# Contextual word representations 

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## Overview

1. Overview: Resources and guiding insights
2. ELMo: Embeddings from Language Models
3. Transformers
4. BERT: Bidirectional Encoder Representations from Transformers
5. contextualreps.ipynb: Easy ways to bring ELMo and BERT into your project

## Associated materials

1. Notebook: contextualreps.ipynb
2. Smith 2019
3. CS224n lecture: slides and YouTube version
4. ELMo:

- Peters et al. 2018
- Project site: https://allennlp.org/elmo

5. Transformer

- Vaswani et al. 2017
- Alexander Rush: The Annotated Transformer [link]

6. BERT

- Devlin et al. 2019
- Project site: https://github.com/google-research/bert
- bert-as-service [link]


## Word representations and context

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1. a. The vase broke.
b. Dawn broke.
c. The news broke.
d. Sandy broke the world record.
e. Sandy broke the law.
f. The burgler broke into the house.
$g$. The newscaster broke into the movie broadcast.
h. We broke even.

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b. A crane picked up the steel beam.
c. I saw a crane.

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3. a. A crane caught a fish.
b. A crane picked up the steel beam.
c. I saw a crane.
4. a. Are there typos? I didn't see any.
b. Are there bookstores downtown? I didn't see any.

## Model structure and linguistic structure



## Guiding idea: Attention (from the NLI slides)



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attention weights $\quad \alpha=\boldsymbol{\operatorname { s o f t m a x }}(\tilde{\alpha})$

$$
\text { scores } \quad \tilde{\alpha}=\left[\begin{array}{lll}
h_{C}^{\top} h_{1} & h_{C}^{\top} h_{2} & h_{C}^{\top} h_{3}
\end{array}\right]
$$



## Guiding idea: Attention (from the NLI slides)

$$
\text { context } \quad \kappa=\operatorname{mean}\left(\alpha_{1} h_{1}, \alpha_{2} h_{2}, \alpha_{3} h_{3}\right)
$$

attention weights $\quad \alpha=\boldsymbol{\operatorname { s o f t m a x }}(\tilde{\alpha})$

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## Guiding idea: Attention (from the NLI slides)

attention combo $\quad \tilde{h}=\tanh \left(\left[K ; h_{C}\right] W_{K}\right)$

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## Guiding idea: Attention (from the NLI slides)

attention combo $\tilde{h}=\tanh \left(\left[\kappa ; h_{C}\right] W_{K}\right)$ or $\tilde{h}=\tanh \left(\kappa W_{\kappa}+h_{C} W_{h}\right)$

$$
\text { context } \quad \kappa=\operatorname{mean}\left(\alpha_{1} h_{1}, \alpha_{2} h_{2}, \alpha_{3} h_{3}\right)
$$

attention weights $\quad \alpha=\boldsymbol{\operatorname { s o f t m a x }}(\tilde{\alpha})$

$$
\text { scores } \quad \tilde{\alpha}=\left[\begin{array}{lll}
h_{C}^{\top} h_{1} & h_{C}^{\top} h_{2} & h_{C}^{\top} h_{3}
\end{array}\right]
$$



## Guiding idea: Attention (from the NLI slides)

$$
\text { classifier } \quad y=\operatorname{softmax}(\tilde{h} W+b)
$$

attention combo $\tilde{h}=\tanh \left(\left[\kappa ; h_{C}\right] W_{K}\right)$

$$
\text { context } \quad \kappa=\operatorname{mean}\left(\alpha_{1} h_{1}, \alpha_{2} h_{2}, \alpha_{3} h_{3}\right)
$$

attention weights $\quad \alpha=\boldsymbol{\operatorname { s o f t m a x }}(\tilde{\alpha})$

$$
\text { scores } \quad \tilde{\alpha}=\left[\begin{array}{lll}
h_{C}^{\top} h_{1} & h_{C}^{\top} h_{2} & h_{C}^{\top} h_{3}
\end{array}\right]
$$



## Guiding idea: Subword modeling



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## Guiding idea: Subword modeling



## Guiding idea: Subword modeling



## Guiding idea: Subword modeling



## Guiding idea: Subword modeling

Max-pooling layers concatenated to form the word representation.


## Guiding idea: Positional encoding



## Guiding idea: Positional encoding



## ELMo

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## Core model structure



## Core model structure

```
rules
```



## Core model structure



## Core model structure



## Word embeddings

## Word embeddings



## Word embeddings



A series of convolutional filters with max pooling, concatenated to form the initial representation


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## Word embeddings



## Word embeddings



## Word embeddings



## Word embeddings



## ELMo model releases

|  | LSTM |  |  |  |
| :--- | :---: | :---: | :---: | :---: |
| Model | Parameters | Hidden size | Output size | Highway layers |
| Small | 13.6 M | 1024 | 128 | 1 |
| Medium | 28.0 M | 2048 | 256 | 1 |
| Original | 93.6 M | 4096 | 512 | 2 |
| Original (5.5B) | 93.6 M | 4096 | 512 | 2 |

Additional details at https://allennlp.org/elmo; the options files reveal additional information about the subword convolutional filters, activation functions, thresholds, and layer dimensions.

## Transformers

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## Core model structure



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## Multi-headed attention



## Multi-headed attention



## Multi-headed attention

$$
\begin{aligned}
\mathrm{c}_{\text {attn } 1} & =\operatorname{sum}\left(\left[\alpha_{1}\left(\mathrm{a}_{\text {input }} W_{1}^{V}\right), \alpha_{2}\left(\mathrm{~b}_{\text {input }} W_{1}^{V}\right)\right]\right) \\
\alpha & =\operatorname{softmax}(\tilde{\alpha}) \\
\tilde{\alpha} & =\left[\frac{\left(\mathrm{c}_{\text {input }} W_{1}^{Q}\right)^{\top}\left(\mathrm{a}_{\text {input }} W_{1}^{K}\right)}{\sqrt{d_{k}}}, \frac{\left(\mathrm{c}_{\text {input }} W_{1}^{Q}\right)^{\top}\left(\mathrm{b}_{\text {input }} W_{1}^{K}\right)}{\sqrt{d_{k}}}\right]
\end{aligned}
$$



## Multi-headed attention



## Multi-headed attention



## Multi-headed attention



## Multi-headed attention



## Multi-headed attention



## Multi-headed attention



## Multi-headed attention



## Multi-headed attention



## Repeated transformer blocks



## The architecture diagram



Figure 1: The Transformer - model architecture.

## The architecture diagram



Figure 1: The Transformer - model architecture.

## The architecture diagram

Each decoder state self-attends with all of its fellow decoder states and with all the encoder states.

The left side is repeated for every state in the encoder.

Output


Figure 1: The Transformer - model architecture.

## The architecture diagram

Each decoder state self-attends with all of its fellow decoder states and with all the encoder states.

The left side is repeated for every state in the encoder.

Output


The right side is repeated for every decoder state, with outputs for each state that has them (all of them for dialogue and machine translation, only the final one for NLI).

Figure 1: The Transformer - model architecture.

## The architecture diagram

Each decoder state self-attends with all of its fellow decoder states and with all the encoder states.

The left side is repeated for every state in the encoder.


Output


Figure 1: The Transformer - model architecture.

## BERT

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## Core model structure



## Core model structure



## Masked Language Modeling (MLM)



## Transfer learning and fine-tuning



## Binary sentence prediction pretraining

## Positive: Actual sentence sequences

- [CLS] the man went to [MASK] store [SEP]
- he bought a gallon [MASK] milk [SEP]
- Label: IsNext

Negative: Randomly chosen second sentence

- [CLS] the man went to [MASK] store [SEP]
- penguin [MASK] are flight \#\#less birds [SEP]
- Label: NotNext


## Tokenization and the BERT embedding space

```
In [1]: import random
    # In the code from https://github.com/google-research/bert
    from tokenization import FullTokenizer
In [2]: vocab_filename = "uncased_L-12_H-768_A-12/vocab.txt"
In [3]: with open(vocab_filename) as f:
    vocab = f.read().splitlines()
In [4]: len(vocab)
Out [4]: 30522
In [5]: random.sample(vocab, 5)
Out[5]: ['folder', '##gged', 'principles', 'moving', '##ceae']
In [6]: tokenizer = FullTokenizer(vocab_file=vocab_filename, do_lower_case=True)
In [7]: tokenizer.tokenize("This isn't too surprising!")
Out[7]: ['this', 'isn', "'", 't', 'too', 'surprising', '!']
In [8]: tokenizer.tokenize("Does BERT know Snuffleupagus?")
Out[8]: ['does', 'bert', 'know', 's', '##nu', '##ffle', '##up', '##ag', '##us', '?']
```


## BERT model releases

## Base

- Transformer layers: 12
- Hidden representations: 768 dimensions
- Attention heads: 12
- Total parameters: 110M


## Large

- Transformer layers: 24
- Hidden representations: 1024 dimensions
- Attention heads: 16
- Total parameters: 340M

Limited to sequences of 512 tokens due to dimensionality of the positional embeddings.

## contextualreps.ipynb

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## Guiding idea

- Your existing architecture can benefit from contextual representations.
- contextualreps.ipynb shows you how to bring in ELMo and BERT representations.
- You don't get the benefits of fine-tuning (for that, you need to integrate more fully with ELMo and BERT code), but you still get a reliable boost!


## Standard RNN dataset preparation

| Examples | $[\mathrm{a}, \mathrm{b}, \mathrm{a}]$ |  | Embedding |  |  |  |
| :---: | :---: | ---: | ---: | ---: | ---: | :---: |
|  | $[\mathrm{b}, \mathrm{c}]$ | -0.42 | 0.10 | 0.12 |  |  |
|  | $\Downarrow$ | 2 | -0.16 | -0.21 | 0.29 |  |
|  | $\Downarrow$ | -0.26 | 0.31 | 0.37 |  |  |

Indices
$[1,2,1]$
[2, 3]
$\Downarrow$
Vectors $\quad\left[\begin{array}{llllll}-0.42 & 0.10 & 0.12\end{array}\right],[-0.16-0.210 .29],\left[\begin{array}{llll}-0.42 & 0.10 & 0.12\end{array}\right]$
$\left[\begin{array}{lllll}-0.16 & -0.21 & 0.29]\end{array}\right]\left[\begin{array}{llll}-0.26 & 0.31 & 0.37\end{array}\right]$

## RNN contextual representation inputs

Examples $\begin{gathered}{[a, b, a]} \\ {[b, c]} \\ \Downarrow\end{gathered}$
Vectors $\left.\quad\left[\begin{array}{lllllll}-0.41 & -0.08 & 0.27\end{array}\right],\left[\begin{array}{lllll}0.17 & -0.22 & 0.78\end{array}\right][-0.46 \quad 0.240 .12]\right]$
$\left[\begin{array}{lllll}{[-0.02} & -0.56 & 0.11\end{array}\right]\left[\begin{array}{llll}-0.45 & 0.43 & 0.32\end{array}\right]$

## Code snippet: ELMo RNN inputs

```
In [1]: from allennlp.commands.elmo import ElmoEmbedder
    import os
    import sst
    from torch_rnn_classifier import TorchRNNClassifier
In [2]: SST_HOME = os.path.join("data", "trees")
In [3]: elmo = ElmoEmbedder()
In [4]: def elmo_phi(tree):
    vecs = elmo.embed_sentence(tree.leaves())
    return vecs.mean(axis=0)
In [5]: def fit_rnn(X, y):
    mod = TorchRNNClassifier(vocab=[], max_iter=50, use_embedding=False)
    mod.fit(X, y)
    return mod
```


## Code snippet: ELMo RNN inputs

```
In [6]: elmo_experiment = sst.experiment(
    SST_HOME,
    elmo_phi,
    fit_rnn,
    train_reader=sst.train_reader,
    assess_reader=sst.dev_reader,
    vectorize=False)
Finished epoch 50 of 50 ; error is 0.07357715629041195
precision recall f1-score support
\begin{tabular}{rllll} 
negative & 0.700 & 0.687 & 0.693 & 428 \\
neutral & 0.353 & 0.284 & 0.315 & 229 \\
positive & 0.710 & 0.795 & 0.750 & 444
\end{tabular}
\(\begin{array}{lllll}\text { micro avg } & 0.647 & 0.647 & 0.647 & 1101\end{array}\)
\begin{tabular}{lllll} 
macro avg & 0.588 & 0.589 & 0.586 & 1101
\end{tabular}
\begin{tabular}{lllll} 
weighted avg & 0.632 & 0.647 & 0.638 & 1101
\end{tabular}
```


## Code snippet: BERT RNN inputs

```
In [1]: # bert-serving-start -model_dir data/bert/uncased_L-12_H-768_A-12/ ।
    # -pooling_strategy NONE -max_seq_len NONE -show_tokens_to_client
    from bert_serving.client import BertClient
    import os
    import sst
    from torch_rnn_classifier import TorchRNNClassifier
In [2]: SST_HOME = os.path.join("data", "trees")
In [3]: # Load the train and dev sets as strings, to let BERT tokenize:
    sst_train = [(" ".join(t.leaves()), label) for t, label in sst.train_reader(SST_HOME)]
    sst_dev = [(" ".join(t.leaves()), label) for t, label in sst.dev_reader(SST_HOME)]
In [4]: X_str_train, y_train = zip(*sst_train)
    X_str_dev, y_dev = zip(*sst_dev)
In [5]: X_str_dev, y_dev = zip(*sst_dev)
```


## Code snippet: BERT RNN inputs

```
In [6]: bc = BertClient(check_length=False)
In [7]: # Prefetch all the BERT representations:
    X_bert_train = bc.encode(list(X_str_train), show_tokens=False)
    X_bert_dev = bc.encode(list(X_str_dev), show_tokens=False)
In [8]: # Create a look-up for fast featurization:
    BERT_LOOKUP = {}
    for sents, reps in ((X_str_train, X_bert_train), (X_str_dev, X_bert_dev)):
        assert len(sents) == len(reps)
        for s, rep in zip(sents, reps):
            BERT_LOOKUP[s] = rep
```


## Code snippet: BERT RNN inputs

```
In [9]: def bert_phi(tree):
    s = " ".join(tree.leaves())
    return BERT_LOOKUP[s]
```

In [10]: def fit_rnn(X, y):
mod $=$ TorchRNNClassifier(vocab=[], max_iter=50, use_embedding=False)
mod.fit( $\mathrm{X}, \mathrm{y}$ )
return mod
In [11]: bert_rnn_experiment = sst.experiment(
SST_HOME,
bert_phi,
fit_rnn,
train_reader=sst.train_reader,
assess_reader=sst.dev_reader,
vectorize=False)

Finished epoch 50 of 50 ; error is 2.6541710644960403

|  | precision | recall | f1-score | support |
| ---: | ---: | ---: | ---: | ---: |
| negative | 0.767 | 0.668 | 0.714 | 428 |
| neutral | 0.322 | 0.323 | 0.322 | 229 |
| positive | 0.737 | 0.827 | 0.779 | 444 |
| micro avg | 0.660 | 0.660 | 0.660 | 1101 |
| macro avg | 0.608 | 0.606 | 0.605 | 1101 |
| weighted avg | 0.662 | 0.660 | 0.659 | 1101 |

## References I

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