specific... still miles to go! >

Part III: Fine-Tuning and Inference

“It’s such a happiness, when good people get together.”
— Jane Austen, *Emma*

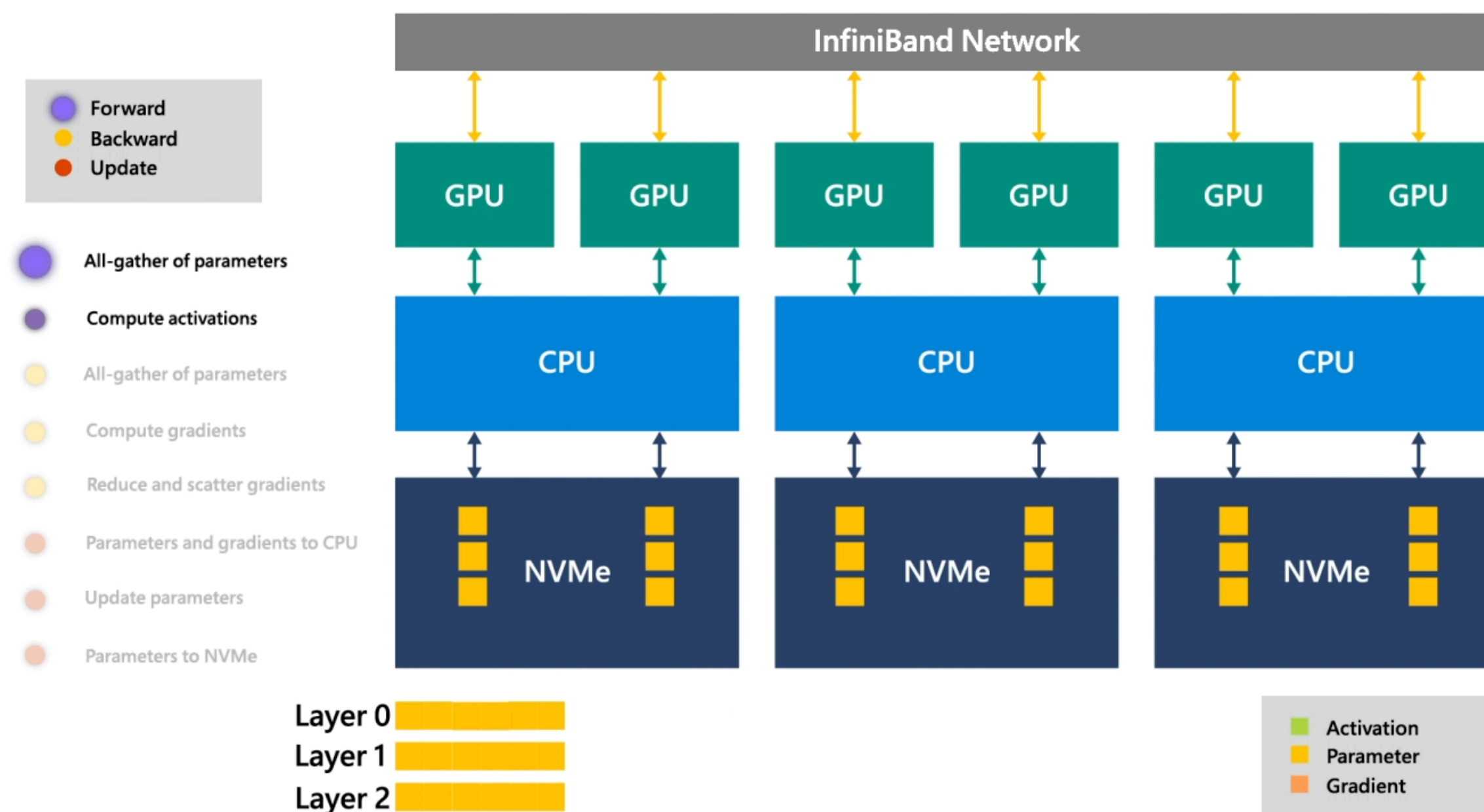
Tools for Training → Tools for Fine-Tuning

Silver Lining — Learning to scale training → informs *fine-tuning & inference!*

ZeRO Data Parallelism



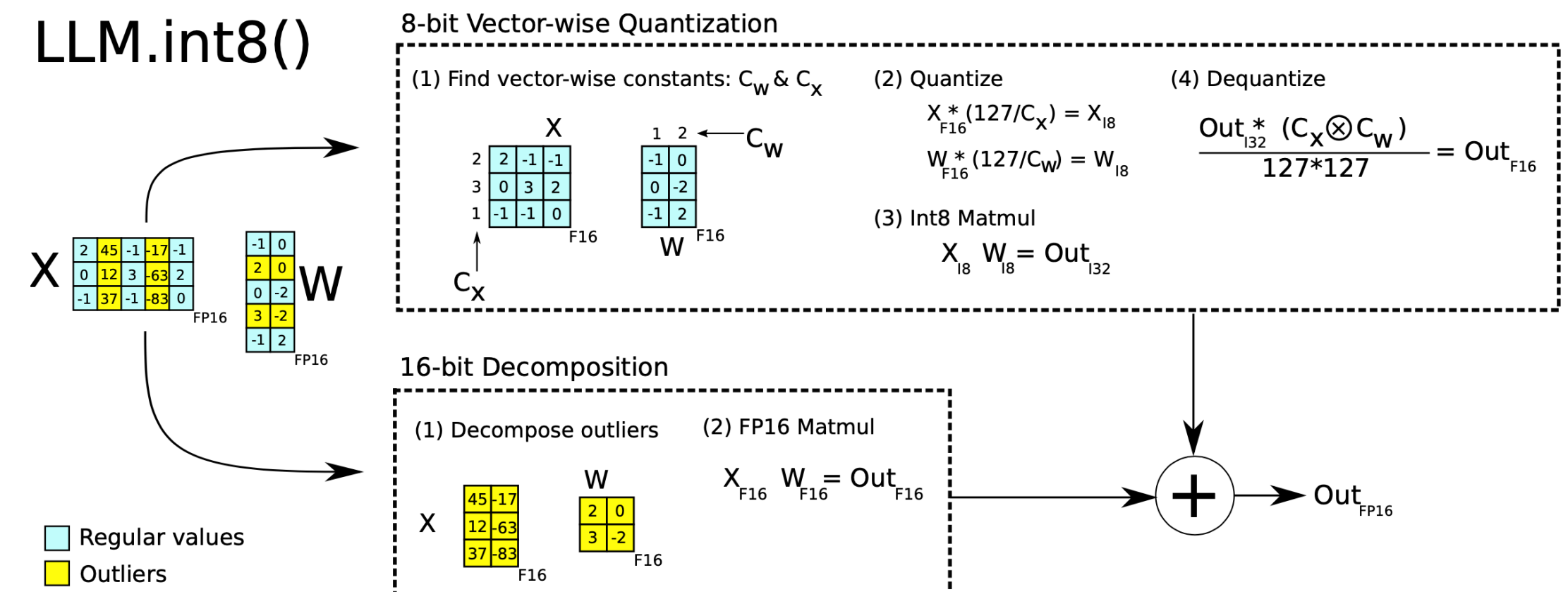
ZeRO Infinity → CPU/NVMe Offloading



Mixed Precision (FP16)

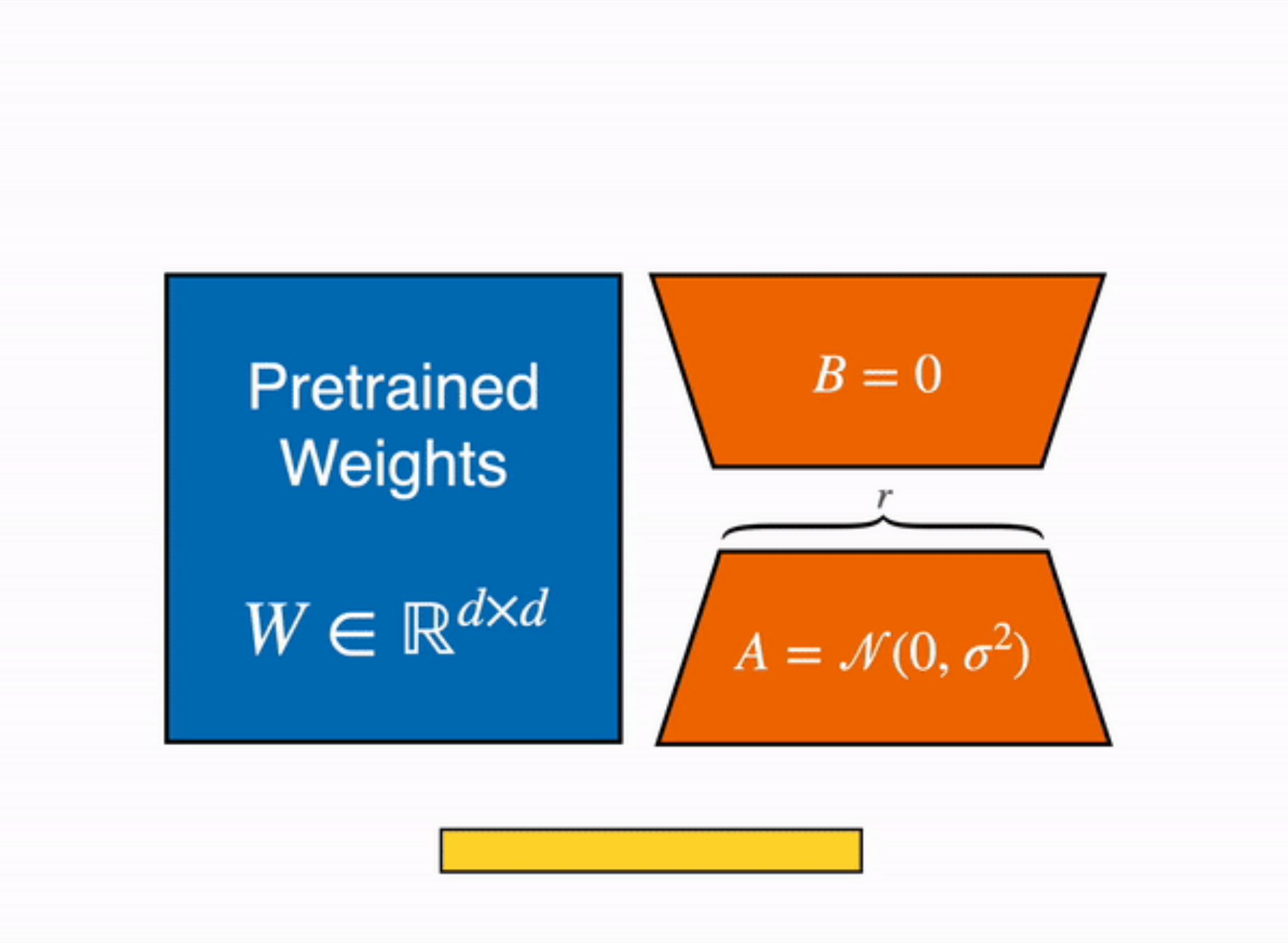


8-Bit Quantization

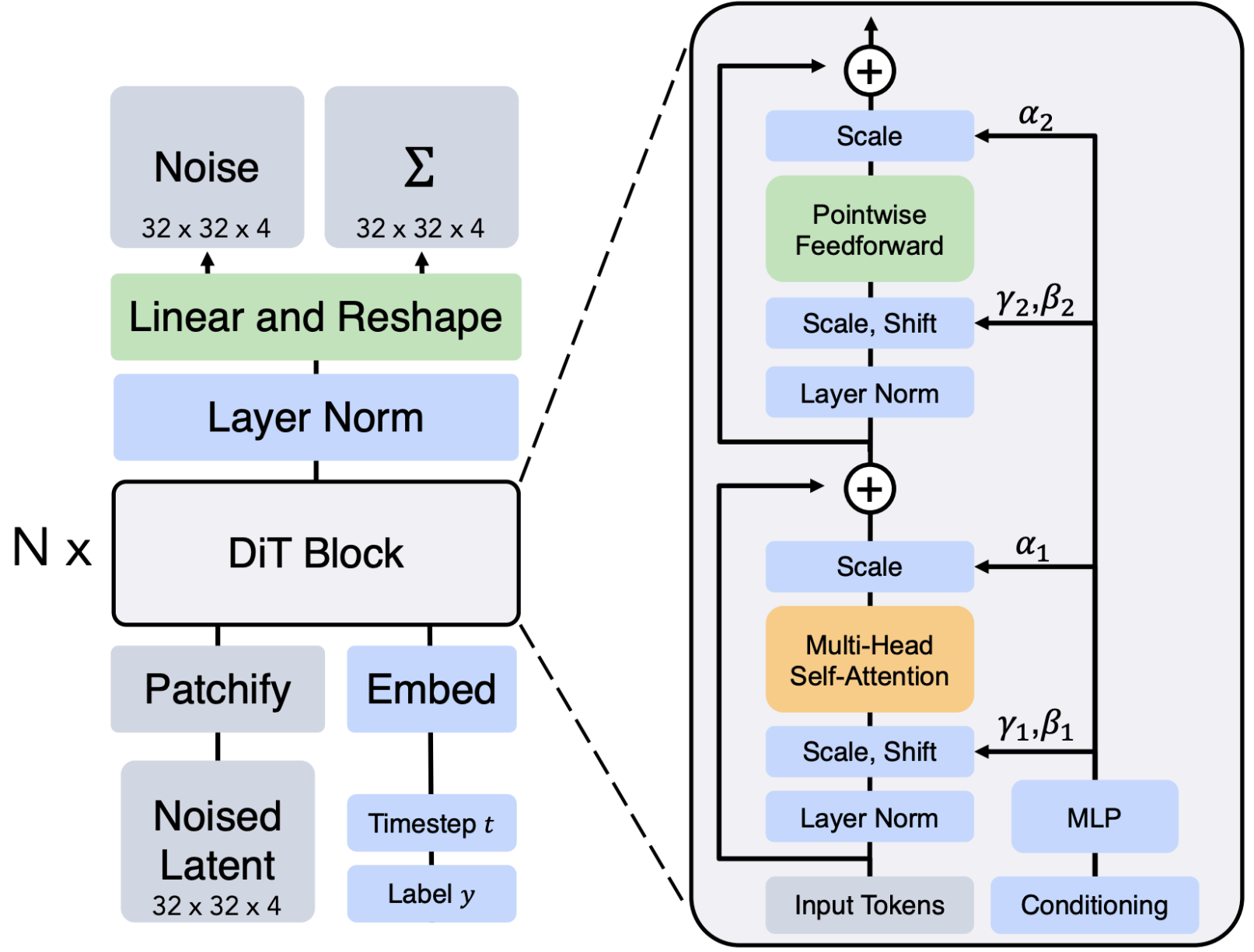


Powers 'llama.cpp' and more!

Teaser for Later → Parameter-Efficient Fine-Tuning



LoRA (Low-Rank Adaptation)



adaLN (Adapted LayerNorm)

...and more!

Reference: <https://github.com/huggingface/peft>

That's all Folks!

“This wind, it is not an ending...”
— Robert Jordan, *A Memory of Light*